EXHIBIT A

HONEYWELL INTERNATIONAL INC. AND HONEYWELL INTELLECTUAL PROPERTIES INC. ٧. HAMILTON SUNDSTRAND CORP.

No. 99-309-GMS

March 23-24, 2006 Trial

# **DEPOSITION DESIGNATIONS OF JAMES CLARK**

**DEPOSITION TAKEN: DECEMBER 6-7, 2005** 

Key: Hamilton Sundstrand Designations Honeywell Designations

| Scene                                   | Designation                                       | Source Tx  |
|---|---|--|
| 1                                       | 5:10-5:11   | Clark 12/06/2005   |
|   |   | 5: 10 Q. Can you tell us your full name, sir.              |
|   |   | 5: 11 A. Jim Crocker Clark.                                |
| 2                                       | 7:22-7:23   | Clark 12/06/2005   |
|   |   | 7: 22 Q. Okay, Mr. Clark, who do you work for?             |
|   |   | 7: 23 A. Honeywell.  |
| 3                                       | 8:7-8:9   | Clark 12/06/2005   |
|   |   | 8:7 Q. How long have you been working for                  |
|   |   | 8:8 Honeywell?   |
|   |   | 8: 9 A. I started there in 1976, September 1st.            |
| 4                                       | 8:14-8:17   | Clark 12/06/2005   |
|   |   | 8: 14 Q. And when did you receive your master's            |
|   |   | 8: 15 degree from Brigham Young?                           |
|   |   | 8: 16 A. Okay, I was continuation there, so I got          |
|   |   | 8: 17 both of them at the same time.                       |
| 5                                       | 9:22-10:4   | Clark 12/06/2005   |
|   |   | 9: 22 Q. Have you worked continuously for                  |
|   |   | 9: 23 Honeywell from 1976 through the present?             |
|   |   | 9: 24 A. Yes.  |
|   |   | 9: 25 Q. What's your current job and your                  |
|   |   | 10: 1 responsibilities at Honeywell?                       |
|   |   | 10:2 A. My current job is I work in a controls             |
|   |   | 10: 3 analysis group, and engine — mechanical engineering  |
|   |   | 10: 4 in that group. I do analysis on control systems.     |
| 6                                       | 10:14-10:20                                       | Clark 12/06/2005   |
|   |   | 10: 14 Q. What types of things, what types of              |
|   |   | 10: 15 engines do you work on?                             |
|   |   | 10: 16 A. I work on what's called auxiliary power          |
|   |   | 10: 17 engines.  |
|   |   | 10: 18 Q. And are you exclusively working on               |
|   |   | 10: 19 auxiliary power engines or, as we call them, APUs?  |
| *************************************** | <del>- 11 - 11 - 11 - 11 - 11 - 11 - 11 - 1</del> | 10: 20 A. APUs.  |
| 7                                       | 10:24-11:12                                       | Clark 12/06/2005   |
|   |   | 10: 24 Q. What kinds of control systems relating to        |
|   |   | 10: 25 APUs have you worked on throughout your entire time |
|   |   | 11:1 at Honeywell, generally, and then we'll talk          |
|   |   | 11:2 specifically?   |
|   |   | 11: 3 A. Usually on an APU-type engine, you have           |
|   |   | 11:4 to control the speed, logic to get it started.        |
|   |   | 11: 5 There's usually some type of logic to control its    |

|    |              |          | James Clark Deposition Designations Final             |
|----|--------------|----------|---|
|    |              | 11:6     | temperature, so you don't burn the engine up, and     |
|    |              | 11: 7    | then a lot of our engines that have give              |
|    |              | 11:8     | compressed air to aircrafts, they have some type of   |
|    |              | 11: 9    | surge system, so the air has to be regulated coming   |
|    |              | 11: 10   | out of the compressor, so it won't surge.             |
|    |              | 11: 11   | Q. Is that what you call surge control?               |
|    |              | 11: 12   | A. Surge control.                                     |
| 8  | 13:11-14:6   | Clark 12 | /06/2005  |
|    |              | 13: 11   | Q. You also understand, don't you, that the           |
|    |              | 13: 12   | testimony that you're giving today is under oath,     |
|    |              | 13: 13   | correct?  |
|    |              | 13: 14   | A. That's correct.                                    |
|    |              | 13: 15   | Q. And that the transcript can be read on             |
|    |              | 13: 16   | the video played to the judge when we go to the trial |
|    |              | 13: 17   | in this case, just as if your testimony were being    |
|    |              | 13: 18   | presented live at trial, you understand that, right?  |
|    |              | 13: 19   | A. Correct.   |
|    |              | 13: 20   | Q. And you also understand that you are               |
|    |              | 13: 21   | being offered today by Honeywell as a corporate       |
|    |              | 13: 22   | representative to testify to the corporation's        |
|    |              | 13: 23   | knowledge as to certain categories in the deposition  |
|    |              | 13: 24   | notice; do you understand that?                       |
|    |              | 13: 25   | A. Correct.   |
|    |              | 14: 1    | Q. And so when I ask you questions about              |
|    |              | 14: 2    | what you knew or what Honeywell knew, you understand  |
|    |              | 14:3     | that your answer should include not only your own     |
|    |              | 14: 4    | personal knowledge, but the knowledge of Honeywell;   |
|    |              | 14: 5    | is that correct?                                      |
|    |              | 14: 6    | A. Correct.   |
| 9  | 24:15-24:19  | Clark 12 | /06/2005  |
|    |              | 24: 15   | Q. You have good recollection of the                  |
|    |              | 24: 16   | technical things that Honeywell was trying to do in   |
|    |              | 24: 17   | the area of surge control in the late 1970s, early    |
|    |              | 24: 18   | 1980s?  |
|    |              | 24: 19   | A. Yes.   |
| 10 | 24:20 -25:12 | Clark 12 | /06/2005  |
|    |              | 24: 20   | Q. Are you familiar with the term "load               |
|    |              | 24: 21   | compressor"?  |
|    |              | 24: 22   | A. That's a term we use to describe part of           |
|    |              | 24: 23   | our APU.  |
|    |              | 24: 24   | Q. And is "load compressor" a term that is            |
|    |              | 24: 25   | limited to APUs?                                      |
|    |              | 25: 1    | A. Most of my experience is in APUs, so I             |
|    |              | 25: 2    | couldn't answer that.                                 |

|   |              |           | James Clark Deposition Designations Final             |
|---|--------------|-----------|---|
|   |              | 25: 3     | Q. Do you have any experience in gas turbine          |
|   |              | 25:4      | engines outside of the context of APUs?               |
|   |              | 25: 5     | A. No, I do not.                                      |
|   |              | 25: 6     | Q. Do you have any experience with respect            |
|   |              | 25: 7     | to compressors outside the context of APUs?           |
|   |              | 25: 8     | A. No, I do not.                                      |
|   |              | 25: 9     | Q. So might there be a lot of information             |
|   |              | 25: 10    | about compressor operation and surge control and      |
|   |              | 25: 11    | compressors outside the context of APUs that you      |
|   |              | 25: 12    | might not personally be aware of?                     |
| 11                                      | 25:15-25:16  | Clark 12  | /06/2005  |
|   |              | 25: 15    | THE WITNESS: Most of my experience is in              |
|   |              | 25: 16    | APUs and with compressors that go on APUs.            |
| 12                                      | 25:18-25:18  | Clark 12  | 706/2005  |
|   |              | 25: 18    | Q. So is the answer to my question yes?               |
| 13                                      | 25:21 -25:24 | Clark 12  | 06/2005   |
|   |              | 25: 21    | THE WITNESS: I couldn't say that. I                   |
|   |              | 25: 22    | believe what I said before is most of my experience   |
|   |              | 25: 23    | is with APUs, that's what I've worked in, compressors |
|   |              | 25: 24    | and APUs.   |
| 14                                      | 26:1-26:6    | Clark 12  | 706/2005  |
|   |              | 26:1      | Q. So there might be a lot of information             |
|   |              | 26: 2     | about compressor operation and surge control outside  |
|   |              | 26: 3     | the context of APUs that you personally might not be  |
|   |              | 26:4      | aware of, correct?                                    |
|   |              | 26: 5     | A. Oh, I can't state that, because I I                |
|   |              | 26:6      | don't know that. All I know is what I know on APUs.   |
| 15                                      | 28:12-28:20  | Clark 12  | 06/2005   |
|   |              | 28: 12    | Q. How long have you studied load                     |
|   |              | 28: 13    | compressors and their operating characteristics in    |
|   |              | 28: 14    | the context of APUs?                                  |
|   |              | 28: 15    | A. I basically have had the same job since I          |
|   |              | 28: 16    | signed in September 1st, 19 I could not tell you      |
|   |              | 28: 17    | how long, but it's been part of my whenever it        |
|   |              | 28: 18    | comes up, it's been part of my job.                   |
|   |              | 28: 19    | Q. And is it fair to say that load                    |
| *************************************** |              | 28: 20    | compressors have been around for 50 years or more?    |
| 16                                      | 28:23-29:15  | Clark 12/ | 06/2005   |
|   |              | 28: 23    | THE WITNESS: As far to my knowledge is,               |
|   |              | 28: 24    | when I signed in, they had not made a load compressor |
|   |              | 28: 25    | APU to that time, where I worked.                     |
|   |              | 29: 1     | BY MR, LIND:  |
|   |              | 29: 2     | Q. Well, when did Honeywell make its first            |

| James Clark Deposition Designations F   |  |            |  |
|---|--|------------|--|
| B load compressor APU?  | 29: 3  |            |  |
| A. Where I worked, consumer power division,   | 29: 4  |            |  |
| it was around I can't tell you the exact dates,   | 29: 5  |            |  |
| but it was for the F-18 application, and it was - I   | 29: 6  |            |  |
| worked on it as soon as I came in there, so it's 1976   | 29: 7  |            |  |
| to, I could not tell you the date, but it was late  | 29:8   |            |  |
| 70s, I should say.  | 29: 9  |            |  |
| 10 Q. Did you study compressor technology in  | 29: 10   |            |  |
| 11 school at all?   | 29: 11   |            |  |
| 2 A. No. Other than classes where we had  | 29: 12   |            |  |
| 3 thermodynamics and they were telling us about   | 29; 13   |            |  |
| 4 compressors, I did not take a class called  | 29: 14   |            |  |
| <br>5 "Compressors."  | 29: 15   |            |  |
| 12/06/2005  | 30:8 Clark 12/   | 30:4-30:8  | 17                                     |
| Q. And who are the most recognized experts  | 30:4   |            |  |
| in that field of – as you've stated it?   | 30: 5  |            |  |
| A. Like I say, I worked in APUs; I don't  | 30:6   |            |  |
| know much outside of my people that I work with, so I   | 30: 7  |            |  |
| <br>don't think I can answer that question.   | 30: 8  |            | h-i                                    |
| 12/06/2005  | 30:18 Clark 12/  | 30:9-30:18 | 18                                     |
| Q. Well, who do you have any treatises or   | 30: 9  |            |  |
| 0 text reference books that you refer to as part of   | 30: 10   |            |  |
| 1 your job?   | 30: 11   |            |  |
| 2 A. They're fluid mechanics and thermodynamic  | 30: 12   |            |  |
| 3 books.  | 30: 13   |            |  |
| 4 Q. And who are the authors of the why   | 30: 14   |            |  |
| 5 don't you tell me the key kind of books that you  | 30: 15   |            |  |
| 6 reference repeatedly in your control system?  | 30: 16   |            |  |
| 7 A. My main fluid mechanics book was written   | 30: 17   |            |  |
| <br>8 by a man by the name of Shapiro out of MIT.   | 30:18  |            | W************************************* |
| 12/06/2005  | 31:2 Clark 12/   | 30:21-31:2 | 19                                     |
| 1 Q. And that Shapiro book dates back to when?  | 30: 21   |            |  |
| 2 A. I believe that dates back to 19 early  | 30: 22   |            |  |
|   | 30: 23   |            |  |
| , .   | 30: 24   |            |  |
| ·   | 30: 25   |            |  |
| the 1950s still equally applicable to today's   | 31: 1  |            |  |
| <br>compressor technology?  | 31: 2  |            | <del></del>                            |
| 12/06/2005  | 31:6 Clark 12/   | 31:5-31:6  | 20                                     |
| THE WITNESS: The equations, obviously,  | 31: 5  |            |  |
| <br>are still correct; that's nature.   | 31: 6  |            | k                                      |
| 12/06/2005  | 31:15 Clark 12/  | 31:8-31:15 | 21                                     |
| Q. How about the I mean, to put it  | 31:8   |            |  |
| at the about the time the partie  | w,   |            |  |
| <br>compressors, I did not take a class called "Compressors."  12/06/2005 Q. And who are the most recognized experts in that field of — as you've stated it? A. Like I say, I worked in APUs; I don't know much outside of my people that I work with, so I don't think I can answer that question.  12/06/2005 Q. Well, who — do you have any treatises or text reference books that you refer to as part of your job? A. They're fluid mechanics and thermodynamic books. Q. And who are the authors of the — why don't you tell me the key kind of books that you reference repeatedly in your control system? A. My main fluid mechanics book was written by a man by the name of Shapiro out of MIT.  12/06/2005 Q. And that Shapiro book dates back to when? A. I believe that dates back to 19 — early 1950, something like that. Q. And are the principles discussed about the fluid dynamics in Shapiro's book dated back to the 1950s still equally applicable to today's compressor technology?  12/06/2005 THE WITNESS: The equations, obviously, | 29: 15  30:8 Clark 12/ 30: 4 30: 5 30: 6 30: 7 30: 8  30:18 Clark 12/ 30: 9 30: 10 30: 11 30: 12 30: 13 30: 14 30: 15 30: 16 30: 17 30: 18  31:2 Clark 12/ 30: 21 30: 22 30: 23 30: 24 30: 25 31: 1 31: 2  31: 6 Clark 12/ 31: 5 | 30:9-30:18 | 19                                     |

|    |              | James Clark Deposition Designations Fina                     |
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|    |              | 31: 10 dated in the 1950s is to help you with solving        |
|    |              | 31: 11 today's problems, correct?                            |
|    |              | 31: 12 A. You refer back there for equations, to             |
|    |              | 31: 13 relate flows, how the flows relate to pressures, how  |
|    |              | 31: 14 the temperatures relate to compression, these types   |
|    |              | 31: 15 of things, those are equations.                       |
| 22 | 33:14-33:17  | Clark 12/06/2005   |
|    |              | 33: 14 Q. Are you familiar with the concept or term          |
|    |              | 33: 15 of "inlet guide vanes"?                               |
|    |              | 33: 16 A. Correct. We use inlet guide vanes on our           |
|    |              | 33: 17 APUs.   |
| 23 | 34:4-34:6    | Clark 12/06/2005   |
|    |              | 34: 4 Q. The position of the inlet guide vanes               |
|    |              | 34: 5 changes the flow of air through the compressor?        |
|    |              | 34: 6 A. It can. Among other things.                         |
| 24 | 34:7-34:10   | Clark 12/06/2005   |
|    |              | 34:7 Q. What are the other things?                           |
|    |              | 34: 8 A. Somebody can change the flow through the            |
|    |              | 34:9 compressor with downstream valves, downstream of the    |
|    |              | 34: 10 compressor.   |
| 25 | 34:11 -34:18 | Clark 12/06/2005   |
|    |              | 34:11 Q. But if you change the position of the               |
|    |              | 34: 12 inlet guide vanes in the load compressor, you will    |
|    |              | 34: 13 change  |
|    |              | 34: 14 A. You will change the flow.                          |
|    |              | 34: 15 Q. — the flow of air through the                      |
|    |              | 34: 16 compressor, correct?                                  |
|    |              | 34: 17 A. You will change the flow of air through            |
|    |              | 34: 18 the compressor.                                       |
| 26 | 37:5-37:9    | Clark 12/06/2005   |
|    |              | 37: 5 Q. And that is a the concept that if you               |
|    |              | 37: 6 open the inlet guide vanes, you're going to get more   |
|    |              | 37: 7 flow is a concept that has been around for 50 years    |
|    |              | 37: 8 or so, maybe more, correct?                            |
|    |              | 37: 9 A. I can't say that; I don't know.                     |
| 27 | 37:10-37:18  | Clark 12/06/2005   |
|    |              | 37: 10 Q. The concept that if you open the inlet             |
|    |              | 37: 11 guide vanes more, you'll get more air flow through    |
|    |              | 37: 12 the compressor is a concept that has been known since |
|    |              | 37: 13 at least when you started working with inlet guide    |
|    |              | 37: 14 vanes and load compressors back in the late 1970s,    |
|    |              | 37: 15 correct?  |
|    |              | 37: 16 A. As far as I know, my first knowledge of            |

|        |              |                  | James Clark Deposition Designations 1 miles           |
|--------|--------------|------------------|---|
|        |              | 37: 17           | it was at application when I came to work in the      |
|        |              | 37: 18           | 1970s.  |
| 28     | 38:8-38:15   | Clark 12/        | /06/2005  |
|        |              | 38: 8            | Q. Have you ever used the word or term "flow          |
|        |              | 38: 9            | parameter," "flow-related parameter" in the context   |
|        |              | 38: 10           | of surge control systems in compressors?              |
|        |              | 38: 11           | A. A flow-related parameter, for example,             |
|        |              | 38: 12           | could be a Delta P/P, that total static minus total   |
|        |              | 38: 13           | divided by the total. P total minus P static,         |
|        |              | 38: 14           | divided by P total. Or I don't know. I'm driving      |
|        |              | 38: 15           | the poor court reporter crazy.                        |
| <br>29 | 38:16-39:4   | Clark 12/        |   |
| 29     | 30.10-35.4   | 38: 16           | Q. What other flow-related parameters, other          |
|        |              | 38: 17           | than Delta P/P, are you aware of?                     |
|        |              | 38: 18           | ·   |
|        |              |                  | A. I can't – they sometimes, and we don't             |
|        |              | 38: 19<br>38: 20 | do it, but we have looked at systems where you put in |
|        |              |                  | a, what's called a hot wire anemometer, to measure    |
|        |              | 38: 21           | flow.   |
|        |              | 38: 22           | Q. And what's the flow-related parameter in           |
|        |              | 38: 23           | that case?  |
|        |              | 38: 24           | A. It's a wire that goes through the duct             |
|        |              | 38: 25           | where the flow is, it's passing, and it changes the   |
|        |              | 39: 1            | heat transfer of the wire, so what they do is they    |
|        |              | 39: 2            | measure the current going through the wire; I believe |
|        |              | 39: 3            | that you can see how much flow is going past the      |
|        |              | 39: 4            | wire.   |
| 30     | 39:8-39:20   | Clark 12/06/2005 |   |
|        |              | 39:8             | Q. Power changes in power through a wire              |
|        |              | 39: 9            | might be another flow-related parameter?              |
|        |              | 39: 10           | A. Could be.  |
|        |              | 39: 11           | Q. Do you know of any other flow-related              |
|        |              | 39: 12           | parameters?   |
|        |              | 39: 13           | A. I believe one time we looked at putting            |
|        |              | 39: 14           | something in there that, as the when flow goes        |
|        |              | 39: 15           | past a cylinder or something, it will vibrate and I   |
|        |              | 39: 16           | think one time we looked into that as the vibration   |
|        |              | 39: 17           | of the probe will tell you what flow is.              |
|        |              | 39: 18           | Q. So vibration of a probe in the compressor          |
|        |              | 39: 19           | air flow is another flow-related parameter?           |
|        |              | 39: 20           | A. It could be, yes.                                  |
| <br>31 | 40:13 -40:17 | Clark 12/        | 06/2005   |
| -      |              | 40: 13           | Q. Lef me ask you this, have you ever used            |
|        |              | 40: 14           | the term "flow parameter" or "flow-related parameter" |
|        |              | 40:15            | in your work at Honeywell?                            |
|        |              |                  |   |

|    |             | 40: 16    | A. In my work at Honeywell, I've never used           |   |  |  |
|----|-------------|-----------|---|---|--|--|
|    |             | 40: 17    | that term.  |   |  |  |
| 32 | 41:2-41:19  | Clark 12  | /06/2005  |   |  |  |
|    |             | 41:2      | Q. So by "flow-related parameter," you mean           |   |  |  |
|    |             | 41: 3     | something in the surge system that tells you what     |   |  |  |
|    |             | 41:4      | corrected flow is, right?                             |   |  |  |
|    |             | 41:5      | A. Right.   |   |  |  |
|    |             | 41:6      | Q. Can you look at changes in pressure                |   |  |  |
|    |             | 41:7      | between one part of the compressor and another part   |   |  |  |
|    |             | 41:8      | of the compressor to determine what the flow through  |   |  |  |
|    |             | 41:9      | the compressor is?                                    |   |  |  |
|    |             | 41: 10    | A. If you put pressure probes in the right            |   |  |  |
|    |             | 41:11     | place, that is possible.                              |   |  |  |
|    |             | 41; 12    | Q. Is it also possible if you use pressure            |   |  |  |
|    |             | 41: 13    | taps instead of pressure probes?                      |   |  |  |
|    |             | 41: 14    | A. You can use pressure taps instead of               |   |  |  |
|    |             | 41: 15    | pressure probes.                                      |   |  |  |
|    |             | 41: 16    | Q. And how long has it been known that you            |   |  |  |
|    |             | 41: 17    | can look at changes in pressure using pressure taps   |   |  |  |
|    |             | 41: 18    | at different areas of the compressor in order to      |   |  |  |
|    |             | 41: 19    | determine what the flow through the compressor is?    |   |  |  |
| 33 | 41:22-41:25 | Clark 12/ | /06/2005  |   |  |  |
|    |             | 41: 22    | THE WITNESS: Certainly we know from                   |   |  |  |
|    |             | 41: 23    | fluid mechanics equations that flows are related to   |   |  |  |
|    |             | 41: 24    | pressures; I can't tell you how long people have done |   |  |  |
|    |             | 41: 25    | that in compressors.                                  |   |  |  |
| 34 | 42:2-42:5   | Clark 12/ | Clark 12/06/2005                                      |   |  |  |
|    |             | 42: 2     | Q. But again, since at least the late 1970s,          |   |  |  |
|    |             | 42: 3     | correct?  |   |  |  |
|    |             | 42:4      | A. Since I've been working there, that's              |   |  |  |
|    |             | 42: 5     | correct.  |   |  |  |
| 35 | 42:6-42:22  | Clark 12/ | /06/2005  |   |  |  |
|    |             | 42: 6     | Q. Can you look at changes in static                  |   |  |  |
|    |             | 42:7      | pressure using pressure taps at different portions of |   |  |  |
|    |             | 42:8      | the compressor to determine flow?                     |   |  |  |
|    |             | 42:9      | A. Are you talking about just one?                    |   |  |  |
|    |             | 42:10     | Q. One what?  | • |  |  |
|    |             | 42: 11    | A. Pressure in other words, you could use             |   |  |  |
|    |             | 42: 12    | two statistics and possibly do that.                  |   |  |  |
|    |             | 42:13     | Q. Okay.  |   |  |  |
|    |             | 42: 14    | A. I don't think with just one static you             |   |  |  |
|    |             | 42: 15    | could do that.  |   |  |  |
|    |             | 42: 16    | Q. With one tap you mean?                             |   |  |  |
|    |             | 42: 17    | A. One pressure sensor, one tap.                      |   |  |  |
|    |             |           | ·   |   |  |  |

|    |             |          | James Clark Deposition Designations Final             |
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|    |             | 42: 18   | Q. Okay. If you had two different pressure            |
|    |             | 42: 19   | taps at different places along the flow of air        |
|    |             | 42: 20   | through the compressor, measuring static pressure,    |
|    |             | 42: 21   | can you look at the difference in that pressure to    |
|    |             | 42: 22   | determine flow?                                       |
| 36 | 42:25-43:5  | Clark 12 | /06/2005  |
|    |             | 42:25    | THE WITNESS: We're talking about a                    |
|    |             | 43:1     | thing we say "flow," what we really mean is this      |
|    |             | 43: 2    | term called "corrected flow," and if you just knew    |
|    |             | 43: 3    | the difference between those two, you could not get   |
|    |             | 43:4     | the corrected flow; you need another you need a       |
|    |             | 43: 5    | division there, a Delta P/P.                          |
| 37 | 43:7-43:18  | Clark 12 | /06/2005  |
|    |             | 43: 7    | Q. Is another way to look at the Delta P/P            |
|    |             | 43: 8    | flow-related parameter to look at the difference in   |
|    |             | 43:9     | static pressure between two areas in the compressor   |
|    |             | 43: 10   | and divide that by one of those static pressures?     |
|    |             | 43: 11   | A. Could you do that?                                 |
|    |             | 43: 12   | Q. And when was the first                             |
|    |             | 43: 13   | A. Let me see if I got that straight, my              |
|    |             | 43: 14   | understanding is you're going to take a Delta P       |
|    |             | 43: 15   | between two static pressures and divide it by         |
|    |             | 43: 16   | probably the highest pressure.                        |
|    |             | 43: 17   | Q. Correct.   |
|    |             | 43: 18   | A. Okay. You could do that.                           |
| 38 | 43:25-44:2  | Clark 12 | /06/2005  |
|    |             | 43: 25   | Q. When was the first time that you or                |
|    |             | 44:1     | anyone at Honeywell knew of looking at Delta P/P in   |
|    |             | 44: 2    | terms of just static pressure, as you just described? |
| 39 | 44:10-44:13 | Clark 12 | /06/2005  |
|    |             | 44: 10   | A. As far as using pure statics, I think the          |
|    |             | 44: 11   | first time I can remember that we usually I really    |
|    |             | 44: 12   | couldn't answer that, because I don't know how a lot  |
|    |             | 44: 13   | of their systems worked.                              |
| 40 | 45:3-45:12  | Clark 12 | 706/2005  |
|    |             | 45: 3    | Q. What's your best recollection of when you          |
|    |             | 45: 4    | first became aware of the flow parameter Delta P/P,   |
|    |             | 45: 5    | using just static pressure measurements?              |
|    |             | 45: 6    | A. Just static pressure measurements, when            |
|    |             | 45: 7    | did I become aware of that, the Delta P/P?            |
|    |             | 45: 8    | Q. Correct.   |
|    |             | 45: 9    | A. I believe we have one application, a               |
|    |             | 45: 10   | 165-9 that does that, that uses static pressures.     |
|    |             | 45: 11   | Q. When was Honeywell's application of a              |

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|    |              | 45: 12 static pressure Delta P/P first conceived?            |
| 41 | 45:15-45:16  | Clark 12/06/2005   |
|    |              | 45: 15 THE WITNESS: I couldn't give you an                   |
|    |              | 45: 16 exact answer on that, I don't know for sure.          |
| 42 | 45:18-45:18  | Clark 12/06/2005   |
|    |              | 45: 18 Q. Tell me what your best recollection is.            |
| 43 | 45:21 -45:21 | Clark 12/06/2005   |
|    |              | 45: 21 THE WITNESS: I don't know.                            |
| 44 | 45:23-45:23  | Clark 12/06/2005   |
|    |              | 45: 23 Q. At or near the time you joined Honeywell?          |
| 45 | 45:25-46:1   | Clark 12/06/2005   |
|    |              | 45: 25 THE WITNESS: It was before the time I                 |
|    |              | 46: 1 joined Honeywell.                                      |
| 46 | 46:3-46:5    | Clark 12/06/2005   |
|    |              | 46: 3 Q. And you joined Honeywell in 1976,                   |
|    |              | 46: 4 correct?   |
|    |              | 46: 5 A. 1976.   |
| 47 | 46:18-46:23  | Clark 12/06/2005   |
|    |              | 46: 18 Q. And when you're trying to visualize or             |
|    |              | 46: 19 come up with ways of measuring flow through a         |
|    |              | 46: 20 compressor, there are certain basic concepts going    |
|    |              | 46: 21 back to at least Shapiro's 1950s book that any        |
|    |              | 46: 22 engineer in your shoes trying to determine flow rates |
|    |              | 46: 23 are aware of and know of, correct?                    |
| 48 | 47:1-47:2    | Clark 12/06/2005   |
|    |              | 47: 1 THE WITNESS: I don't think I could agree               |
|    |              | 47: 2 with that.   |
| 49 | 47:25-47:25  | Clark 12/06/2005   |
|    |              | 47: 25 Q. Is Shapiro's 1950s textbook a book that            |
| 50 | 48:1 -48:7   | Clark 12/06/2005   |
|    |              | 48: 1 you recommend to your colleagues for basic flow        |
|    |              | 48:2 principles and – principles of air flow through a       |
|    |              | 48: 3 compressor?  |
|    |              | 48: 4 A. Not through a compressor, it's a classic            |
|    |              | 48: 5 book on fluid mechanics; I believe the title of the    |
|    |              | 48: 6 book is "The Dynamics and Thermodynamics of            |
|    |              | 48: 7 Compressible Fluid Flow."                              |
| 51 | 48:8-48:19   | Clark 12/06/2005   |
|    |              | 48: 8 Q. When you're recommending well, is the               |
|    |              | 48: 9 Shapiro book from the 1950s a book that you recommend  |
|    |              | 48: 10 to your colleagues for looking at issues relating to  |
|    |              |  |

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|----|-------------|----------|---|--|
|    |             | 48: 11   | thermodynamics and compressible fluid flow?           |  |
|    |             | 48: 12   | A. That's correct.                                    |  |
|    |             | 48: 13   | Q. Is that a book that you've referred to             |  |
|    |             | 48: 14   | going back to your original work at Honeywell in the  |  |
|    |             | 48: 15   | late 1970s?   |  |
|    |             | 48: 16   | A. That was a textbook of mine.                       |  |
|    |             | 48: 17   | Q. And was that a textbook that you got in            |  |
|    |             | 48: 18   | college?  |  |
|    |             | 48: 19   | A. Yes,   |  |
| 52 | 48:19-49:3  | Clark 12 | /06/2005  |  |
|    |             | 48: 19   | A. Yes.   |  |
|    |             | 48: 20   | Q. You mentioned an APU called the 165-9;             |  |
|    |             | 48:21    | what airplanes did that APU go on?                    |  |
|    |             | 48: 22   | A. That goes on the B-1.                              |  |
|    |             | 48: 23   | Q. And how did the surge control system in            |  |
|    |             | 48: 24   | the 165-9 APU work?                                   |  |
|    |             | 48: 25   | A. I didn't I worked on it a little bit,              |  |
|    |             | 49; 1    | but not a whole lot; it was developed before I was    |  |
|    |             | 49: 2    | there, so I couldn't give you a real good answer on   |  |
|    |             | 49: 3    | how that works.                                       |  |
| 53 | 49:4-49:20  | Clark 12 | /06/2005  |  |
|    |             | 49:4     | Q. What's your understanding of how it                |  |
|    |             | 49:5     | works, based on the understanding that you have?      |  |
|    |             | 49:6     | A. There's a surge valve and it senses this           |  |
|    |             | 49:7     | Delta P/ it senses it's been changed several          |  |
|    |             | 49:8     | times. There's - there's been upgrades, okay?         |  |
|    |             | 49:9     | Originally, it just sensed a Delta P, I believe. It   |  |
|    |             | 49: 10   | was not a Delta P/P control, as I recall.             |  |
|    |             | 49: 11   | Q. When the —   |  |
|    |             | 49: 12   | A. And they use a — and I believe it's                |  |
|    |             | 49: 13   | really two statics there, but it's not a Delta P/P.   |  |
|    |             | 49: 14   | Q. When the 165-9 APU surge control system            |  |
|    |             | 49: 15   | originally sensed just Delta P, that was in order to  |  |
|    |             | 49: 16   | determine air flow through the compressor?            |  |
|    |             | 49: 17   | A. It – it uses that Delta P as a set point           |  |
|    |             | 49: 18   | to modulate the surge control valve; that means to    |  |
|    |             | 49: 19   | open up and protect the unit when the Delta P gets to |  |
|    |             | 49: 20   | a certain level.                                      |  |
| 54 | 49:21 -50:1 | Clark 12 | /06/2005  |  |
|    |             | 49: 21   | Q. In the 165-9 original surge control                |  |
|    |             | 49: 22   | system, did it measure the difference in two static   |  |
|    |             | 49: 23   | pressures in order to know whether to open or how     |  |
|    |             | 49: 24   | much to open the surge control valve?                 |  |
|    |             | 49: 25   | A. It used those two static pressures to              |  |

|    |              | 50: 1 control a surge control valve.                         |
|----|--------------|--|
| 55 | 55:6-55:7    | Clark 12/06/2005   |
|    |              | 55: 6 Q. And that's the same reason for the shape            |
|    |              | 55: 7 or geometry of the diffuser, correct?                  |
| 56 | 55:11 -55:12 | Clark 12/06/2005   |
|    |              | 55: 11 THE WITNESS: The purpose of the diffuser              |
|    |              | 55: 12 in the compressor is to slow the flow down.           |
| 57 | 55:14-55:25  | Clark 12/06/2005   |
|    |              | 55: 14 Q. The purpose of a the back end of a                 |
|    |              | 55: 15 Venturi is also to increase pressure, correct, at the |
|    |              | 55: 16 discharge?  |
|    |              | 55: 17 A. When you slow the flow down, the static            |
|    |              | 55: 18 pressure comes up.                                    |
|    |              | 55: 19 Q. And that principle applies whether you're          |
|    |              | 55: 20 in a back end of a Venturi or in a diffuser, correct? |
|    |              | 55: 21 A. That principle works on fluids, so it              |
|    |              | 55: 22 doesn't matter where the fluid is.                    |
|    |              | 55: 23 Q. And that, again, is a principle that you           |
|    |              | 55: 24 have understood since studying fluid dynamics back in |
|    |              | 55: 25 college, right?                                       |
| 58 | 56:1-56:2    | Clark 12/06/2005   |
|    |              | 56; 1 A. Venturis are a familiar principle.                  |
|    |              | 56: 2 Q. Is that a yes?                                      |
| 59 | 58:24-59:4   | Clark 12/06/2005   |
|    |              | 58: 24 Q. Sure. The lowest pressure in a                     |
|    |              | 58: 25 converging/diverging nozzle is generally at the       |
|    |              | 59: 1 throat of the nozzle, correct?                         |
|    |              | 59: 2 A. It depends on how much you back-pressure            |
|    |              | 59:3 the nozzle. It can be – the discharge can be            |
|    |              | 59: 4 greater or it can be less than the throat.             |
| 60 | 59:5-59:18   | Clark 12/06/2005   |
|    |              | 59: 5 Q. When you have subsonic flow through a               |
|    |              | 59: 6 converging/diverging nozzle, you'll have lower         |
|    |              | 59: 7 pressure at the throat than at the discharge,          |
|    |              | 59: 8 correct?   |
|    |              | 59: 9 A. When you have subsonic flow.                        |
|    |              | 59: 10 Q. And when you have supersonic flow in a             |
|    |              | 59: 11 converging/diverging nozzle, you can have lower       |
|    |              | 59: 12 pressure actually at the back end of that nozzle,     |
|    |              | 59: 13 correct?  |
|    |              | 59: 14 A. When you have supersonic flow, you can             |
|    |              | 59: 15 get a shockwave in there and you'll have lower        |
|    |              | 59: 16 pressure than at the throat.                          |
|    |              |  |

|    |              |           | James Clark Deposition Designations Final            |
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|    |              | 59: 17    | Q. And those same principles apply to a              |
|    |              | 59: 18    | diffuser, correct?                                   |
| 61 | 59:20 -59:21 | Clark 12  | /06/2005   |
|    |              | 59:20     | THE WITNESS: Those same principles in                |
|    |              | 59: 21    | the areas expanding, that's true.                    |
| 62 | 59:23-60:3   | Clark 12  | /06/2005   |
|    |              | 59: 23    | Q. And those are principles that you have            |
|    |              | 59: 24    | understood and learned about going, again, back to   |
|    |              | 59: 25    | your college days, correct?                          |
|    |              | 60: 1     | A. Those are in my textbook.                         |
|    |              | 60: 2     | Q. In your 1950s Shapiro textbook?                   |
|    |              | 60: 3     | A. Rìght.  |
| 63 | 60:4-60:6    | Clark 12  | 706/2005   |
|    |              | 60:4      | Q. Do does the position of the inlet                 |
|    |              | 60: 5     | guide vanes affect the flow-related parameter in a   |
|    |              | 60: 6     | surge control system?                                |
| 64 | 60:9-60:11   | Clark 12/ | 06/2005  |
|    |              | 60: 9     | THE WITNESS: Can you I've already                    |
|    |              | 60: 10    | stated you can change the inlet guide vanes and      |
|    |              | 60: 11    | change flow.   |
| 65 | 60:13-60:15  | Clark 12/ | 06/2005  |
|    |              | 60: 13    | Q. And if you change the inlet guide vanes           |
|    |              | 60: 14    | and change flow, you're going to change the value of |
|    |              | 60: 15    | the flow-related parameter, correct?                 |
| 66 | 60:17-60:19  | Clark 12/ | 06/2005  |
|    |              | 60: 17    | THE WITNESS: If you change the inlet                 |
|    |              | 60: 18    | guide vanes and change flow, the flow rate parameter |
|    |              | 60: 19    | is going to change.                                  |
| 67 | 60:21-61:1   | Clark 12/ | 706/2005   |
|    |              | 60: 21    | Q. And that's because the pressures that are         |
|    |              | 60: 22    | being measured in the flow-related parameter are     |
|    |              | 60: 23    | going to change because of the change in the inlet   |
|    |              | 60: 24    | guide vane pressure?                                 |
|    |              | 60: 25    | A. The pressures are changing because the            |
|    |              | 61: 1     | flow is changing.                                    |
| 68 | 61:5-61:8    | Clark 12/ | 06/2005  |
|    |              | 61:5      | Q. Were - did the 165-9 APU have inlet               |
|    |              | 61:6      | guide vanes?   |
|    |              | 61:7      | A. The 165-9 does not have inlet guide               |
|    |              | 61:8      | vanes.   |
| 69 | 61:19-61:25  | Clark 12/ | 06/2005  |
|    |              | 61: 19    | Q. Are you familiar with the concept of              |
|    |              |           |  |

|    |             |          | James Clark Deposition Designations Final             |
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|    |             | 61: 20   | compressor surge?                                     |
|    |             | 61:21    | A. Yes, I've heard of compressor surge.               |
|    |             | 61:22    | I've worked on surge systems, so I'm familiar with    |
|    |             | 61:23    | the concept.  |
|    |             | 61: 24   | Q. And again, the concept of compressor               |
|    |             | 61:25    | surge is a concept that goes back to your college     |
| 70 | 62:1-62:8   | Clark 12 | /06/2005  |
|    |             | 62:1     | days and Shapiro?                                     |
|    |             | 62: 2    | A. Before I came to work, I had never heard           |
|    |             | 62: 3    | of compressor surge.                                  |
|    |             | 62: 4    | Q. So you had heard of compressor surge at            |
|    |             | 62:5     | least as of 1978?                                     |
|    |             | 62:6     | A. When I came to work I heard of compressor          |
|    |             | 62: 7    | surge; I don't know exactly when after I came, but I  |
|    |             | 62:8     | learned of it at work.                                |
| 71 | 63:2-63:4   | Clark 12 | /06/2005  |
|    |             | 63:2     | Q. And how long has the concept of                    |
|    |             | 63: 3    | compressor surge been known?                          |
|    |             | 63: 4    | A. I couldn't answer that.                            |
| 72 | 64:14-64:25 | Clark 12 | /06/2005  |
|    |             | 64: 14   | Q. So to create a minimum air flow and avoid          |
|    |             | 64: 15   | surge, you need to have enough air leaving the        |
|    |             | 64: 16   | compressor to keep the flow rate at the minimum rate, |
|    |             | 64: 17   | correct?  |
|    |             | 64: 18   | A. That's true. Then that flow rate is a              |
|    |             | 64: 19   | function of other things.                             |
|    |             | 64:20    | Q. Sure. And one way you'll get flow                  |
|    |             | 64:21    | through the compressor is the demand by the customer, |
|    |             | 64: 22   | that is, for instance, demand for air for the air     |
|    |             | 64: 23   | conditioning, correct?                                |
|    |             | 64: 24   | A. The customer can completely cut off the            |
|    |             | 64: 25   | flow.   |
| 73 | 66:24-67:2  | Clark 12 | /06/2005  |
|    |             | 66: 24   | Q. Do you know what a compressor map is?              |
|    |             | 66: 25   | A. A compressor map is a relationship of the          |
|    |             | 67: 1    | compressor flow and its output pressure; it's a       |
|    |             | 67: 2    | functional relationship on a graph.                   |
| 74 | 67:15-67:20 | Clark 12 | /06/2005  |
|    |             | 67: 15   | Q. And when was the first time that you               |
|    |             | 67: 16   | personally ever saw a compressor map?                 |
|    |             | 67: 17   | A. The first time I believe I saw a                   |
|    |             | 67: 18   | compressor map was when I went to work for Honeywell. |
|    |             | 67: 19   | Q. So in the late 1970s?                              |
|    |             | 67: 20   | A. Sometime in the late 1970s.                        |

| 75 | 67:21-67:25  | Clark 12/06/2005   |
|----|--------------|--|
|    |              | 67: 21 Q. But you understood compressor maps to              |
|    |              | 67: 22 have been something that had been around for many     |
|    |              | 67: 23 years before you joined Honeywell, correct?           |
|    |              | 67: 24 MS. STEVENSON: Object to the form, lacks              |
|    |              | 67: 25 foundation.   |
| 76 | 68:1-68:2    | Clark 12/06/2005   |
|    |              | 68: 1 THE WITNESS: I don't know. I couldn't                  |
|    |              | 68: 2 answer that question.                                  |
| 77 | 68:13-68:13  | Clark 12/06/2005   |
|    |              | 68: 13 (Marked for identification Exhibit 3.)                |
| 78 | 68:21 -68:22 | Clark 12/06/2005   |
|    |              | 68: 21 Q. And is this a typical representation of            |
|    |              | 68: 22 the flow characteristics of a compressor?             |
| 79 | 68:24-68:25  | Clark 12/06/2005   |
|    |              | 68: 24 THE WITNESS: This looks like a generic                |
|    |              | 68: 25 compressor map.                                       |
| 80 | 69:10-69:12  | Clark 12/06/2005   |
|    |              | 69; 10 Q. And this compressor – generic compressor           |
|    |              | 69: 11 map is similar to the compressor maps in that respect |
|    |              | 69: 12 that you worked with at Honeywell?                    |
| 81 | 69:17 -69:17 | Clark 12/06/2005   |
|    |              | 69: 17 A. It's the same form.                                |
| 82 | 70:12 -70:21 | Clark 12/06/2005   |
|    |              | 70: 12 Q. And am I right that each of those IGV              |
|    |              | 70: 13 lines shows the operating characteristics of a        |
|    |              | 70: 14 compressor at a specific inlet guide vane position?   |
|    |              | 70: 15 MS. STEVENSON: Objection; lacks                       |
|    |              | 70: 16 foundation.   |
|    |              | 70: 17 THE WITNESS: Not correct. Those lines                 |
|    |              | 70: 18 the speed of the compressor is held constant, the IGV |
|    |              | 70: 19 is held constant, and then the back pressure is or    |
|    |              | 70: 20 a valve or something is moved downstream of the valve |
|    |              | 70: 21 to create this line.                                  |
| 83 | 71:11-71:18  | Clark 12/06/2005   |
|    |              | 71: 11 Q. And in a compressor map like Exhibit 3,            |
|    |              | 71: 12 surge occurs at the left-most point on the IGV line,  |
|    |              | 71: 13 correct?  |
|    |              | 71: 14 A. At the lowest flow.                                |
|    |              | 71: 15 Q. And is that the left-most point on each            |
|    |              | 71: 16 individual IGV line?                                  |
|    |              | 71:17 A. The lowest flow is the most-left point              |

|    |             | 71: 18 there, right.  |
|----|-------------|---|
| 84 | 71:19-71:25 | Clark 12/06/2005  |
|    |             | 71: 19 Q. Does  |
|    |             | 71:20 A. The graph begins at low flow and goes to           |
|    |             | 71: 21 high flow.   |
|    |             | 71: 22 Q. The left side of X axis is low flow and           |
|    |             | 71; 23 the right side is high flow                          |
|    |             | 71: 24 A. That's correct.                                   |
|    |             | 71: 25 Q right?   |
| 85 | 72:1-72:4   | Clark 12/06/2005  |
|    |             | 72:1 And the bottom of the Y axis is lower                  |
|    |             | 72: 2 pressure ratio and the top of the Y axis is higher    |
|    |             | 72: 3 pressure ratio?                                       |
|    |             | 72: 4 A. Higher pressure ratio.                             |
| 86 | 73:6-73:8   | Clark 12/06/2005  |
|    |             | 73: 6 Q. So the bottom-most IGV line is for a               |
|    |             | 73: 7 one IGV position, and the top IGV line, for instance, |
|    |             | 73: 8 is for another IGV position or inlet?                 |
| 87 | 73:11-73:11 | Clark 12/06/2005  |
|    |             | 73: 11 THE WITNESS: If the speed is the same.               |
| 88 | 73:13-73:17 | Clark 12/06/2005  |
|    |             | 73: 13 Q. Correct. And if the speed is the same,            |
|    |             | 73: 14 the top IGV line is going to be the IGV line where   |
|    |             | 73: 15 the guide vanes are more open than the bottom,       |
|    |             | 73: 16 correct?   |
|    |             | 73: 17 A. That's correct.                                   |
| 89 | 76:5-77:6   | Clark 12/06/2005  |
|    |             | 76: 5 Q. In order to avoid, in your words, robbing          |
|    |             | 76: 6 the customer of the air for, say, air conditioning,   |
|    |             | 76: 7 you want to avoid surge, but you want to operate      |
|    |             | 76: 8 pretty much as close to that surge line as possible;  |
|    |             | 76; 9 isn't that right?                                     |
|    |             | 76: 10 MS. STEVENSON: Objection; vague,                     |
|    |             | 76: 11 incomplete hypothetical.                             |
|    |             | 76: 12 THE WITNESS: You want to have the surge              |
|    |             | 76: 13 control system keep you out you have to have it      |
|    |             | 76: 14 full enough to keep you out of surge. You cannot     |
|    |             | 76: 15 pick the flow that's right at surge, because due to  |
|    |             | 76: 16 tolerances and everything, that won't work. So you   |
|    |             | 76: 17 have to back away from there a certain amount to     |
|    |             | 76; 18 allow for tolerances or whatever in your system.     |
|    |             | 76; 19 BY MR, LIND:   |
|    |             | 76: 20 Q. You need a safety margin?                         |

|    |             | 76: 21    | A. You need a margin.                                 |
|----|-------------|-----------|---|
|    |             | 76: 22    | Q. But recognizing that you have to have              |
|    |             | 76:23     | some margin in order to in order to not rob the       |
|    |             | 76: 24    | customer of air, you want to operate, I guess, as     |
|    |             | 76: 25    | close to that margin anyhow, continuously, as you     |
|    |             | 77:1      | can, right?   |
|    |             | 77:2      | MS. STEVENSON: Objection; vague.                      |
|    |             | 77:3      | THE WITNESS: It depends on not                        |
|    |             | 77:4      | necessarily. The customer usually tells you where     |
|    |             | 77:5      | you want to operate at a point where you're not       |
|    |             | 77:6      | interfering with the customer's flow.                 |
| 90 | 79:18-79:24 | Clark 12  | /06/2005  |
|    |             | 79: 18    | Q. And you want to stay as close to that air          |
|    |             | 79: 19    | flow as you can without going into surge, correct?    |
|    |             | 79: 20    | MS. STEVENSON: Objection; incomplete                  |
|    |             | 79:21     | hypothetical, lacks foundation.                       |
|    |             | 79:22     | THE WITNESS: No, no, you just you                     |
|    |             | 79:23     | don't want surge, and you want to give the customer   |
|    |             | 79: 24    | the flow.   |
| 91 | 80:1-80:13  | Clark 12  | /06/2005  |
|    |             | 80: 1     | Q. All right. So to recap these IGV lines,            |
|    |             | 80:2      | they're in a compressor map and there's a different   |
|    |             | 80:3      | compressor operating curve for each IGV position when |
|    |             | 80: 4     | you hold the speed constant, correct?                 |
|    |             | 80:5      | A. That's right.                                      |
|    |             | 80:6      | Q. And so your IGV position or angle is               |
|    |             | 80:7      | going to affect where you are on the compressor map,  |
|    |             | 80:8      | correct?  |
|    |             | 80: 9     | A. It shifts those lines.                             |
|    |             | 80:10     | Q. So if you have one IGV position, you're            |
|    |             | 80: 11    | going to be at a different line on the compressor map |
|    |             | 80: 12    | than if you had a second IGV position, correct?       |
|    |             | 80: 13    | A. Considering the speed, that's correct.             |
| 92 | 80:25-81:20 | Clark 12/ | 706/2005  |
|    |             | 80: 25    | Q. Did you understand that the principle              |
|    |             | 81:1      | that IGV lines affect where you are in a compressor   |
|    |             | 81:2      | map was something that engineers knew going back      |
|    |             | 81:3      | before you joined Honeywell?                          |
|    |             | 81:4      | A. I couldn't say that. I don't know.                 |
|    |             | 81:5      | Q. So you think that that's something that            |
|    |             | 81:6      | Honeywell discovered?                                 |
|    |             | 81:7      | MS. STEVENSON: Objection; misstates the               |
|    |             | 81:8      | witness's testimony.                                  |
|    |             | 81.9      | THE WITNESS: I don't know who invented                |

|    |            | 81: 10   | IGVs.  |  |
|----|------------|----------|--|--|
|    |            | 81: 11   | BY MR. LIND:   |  |
|    |            | 81:12    | Q. You knew that inlet guide vanes were              |  |
|    |            | 81:13    | around prior to you joining Honeywell, correct?      |  |
|    |            | 81: 14   | A. I had never heard of IGVs before I joined         |  |
|    |            | 81:15    | Honeywell.   |  |
|    |            | 81:16    | Q. Do you have any reason to believe that            |  |
|    |            | 81: 17   | IGVs did not exist prior to you joining Honeywell?   |  |
|    |            | 81:18    | MS. STEVENSON: Objection; calls for                  |  |
|    |            | 81: 19   | speculation.   |  |
|    |            | 81:20    | THE WITNESS: I can't say.                            |  |
| 93 | 82:1-82:8  | Clark 12 | 2/06/2005  |  |
|    |            | 82:1     | Q. You really can't tell me whether you have         |  |
|    |            | 82: 2    | an understanding whether inlet guide vanes existed   |  |
|    |            | 82:3     | prior to 1976?                                       |  |
|    |            | 82:4     | MS. STEVENSON: Objection; argumentative              |  |
|    |            | 82:5     | and asked and answered.                              |  |
|    |            | 82:6     | THE WITNESS: I had no I had no                       |  |
|    | •          | 82:7     | knowledge of it, and I can't tell you whether they   |  |
|    |            | 82:8     | did or not.  |  |
| 94 | 82:10-83:3 | Clark 12 | //06/2005  |  |
|    |            | 82:10    | Q. Are you familiar with the term "set               |  |
|    |            | 82:11    | point" in the context of surge control?              |  |
|    |            | 82: 12   | A. Set point is a standard control term;             |  |
|    |            | 82: 13   | feedback control you're usually trying to hold       |  |
|    |            | 82: 14   | something at level, so in surge controls standard    |  |
|    |            | 82: 15   | control there would be a set point.                  |  |
|    |            | 82: 16   | Q. And a set point of a surge control system         |  |
|    |            | 82: 17   | is the minimum flow you want to maintain in order to |  |
|    |            | 82: 18   | avoid surge?   |  |
|    |            | 82:19    | A. What we really sense there it's                   |  |
|    |            | 82: 20   | whatever you're sensing; it may be Delta P/P. If     |  |
|    |            | 82: 21   | you're sensing Delta P/P, you can relate that to     |  |
|    |            | 82: 22   | some, it's not really flow, it's corrected flow      |  |
|    |            | 82:23    | coming out of there, discharged corrected flow. So   |  |
|    |            | 82:24    | you'd be sending it to some corrected discharge flow |  |
|    |            | 82:25    | would be your set point.                             |  |
|    |            | 83:1     | Q. So in the context of surge control                |  |
|    |            | 83:2     | system, your set point is the minimum corrected flow |  |
|    |            | 83:3     | that you want to maintain to avoid surge?            |  |
| 95 | 83:7-83:16 | Clark 12 | /06/2005   |  |
|    |            | 83: 7    | THE WITNESS: If that's what you're                   |  |
|    |            | 83:8     | controlling on. Maybe you have a curve that relates  |  |
|    |            | 83: 9    | that to Delta P/P, you you're not sensing            |  |
|    |            |          |  |  |

|     |             |          | James Clark Deposition Designations Final             |
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|     |             | 83: 10   | corrected flow directly; there is nothing out there,  |
|     |             | 83; 11   | a meter that says this is a corrected flow meter.     |
|     |             | 83: 12   | BY MR. LIND:  |
|     |             | 83: 13   | Q. Is the set point in the context of                 |
|     |             | 83: 14   | correcting or controlling for surge the flow-related  |
|     |             | 83: 15   | parameter that you want to maintain in order to avoid |
|     |             | 83: 16   | surge?  |
| 96  | 83:19-83:25 | Clark 12 | /06/2005  |
|     |             | 83: 19   | THE WITNESS: It could be a flow-related               |
|     |             | 83: 20   | parameter.  |
|     |             | 83: 21   | BY MR. LIND:  |
|     |             | 83: 22   | Q. What else could the set point be in the            |
|     |             | 83: 23   | context of surge control?                             |
|     |             | 83: 24   | A. For example, you look at these curves,             |
|     |             | 83: 25   | somebody could if you weren't worried about these     |
| 97  | 84:1 -84:5  | Clark 12 | /06/2005  |
|     |             | 84: 1    | IGV angles and speed lines, you could use simple      |
|     |             | 84:2     | pressure.   |
|     |             | 84: 3    | Q. In what context of surge control in an             |
|     |             | 84:4     | APU would you not be worried about IGV lines?         |
|     |             | 84:5     | A. If you all APUs don't have IGVs.                   |
| 98  | 89:1-89:4   | Clark 12 | /06/2005  |
|     |             | 89: 1    | Q. Based on your knowledge of compressor              |
|     |             | 89: 2    | maps and thermodynamic principles, in general, does   |
|     |             | 89: 3    | it make sense to use inlet guide vane position as an  |
|     |             | 89: 4    | input in a surge control system?                      |
| 99  | 89:6-89:9   | Clark 12 | /06/2005  |
|     |             | 89: 6    | THE WITNESS: The when you lower the                   |
|     |             | 89: 7    | inlet guide vane position, the compressor surges at a |
|     |             | 89: 8    | lower corrected flow, so you like to take advantage   |
|     |             | 89: 9    | of that.  |
| 100 | 89:11-89:16 | Clark 12 | /06/2005  |
|     |             | 89: 11   | Q. And is that a principle that you knew of           |
|     |             | 89: 12   | when you started working at Honeywell in the late     |
|     |             | 89: 13   | 1970s?  |
|     |             | 89: 14   | A. I think I tried to answer that. We knew            |
|     |             | 89: 15   | that when we started the F-18 development, which was  |
|     |             | 89: 16   | done in the 1970s.                                    |
| 101 | 90:2-90:7   | Clark 12 | /06/2005  |
|     |             | 90:2     | Q. Mr. Clark, in the late 1970s in                    |
|     |             | 90: 3    | connection with the F-18 aircraft, Honeywell used     |
|     |             | 90:4     | ínlet guide vane position as an input into its surge  |
|     |             | 90:5     | control system, correct?                              |

|     |                            | James Clark Deposition Designations Fin                     |
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|     |                            | 90: 6 A. No. It's a fully pneumatic system and it           |
|     |                            | 90: 7 did not use inlet guide vane position.                |
| 102 | 91:20-91:25                | Clark 12/06/2005  |
|     |                            | 91: 20 Q. And you know of surge control systems             |
|     |                            | 91: 21 that you've talked about that could use a flow       |
|     |                            | 91: 22 parameter based on either static pressure alone or a |
|     |                            | 91: 23 combination of static and total pressure             |
|     |                            | 91: 24 measurements, correct?                               |
|     |                            | 91: 25 A. I think I said earlier you couldn't just          |
| 103 | 92:1-92:2 Clark 12/06/2005 |   |
|     |                            | 92: 1 use one pressure, static pressure as a flow-related   |
|     |                            | 92: 2 parameter; that was your question, correct?           |
| 104 | 92:3-92:18                 | Clark 12/06/2005  |
|     |                            | 92:3 Q. You could take the difference between two           |
|     |                            | 92: 4 static pressure measurements at two different places  |
|     |                            | 92: 5 in the compressor, correct?                           |
|     |                            | 92: 6 A. Correct, you need two.                             |
|     | -                          | 92:7 Q. As a flow-related parameter?                        |
|     |                            | 92: 8 A. As a flow-related parameter.                       |
|     |                            | 92: 9 Q. You could also compare measurements of             |
|     |                            | 92: 10 static pressure and total pressure as a flow-related |
|     |                            | 92: 11 parameter in a surge control system, correct?        |
|     |                            | 92: 12 A. That's correct.                                   |
|     |                            | 92: 13 Q. And that's a concept that you've been             |
|     |                            | 92: 14 familiar with for how long?                          |
|     |                            | 92: 15 A. Since I've been at Honeywell.                     |
|     |                            | 92: 16 Q. And the same with the two static pressure         |
|     |                            | 92: 17 tap systems, correct?                                |
|     |                            | 92: 18 A. Right.  |
| 105 | 92:23-93:25                | Clark 12/06/2005  |
|     |                            | 92: 23 THE WITNESS: The static – the limiting               |
|     |                            | 92: 24 factor is the static pressure measurements there     |
|     |                            | 92: 25 will be a branch that goes to the a pipe that goes   |
|     |                            | 93:1 to the customer, and a pipe that goes to the surge     |
|     |                            | 93: 2 valve, okay, you have to be between the compressor    |
|     |                            | 93: 3 and a branch in those two pipes. In other words, the  |
|     |                            | 93: 4 static pressure has – the total flow has – it has     |
|     |                            | 93: 5 to be in a region where the total flow coming out of  |
|     |                            | 93: 6 the compressor is – because that's what you're        |
|     |                            | 93: 7 trying to control —                                   |
|     |                            | 93: 8 BY MR. LIND:  |
|     |                            | 93: 9 Q. Can you take static pressure measurements          |
|     |                            | 93: 10 in a surge control system in the pipe what you call  |
|     |                            | 93; 11 going to the surge bleed valve?                      |

93: 12 A. That -- no. 93: 13 Q. Can you take static pressure measurements 93:14 to determine your flow-related parameter in the, what 93: 15 you called the pipe going to the customer, the 93: 16 airplane? 93: 17 A. No, no. It's got to be someplace where 93: 18 the total flow flows through that cross-sectional 93: 19 area where the static port is. "Total flow" meaning 93:20 all the flow that the compressor is putting out. 93: 21 Q. Is what you called the pipe going to 93: 22 the --93:23 A. We're talking about load compressors now; 93:24 is that right? 93: 25 Q. Correct. 106 94:1-94:21 Clark 12/06/2005 94:1 A. We're not talking about other -- there's 94:2 integral bleed engines and we have load compressor, 94:3 we're talking about load compressors. 94:4 Q. Let's talk about load compressors for now. The pipe that you referred to as going to the 94:5 94:6 customer, the airplane, is that also the diffuser? 94:7 A. I wouldn't think so. 94:8 Q. Where does the diffuser exist in relation 94:9 to what you call the split in the pipes, one that 94: 10 goes to the surge bleed valve, one that goes to the 94:11 customer? 94:12 A. Compressors are made up of an impeller, 94:13 I'm talking about centrifugal compressors, that's 94:14 what I know about, they're usually load compressors, 94:15 an impeller, that's the thing that's spinning, and 94:16 then the flow goes out of the impeller into the 94:17 diffuser. And then the diffuser slows down the air 94:18 and it dumps into what's called scroll and the scroll 94: 19 collects all that diffuser flow, and there's usually 94:20 a single port that comes out of, and that will go and 94:21 branch to the customer or to the surge valve. 107 94:22 - 95:5 Clark 12/06/2005 94: 22 Q. So when you're talking about static 94:23 pressure measurements, you can take static pressure 94:24 measurements to determine your flow parameter in a 94:25 surge control system within the diffuser itself, 95: 1 correct? 95: 2 A. That's correct. 95:3 Q. And you can also take total pressure

measurements within the diffuser, correct?

95.4

|     |              | 95: 5 A. That would be correct.                              |
|-----|--------------|--|
| 108 | 96:12-96:23  | Clark 12/06/2005   |
|     |              | 96: 12 Q. And there are disadvantages to measuring           |
|     |              | 96: 13 total flow within the diffuser at least, because you  |
|     |              | 96: 14 have to stick the tube in there                       |
|     |              | 96: 15 A. You have to stick the tube in.                     |
|     |              | 96: 16 Q. And you lose some power, right?                    |
|     |              | 96: 17 A. Rìght.   |
|     |              | 96: 18 Q. And that's a principle that you knew               |
|     |              | 96: 19 about measuring pressure coming into Honeywell in the |
|     |              | 96: 20 late 1970s, correct?                                  |
|     |              | 96: 21 A. I don't know if I knew that coming in,             |
|     |              | 96: 22 but the aerodynamicists certainly told me to get that |
|     |              | 96: 23 thing out of the diffuser.                            |
| 109 | 96:24-97:3   | Clark 12/06/2005   |
|     |              | 96; 24 Q. And it makes sense that if you're going            |
|     |              | 96: 25 to be in the diffuser, you don't want to stick a      |
|     |              | 97:1 pitot tube necessary –                                  |
|     |              | 97: 2 A. It's where the air is moving the                    |
|     |              | 97: 3 fastest –  |
| 110 | 97:4-97:9    | Clark 12/06/2005   |
|     |              | 97: 4 Q. — it makes sense based on the                       |
|     |              | 97: 5 thermodynamic principles back to Shapiro that if       |
|     |              | 97: 6 you're going to measure pressure in a diffuser, you    |
|     |              | 97: 7 don't want to stick a pitot tube in there to measure   |
|     |              | 97: 8 total pressure, because you're going to lose power,    |
|     |              | 97: 9 correct?   |
| 111 | 97:11-97:13  | Clark 12/06/2005   |
|     |              | 97: 11 THE WITNESS: You don't want to stick it               |
|     |              | 97: 12 in there because it's going to cause a loss in        |
|     |              | 97: 13 performance.  |
| 112 | 97:15-97:20  | Clark 12/06/2005   |
|     |              | 97: 15 Q. And so it's general knowledge, dating              |
|     |              | 97: 16 back to when you started at Honeywell, that if you're |
|     |              | 97: 17 going to pressure in the diffuser, you're going to    |
|     |              | 97: 18 want to measure static pressure, correct?             |
|     |              | 97: 19 A. You're going to want to measure static             |
|     |              | 97: 20 pressure.   |
| 113 | 97:21 -97:25 | Clark 12/06/2005   |
|     |              | 97; 21 Q. Is there – are there advantages when               |
|     |              | 97: 22 you're measuring static pressure to measure it in the |
|     |              | 97: 23 diffuser, as opposed to elsewhere?                    |
|     |              | 97: 24 A. The advantages – there's disadvantages             |
|     |              |  |

|     |               | 97: 25 and disadvantages (sic). The advantages of             |
|-----|---------------|---|
| 114 | 98:1-98:2     | Clark 12/06/2005  |
|     |               | 98:1 measuring, you get a larger Delta P, that's an           |
|     |               | 98; 2 advantage.  |
| 115 | 98:3-98:7     | Clark 12/06/2005  |
|     |               | 98: 3 Q. So one advantage to measuring static                 |
|     |               | 98: 4 pressure in the diffuser, as opposed to somewhere       |
|     |               | 98: 5 else in the compressor, is that you will get a larger   |
|     |               | 98: 6 Delta P, correct?                                       |
|     |               | 98:7 A. That's correct.                                       |
| 116 | 99:1-99:5     | Clark 12/06/2005  |
|     |               | 99: 1 Q. Having a larger Delta P makes the                    |
|     |               | 99: 2 equipment used in the surge control system more         |
|     |               | 99; 3 reliable?   |
|     |               | 99: 4 A. It makes the equipment it makes the                  |
|     |               | 99: 5 well, the Delta P sensor more reliable.                 |
| 117 | 99:14-99:16   | Clark 12/06/2005  |
|     |               | 99; 14 Q. And again, that's a principle that you              |
|     |               | 99: 15 learned upon coming to Honeywell in the late 1970s,    |
|     |               | 99: 16 correct?   |
| 118 | 99:18-99:24   | Clark 12/06/2005  |
|     |               | 99: 18 THE WITNESS: Before I came to Honeywell                |
|     |               | 99: 19 I didn't even know about compressors and diffusers,    |
|     |               | 99: 20 so I didn't know that until I started working at       |
|     |               | 99: 21 Honeywell.   |
|     |               | 99: 22 BY MR. LIND:   |
|     |               | 99: 23 Q. Which was in the late 1970s, correct?               |
| -   |               | 99: 24 A. Late 1970s.   |
| 119 | 100:15-100:21 | Clark 12/06/2005  |
|     |               | 100: 15 THE WITNESS: I believe the previous                   |
|     |               | 100: 16 question was you knew about putting the static        |
|     |               | 100: 17 pressure in the diffuser would give you the largest   |
|     |               | 100: 18 signal, and then I responded, then it got to we knew  |
|     |               | 100: 19 that in the late 1970s, and I can't agree with the    |
|     |               | 100: 20 late 1970s, I'm not sure when we knew that that would |
|     |               | 100: 21 give us the largest signal or when I knew that.       |
| 120 | 101:1 -101:3  | Clark 12/06/2005  |
|     |               | 101:1 Q. What other advantages occur from taking              |
|     |               | 101: 2 static pressure measurements in the diffuser when      |
|     |               | 101: 3 designing a surge control system?                      |
| 121 | 101:5-101:16  | Clark 12/06/2005  |
|     |               | 101: 5 THE WITNESS: One of the advantages is                  |
|     |               |   |

#### **James Clark Deposition Designations -- Final** 101:6 some of our APUs we usually like to put it after --101:7 there's a small duct between the exit of the scroll 101:8 and the T that goes from the surge valve and the 101:9 customer, okay, and we like to put it in there. On 101:10 some APUs, because of the compartment it has to fit 101:11 in, it squeezes it real tight; there's not enough 101:12 room for that, so a convenient spot was to put it -101:13 obviously, you have to move it someplace else, you 101:14 could put it in the scroll or you could put it in the 101:15 diffuser. The answer to the question is space 101:16 limitations would be an advantage. 122 107:15-107:25 Clark 12/06/2005 107:15 Q. Have you heard of the term "DEL P QP"? 107:16 And for the record that's capital D; 107:17 capital E, capital L, capital P, capital Q, capital 107:18 107: 19 A. I believe it's in one of these, and 107:20 that's the first time I saw it. 107: 21 Q. When you say "in one of these" what are 107:22 you referring to? 107: 23 A. Let me see if I can find it. Okay, this 107:24 is - how do I say this. Deposition Exhibit -107: 25 Q. Exhibit 1? 123 108:1-108:12 Clark 12/06/2005 108:1 A. Exhibit 1. Okay, it's Exhibit 1, 108:2 attachment A, there's a paragraph 1 and C. 108:3 Q. Okay. And that's the first time you 108:4 believe - and you reviewed that document for the 108:5 first time yesterday, you said, right? A. That's correct. 108:6 108:7 Q. And that, you believe, is the first time 108:8 you've seen the DEL P QP characterization? 108:9 A. That's correct. 108:10 Q. Do you have an understanding as to what 108:11 DEL P QP is? 108: 12 A. No, I don't. 124 109:3-109:11 Clark 12/06/2005 109:3 Q. Let me ask you again, in your development 109:4 of the 331-200 surge control system, you understood 109:5 that the flow-related parameters and the surge set 109:6 point were a function of inlet guide vane position, 109:7 correct? 109:8 A. That's - I believe that's correct. 109:9 Q. And in the 331-250 series, the same

|     |               | James Clark Deposition Designations Fina                     |
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|     |               | 109: 10 thing's correct?                                     |
|     |               | 109: 11 A. The same thing is correct.                        |
| 125 | 109:12-109:15 | Clark 12/06/2005   |
|     |               | 109: 12 Q. And in the TSCP-700 surge control system          |
|     |               | 109: 13 that would also be correct?                          |
|     |               | 109: 14 A. No. A TSCP-700 does not have inlet guide          |
|     |               | 109: 15 vanes.   |
| 126 | 109:19-110:4  | Clark 12/06/2005   |
|     |               | 109: 19 Q. What's choked flow?                               |
|     |               | 109: 20 A. Choked flow is the flow we call choked            |
|     |               | 109: 21 is when it goes sonic in a certain area of the duct  |
|     |               | 109: 22 cross-sectionally in the duct. Once it goes sonic,   |
|     |               | 109: 23 you can't get any more corrected flow through there, |
|     |               | 109: 24 that's it.   |
|     |               | 109: 25 Q. And is this concept of choked flow that           |
|     |               | 110: 1 you just described something that you studied back in |
|     |               | 110: 2 school  |
|     |               | 110: 3 A. That's a fluid mechanics principle that I          |
|     |               | 110: 4 studied in school.                                    |
| 407 | 440 7 440 45  |  |
| 127 | 110:7-110:15  | Clark 12/06/2005   |
|     |               | 110: 7 Q. And when you have choked flow, you still           |
|     |               | 110: 8 have you can have supersonic flow downstream of       |
|     |               | 110: 9 the choke, correct?                                   |
|     |               | 110: 10 A. You can have if you're having a                   |
|     |               | 110: 11 diverging nozzle, you can have supersonic flow       |
|     |               | 110: 12 downstream with a choked flow.                       |
|     |               | 110: 13 Q. And when you say "a diverging nozzle," an         |
|     |               | 110: 14 example would be a diffuser, correct?                |
|     |               | 110: 15 A. A diffuser.                                       |
| 128 | 111:4-111:5   | Clark 12/06/2005   |
|     |               | 111: 4 Q. If you look back at Exhibit 3, it's by             |
|     |               | 111:5 your right hand there.                                 |
| 129 | 111:6-111:15  | Clark 12/06/2005   |
|     |               | 111: 6 If we look at any one of the IGV lines,               |
|     |               | 111: 7 you talked about before that the left-most point on   |
|     |               | 111:8 the IGV line is where surge occurs, correct?           |
|     |               | 111: 9 A. That's the left point is the surge                 |
|     |               | 111: 10 line, as labeled, yes.                               |
|     |               | 111: 11 Q. And the right-most, is there a name or a          |
|     |               | 111: 12 term for the right-most point of the IGV lines shown |
|     |               | 111: 13 on a generic compressor map like this?               |
|     |               | 111: 14 A. The right-most flow would be the is               |
|     |               | 111: 15 usually called the choked flow.                      |

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| 130 | 111:16-111:25 | Clark 12/06/2005  |
|     |               | 111: 16 Q. So you could also draw a line on Exhibit           |
|     |               | 111: 17 3 connecting the right most points, and that would be |
|     |               | 111: 18 a choked flow line, correct?                          |
|     |               | 111: 19 A. The choked flow line is usually a                  |
|     |               | 111: 20 vertical line like this, it usually parallels the Y   |
|     |               | 111: 21 axis.   |
|     |               | 111: 22 Q. For each particular inlet guide vane?              |
|     |               | 111: 23 A. For each particular inlet guide vane.              |
|     |               | 111: 24 Q. But the surge line in Exhibit 3 is a line          |
|     |               | 111: 25 that's connecting each point in surge, right?         |
| 131 | 112:1-112:9   | Clark 12/06/2005  |
|     |               | 112: 1 A. That's correct, on each speed IGV line.             |
|     |               | 112: 2 Q. Correct. And similarly, you could                   |
|     |               | 112: 3 connect the end points or right-hand points of the     |
|     |               | 112: 4 IGV lines to show where a choke occurs for each IGV    |
|     |               | 112: 5 line, correct?   |
|     |               | 112: 6 A. I'm trying to explain, those things are             |
|     |               | 112: 7 usually vertical, so it gets hard to find an end       |
|     |               | 112: 8 point, the line just goes vertical, so – but where     |
|     |               | 112: 9 it goes vertical we call it choke flow.                |
| 132 | 112:19-112:25 | Clark 12/06/2005  |
|     |               | 112: 19 Q. And that's something that you can tell by          |
|     |               | 112: 20 just looking at the compressor map IGV lines and      |
|     |               | 112: 21 seeing where they start to turn vertical, you can     |
|     |               | 112: 22 tell where choked flow occurs, correct?               |
|     |               | 112: 23 A. Well, you look down and see where the              |
|     |               | 112: 24 vertical line goes on the axis and you see that's     |
|     |               | 112: 25 choked flow.  |
| 133 | 113:22-113:25 | Clark 12/06/2005  |
|     |               | 113: 22 Q. Are you familiar with what's been called           |
|     |               | 113: 23 in this case the "inverted V" or the "double solution |
|     |               | 113: 24 problem <sup>1</sup> ?                                |
|     |               | 113: 25 A. I'm familiar with that.                            |
| 134 | 115:8-115:25  | Clark 12/06/2005  |
|     |               | 115: 8 Q. And the principles of choked flow and               |
|     |               | 115: 9 supersonic flow and the shockwave causing              |
|     |               | 115: 10 interference and measuring what actual flow is, those |
|     |               | 115: 11 are all principles that go back again to your study   |
|     |               | 115: 12 of fluid dynamics in college and graduate school,     |
|     |               | 115: 13 correct?  |
|     |               | 115: 14 MS. STEVENSON: Objection; compound.                   |
|     |               | 115: 15 THE WITNESS: Well, we knew about                      |
|     |               | 115: 16 shockwaves; we weren't necessarily thinking about     |

#### **James Clark Deposition Designations -- Final** 115:17 making a Delta P sensor across there. BY MR. LIND: 115:18 115: 19 Q. Put aside the Delta P sensor, you knew that when you had choked flow you could get 115:20 115:21 supersonic flow and a shockwave that would disrupt 115:22 any measurement of actual flow back when you studied 115:23 that in college? 115:24 A. You're right up to the point I knew there 115, 25 were shockwaves, then you put the word "measurement" 116:1-116:1 Clark 12/06/2005 135 116:1 in there; I'm not sure I would agree with that. 136 116:2-116:14 Clark 12/06/2005 116:2 Q. Okay. When you studied fluid dynamics in 116:3 college you knew that when you had a situation of 116:4 choked flow, you could get supersonic flow downstream 116:5 in the diffuser, correct? 116:6 A. Correct. 116:7 Q. And you also knew that you could get a 116:8 shockwave, correct? 116:9 A. Correct. 116: 10 Q. And you also knew at the time that if you 116:11 got a shockwave, you might have a pressure -- an 116:12 apparent pressure differential along the diffuser 116: 13 that was not representative of what the actual flow 116:14 was, correct? 137 116:16-116:18 Clark 12/06/2005 116:16 THE WITNESS: I'm getting back to flow 116:17 sensor now, I knew that there was pressure drop 116: 18 across the shockwave. Clark 12/06/2005 138 116:20-116:22 116: 20 Q. Okay. And so you knew that --116:21 A. A loss of total pressure across the 116: 22 shockwave. 139 117:5-117:24 Clark 12/06/2005 117:5 Q. When did you first learn that if you had 117:6 choked flow and then a shockwave caused by supersonic 117:7 flow, that you could get an, I guess, misleading 117:8 pressure differential as a flow parameter? 117:9 MS. STEVENSON: Object to the form and 117:10 vague. 117:11 THE WITNESS: I can't say that - I know 117:12 that the first time we put statics down on the 117:13 diffuser was a Delta P/Delta P, and we didn't see any 117:14 problems with the shockwaves when we did it that way.

|     |              |          | James Clark Deposition Designations Final             |
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|     |              | 117: 15  | BY MR. LIND:  |
|     |              | 117: 16  | Q. When did you first learn of what we                |
|     |              | 117: 17  | talked about as the double solution problem?          |
|     |              | 117: 18  | A. I can't – I don't know when I first knew           |
|     |              | 117: 19  | about that. I worked on the 131; we didn't have that  |
|     |              | 117: 20  | problem because we used a Delta P/Delta P. So I       |
|     |              | 117:21   | couldn't say when I first learned of that.            |
|     |              | 117: 22  | Q. Well, you just said 131, did you mean the          |
|     |              | 117:23   | 131-3, specifically?                                  |
|     |              | 117: 24  | A. The 131-3.   |
| 140 | 117:25-118:3 | Clark 12 | /06/2005  |
|     |              | 117: 25  | Q. When did you well, when did you first              |
|     |              | 118: 1   | know of that fluid dynamics principles behind the     |
|     |              | 118: 2   | double solution problem; that's back in college,      |
|     |              | 118: 3   | right?  |
| 141 | 118:6-118:7  | Clark 12 | /06/2005  |
|     |              | 118:6    | THE WITNESS: I knew of shockwaves and                 |
|     |              | 118:7    | pressure drops back then.                             |
| 142 | 118:9-118:12 | Clark 12 | /06/2005  |
|     |              | 118: 9   | Q. And those are through the fluid dynamic            |
|     |              | 118: 10  | principles that you discussed that are responsible    |
|     |              | 118: 11  | for the double solution problem, correct?             |
|     |              | 118: 12  | A. That's correct.                                    |
| 143 | 118:23-119:6 | Clark 12 | /06/2005  |
|     |              | 118: 23  | Q. I really need you to focus on my                   |
|     |              | 118: 24  | question. Can a shockwave occur anywhere in a         |
|     |              | 118:25   | compressor other than the diffuser?                   |
|     |              | 119:1    | MS. STEVENSON: Objection; asked and                   |
|     |              | 119:2    | answered.   |
|     |              | 119:3    | THE WITNESS: From what I know about                   |
|     |              | 119:4    | fluid mechanics and what I know a little about        |
|     |              | 119:5    | compressors, the only place I know that the area      |
|     |              | 119:6    | increases like that is in the diffuser.               |
| 144 | 119:21-120:6 | Clark 12 | /06/2005  |
|     |              | 119: 21  | Q. So the two places or the two ways in               |
|     |              | 119: 22  | which you say you get the double solution problem are |
|     |              | 119: 23  | either where you get a shockwave between two static   |
|     |              | 119: 24  | pressure taps within the diffuser, that's one way,    |
|     |              | 119: 25  | right?  |
|     |              | 120:1    | A. Yeah, that's one way.                              |
|     |              | 120: 2   | Q. And the other way is where you have a              |
|     |              | 120: 3   | shockwave between a static pressure tap in the        |
|     |              | 120:4    | diffuser and a total pressure tap outside the         |
|     |              | 120:5    | discharging diffuser, correct?                        |
|     |              |          |   |

|     |               | James Clark Deposition Designations Fina   |
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|     |               | 120: 6 A. That's another way.  |
| 145 | 122:2 -122:10 | Clark 12/06/2005   |
|     |               | 122: 2 Q. If you operate a compressor continuously   |
|     |               | 122: 3 close to surge, will you experience the double  |
|     |               | 122: 4 solution problem?   |
|     |               | 122: 5 MS. STEVENSON: Objection; vague and   |
|     |               | 122: 6 incomplete hypothetical.  |
|     |               | 122: 7 THE WITNESS: Close to surge you it  |
|     |               | 122: 8 would be a very poorly designed compressor if there   |
|     |               | 122: 9 was supersonic flow in there; I would not expect  |
|     |               | 122: 10 that.  |
| 146 | 127:3-127:8   | Clark 12/06/2005   |
|     |               | 127: 3 Q. So any time that you take a static   |
|     |               | 127: 4 pressure measurement in the context of a flow   |
|     |               | 127: 5 parameter within the diffuser that experiences  |
|     |               | 127: 6 supersonic flow, you're going to expect to see that   |
|     |               | 127: 7 double solution curve, correct?   |
|     |               | 127: 8 A. You could say that, yes.   |
| 147 | 127:9-127:14  | Clark 12/06/2005   |
|     |               | 127: 9 Q. When was the first time that you or  |
|     |               | 127: 10 anyone else at Honeywell ever saw a double solution  |
|     |               | 127:11 curve?  |
|     |               | 127: 12 A. I know I did not see that during the 131  |
|     |               | 127: 13 program, so it was after that, that's as close as I  |
|     |               | 127: 14 can – the 131-3.   |
| 148 | 128:23-129:1  | Clark 12/06/2005   |
|     |               | 128: 23 Q. And you knew about shockwaves and their   |
|     |               | 128: 24 effect on dropping discharge pressure when you   |
|     |               | 128: 25 studied that in your fluid dynamics courses in   |
|     |               | 129: 1 college, correct?   |
| 149 | 129:4-129:6   | Clark 12/06/2005   |
|     |               | 129: 4 THE WITNESS: We studied that, we didn't   |
|     |               | 129: 5 necessarily study air sensors, though; there has to   |
|     |               | 129: 6 be a relationship there and I don't believe   |
| 150 | 129:8-129:17  | Clark 12/06/2005   |
|     |               | 129: 8 Q. You studied flow?  |
|     |               | 129: 9 A. I studied flow, and I certainly didn't   |
|     |               | 129: 10 pick it up.  |
|     |               | 129: 11 Q. Pick what up?   |
|     |               | 129: 12 A. The fact that putting static pressures  |
|     |               |  |
|     |               |  |
|     |               | 129: 13 down there would cause this double solution problem.  129: 14 Q. You didn't pick that up in your college |

|         |                                | · · · · · · · · · · · · · · · · · · ·  |
|---------|--------------------------------|--|
|         |                                | 129: 16 A. I didn't pick that up from my college   |
|         |                                | 129: 17 courses that that would be a problem.  |
| 151     | 130:1 -130:7                   | Clark 12/06/2005   |
| . •     |                                | 130: 1 Q. When did you first know about the double   |
|         |                                | 130: 2 solution curve in the context of Honeywell's own  |
|         |                                | 130: 3 surge control logic?  |
|         |                                | 130: 4 A. I think I've asked (sic) that question   |
|         |                                | 130: 5 before; I can't give you a – I don't know an exact  |
|         |                                | 130: 6 date on that, because the program I worked on didn't  |
|         |                                | 130: 7 have that problem.  |
| 450     | 400 45 404 0                   | The state of the s |
| 152     | 130:15-131:3                   | Clark 12/06/2005   |
|         |                                | 130: 15 Q. Early or late 1980s?  |
|         |                                | 130:16 A. I don't know. I couldn't say.  |
|         |                                | 130: 17 Q. And what would you do to find out the   |
|         |                                | 130: 18 first time that you or anyone else at Honeywell knew   |
|         |                                | 130: 19 about the double solution problem?   |
|         |                                | 130: 20 A. We could check and see – I know that  |
|         |                                | 130: 21 it's used in the 331-350; I could check to see memos   |
|         |                                | 130: 22 on that.   |
|         |                                | 130: 23 Q. When you say "it is used," what is the  |
|         |                                | 130: 24 "it" you're referring to?  |
|         |                                | 130: 25 A. There's logic in there to switch with   |
|         |                                | 131: 1 this double solution problem.   |
|         |                                | 131: 2 Q. Does that logic exist in the 331-200?  |
|         |                                | 131: 3 A. No.  |
| 153     | 131:16-131:19                  | Clark 12/06/2005   |
|         |                                | 131: 16 Q. So the 331-350 looks at inlet guide vane  |
|         |                                | ·  |
|         |                                | 131: 17 position in order to recognize whether you're  |
|         |                                | •  |
|         |                                | <ul><li>131: 17 position in order to recognize whether you're</li><li>131: 18 experiencing the double solution problem?</li><li>131: 19 A. That is correct.</li></ul>  |
| <br>154 | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  Clark 12/06/2005  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  Clark 12/06/2005 134: 8 Q. Describe for me the logic in the 331-350   |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  Clark 12/06/2005  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  Clark 12/06/2005 134: 8 Q. Describe for me the logic in the 331-350 134: 9 that used inlet guide vane position to determine 134: 10 whether the double solution issue existed?  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem? 131: 19 A. That is correct.  Clark 12/06/2005 134: 8 Q. Describe for me the logic in the 331-350 134: 9 that used inlet guide vane position to determine 134: 10 whether the double solution issue existed? 134: 11 A. Yeah, I think I already replied to that.  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,   |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,  134: 13 it's got inlet guide vane position and pressure  |
| 154     | 134:8 -134:15                  | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,   |
|         |                                | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,  134: 13 it's got inlet guide vane position and pressure  134: 14 inputs, and it makes a decision on which side of the  134: 15 curve you're on.  |
|         | 134:8 -134:15<br>134:24 -135:7 | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,  134: 13 it's got inlet guide vane position and pressure  134: 14 inputs, and it makes a decision on which side of the  134: 15 curve you're on.  Clark 12/06/2005  |
|         |                                | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a I believe there's a schedule in there,  134: 13 it's got inlet guide vane position and pressure  134: 14 inputs, and it makes a decision on which side of the  134: 15 curve you're on.  Clark 12/06/2005  134: 24 Q. Why does the double solution problem   |
| 154     |                                | 131: 18 experiencing the double solution problem?  131: 19 A. That is correct.  Clark 12/06/2005  134: 8 Q. Describe for me the logic in the 331-350  134: 9 that used inlet guide vane position to determine  134: 10 whether the double solution issue existed?  134: 11 A. Yeah, I think I already replied to that.  134: 12 There's a — I believe there's a schedule in there,  134: 13 it's got inlet guide vane position and pressure  134: 14 inputs, and it makes a decision on which side of the  134: 15 curve you're on.  Clark 12/06/2005  |

|     |                | James Clark Deposition Designations Final                    |
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|     |                | 135: 3 Q. So any time you put static pressure ports          |
|     |                | 135: 4 in the diffuser, you can exhibit and you get          |
|     |                | 135: 5 supersonic flow in the diffuser, you'll experience    |
|     |                | 135: 6 this double solution problem?                         |
|     |                | 135: 7 A. Yes, that's right.                                 |
| 156 | 135:8 -135:14  | Clark 12/06/2005   |
|     |                | 135: 8 Q. Where are the static ports in the                  |
|     |                | 135: 9 331-50— I'm sorry, where are the static pressure      |
|     |                | 135: 10 ports within the 331-350 diffuser?                   |
|     |                | 135: 11 A. I don't know where they are exactly,              |
|     |                | 135; 12 they're down - they're in the diffusers and I don't  |
|     |                | 135: 13 know the location, that was — if that was the        |
|     |                | 135: 14 question.  |
| 157 | 135:16-135:19  | Clark 12/06/2005   |
|     |                | 135: 16 And is when you're the 331-350 uses                  |
|     |                | 135: 17 your Delta P/P flow parameter in its surge control   |
|     |                | 135: 18 system, correct?                                     |
|     |                | 135: 19 A. That's correct.                                   |
| 158 | 135:20 -135:24 | Clark 12/06/2005   |
|     |                | 135: 20 Q. So my understanding of the Delta P/P              |
|     |                | 135: 21 flow-related parameter is that it is total pressure  |
|     |                | 135: 22 minus static pressure over total pressure, correct?  |
|     |                | 135: 23 A. It's total pressure minus static                  |
|     |                | 135: 24 pressure, that quantity over total pressure.         |
| 159 | 137:4-137:13   | Clark 12/06/2005   |
|     |                | 137: 4 Q. Is the difference between the control —            |
|     |                | 137: 5 surge control logic to the 331-200 and the 331-350 be |
|     |                | 137: 6 the location of the static pressure taps, then?       |
|     |                | 137: 7 A. That's correct.                                    |
|     |                | 137: 8 Q. Why did you move the static pressure tap           |
|     |                | 137: 9 from the duct in the 331-200 to the diffuser in the   |
|     |                | 137: 10 331-350?   |
|     |                | 137: 11 A. I don't know all the reasons, but I know          |
|     |                | one reason was to get a larger Delta P signal, which         |
|     |                | 137: 13 we previously discussed.                             |
| 160 | 138:14-138:18  | Clark 12/06/2005   |
|     |                | 138: 14 Q. Mr. Clark, does the 165-9 APU experience          |
|     |                | 138: 15 the double solution problem?                         |
|     |                | 138; 16 A. The 165-9 doesn't have a load compressor.         |
|     |                | 138: 17 Q. You mention that – so the answer is no?           |
|     |                | 138: 18 A. The answer is no.                                 |
| 161 | 139:13-139:25  | Clark 12/06/2005   |
|     |                | 139; 13 Q. Can you have the double solution problem          |
|     |                |  |

#### James Clark Deposition Designations -- Final 139: 14 in a compressor that is not a load compressor? 139: 15 A. We don't have any diffuser statics in any 139:16 compressor that's not a load compressor. 139: 17 Q. That's a different answer to a different 139: 18 question. Here's my question, can you have the 139: 19 double solution problem in a compressor that is not a 139: 20 load compressor? 139:21 A. By design, I don't think you would. 139:22 Q. If that compressor had a diffuser, you 139:23 could have a double solution problem in a compressor 139:24 that is not a load compressor, correct? 139:25 MS. STEVENSON: Objection; argumentative Clark 12/06/2005 162 140:1 - 140:12 140:1 and asked and answered. 140:2 THE WITNESS: If you don't have a load 140:3 compressor, it's usually a bleed machine. And bleed 140:4 machines take off a little bit of bleed flow, but 140:5 most of the - it's an engine, and most of its flow 140:6 is going through its own turbine, okay, so if you put 140:7 the statics down in the diffuser to sense flow, you'd 140:8 see a very small change in flow, because most of the 140:9 flow is going through the turbine; that's why I said 140:10 by design you wouldn't have a double solution 140:11 problem, because you wouldn't put diffuser statics in 140:12 a machine that didn't have a load compressor. 163 140:22-141:2 Clark 12/06/2005 140:22 Q. I want to ask you some more questions 140:23 about Honeywell's use of inlet guide vane position to 140:24 solve the double solution problem, okay? 140: 25 A. (No audible response.) 141:1 Q. Why did Honeywell use inlet guide vane 141:2 position to solve the double solution problem? 164 141:4-141:7 Clark 12/06/2005 141:4 THE WITNESS: I think -- I didn't work on 141:5 that, but I - they looked for some logic to try and 141:6 find out when that occurs, and one of the parameters 141:7 that is an influence there is IGV position. 165 141:9-141:15 Clark 12/06/2005 141:9 Q. One of the reasons that you used IGV 141:10 position to solve the double solution problem is that 141:11 IGV position influences where you are on the 141; 12 compressor map, right?

141: 13 A. It changes the compressor map.

Q. So yes?

141: 14

|     |                          | 141: 15   | A. Yes, it changes the compressor map.               |
|-----|--------------------------|-----------|--|
| 166 | 166 <b>141:16-141:18</b> |           | 06/2005  |
|     |                          | 141:16    | Q. Who worked on Honeywell's development of          |
|     |                          | 141:17    | the surge control system that used IGV position to   |
|     |                          | 141: 18   | solve the double solution problem?                   |
| 167 | 141:20-141:22            | Clark 12/ | 06/2005  |
|     |                          | 141:20    | THE WITNESS: The first — the first                   |
|     |                          | 141:21    | product that we had that had that was the 331-350,   |
|     |                          | 141: 22   | and I think I've already given that answer.          |
| 168 | 144:20-145:1             | Clark 12/ | 06/2005  |
|     |                          | 144: 20   | Q. But because that happens, because change          |
|     |                          | 144:21    | in IGV position changes the compressor operating     |
|     |                          | 144: 22   | curve, you have to use IGV position to solve the .   |
|     |                          | 144: 23   | double solution problem, right?                      |
|     |                          | 144: 24   | A. I don't know if they are the same,                |
|     |                          | 144: 25   | governed by the same principle, so I'm not - I don't |
|     |                          | 145: 1    | know if you would have that's a conclusion.          |
| 169 | 150:21-150:25            | Clark 12/ | 06/2005  |
|     |                          | 150: 21   | Q. One of the things you need to know to             |
|     |                          | 150: 22   | know where that double solution problem exists is    |
|     |                          | 150: 23   | which IGV position you're on, because that will      |
|     |                          | 150: 24   | determine -  |
|     |                          | 150: 25   | A. That's part of the information you need.          |
| 170 | 151:5-151:25             | Clark 12/ | 06/2005  |
|     |                          | 151:5     | Q. You made a distinction between the                |
|     |                          | 151:6     | controls engineer guy on the one hand                |
|     |                          | 151:7     | A. One guy does analysis.                            |
|     |                          | 151:8     | Q. Let me finish – and the guy who I think           |
|     |                          | 151:9     | you said designs the system on the other hand; what  |
|     |                          | 151: 10   | was the distinction you're trying to draw?           |
|     |                          | 151: 11   | A. One person is – Harold Riley is in                |
|     |                          | 151: 12   | charge of the ECU; that's his responsibility. The    |
|     |                          | 151: 13   | ECU is electronic control unit, and he defines what  |
|     |                          | 151: 14   | goes on in there. He may not understand what goes in |
|     |                          | 151: 15   | there, but he defines that to whosever vendor is     |
|     |                          | 151:16    | making the control. The controls analysis guy can –  |
|     |                          | 151: 17   | because they - Harold doesn't necessarily understand |
|     |                          | 151: 18   | it, he can go to the controls analysis and ask him   |
|     |                          | 151: 19   | how should I control this. And so it's a controls    |
|     |                          | 151:20    | analysis person to come up with this is the way you  |
|     |                          | 151:21    | Control it.  |
|     |                          | 151: 22   | Q. Who's the guy who knows all the Shapiro           |
|     |                          | 151: 23   | stuff about flows and thermodynamics and all that?   |
|     |                          | 151:24    | A. It's supposed to be the analysis person.          |

|     |               | 151: 25 Q. So one of the critical people in                  |
|-----|---------------|--|
| 171 | 152:1 -152:21 | Clark 12/06/2005   |
|     |               | 152: 1 designing and understanding the surge control system  |
|     |               | 152: 2 is the person who knows the thermodynamic and fluid   |
|     |               | 152: 3 dynamic principles, and then another person might be  |
|     |               | 152: 4 someone who actually is a control designer kind of    |
|     |               | 152: 5 person?   |
|     |               | 152: 6 A. He's the person that writes the                    |
|     |               | 152: 7 specifications and is in charge of the ECU, making    |
|     |               | 152: 8 sure it's purchased and comes in on schedule. He's a  |
|     |               | 152: 9 hardware and software type person. He's the person    |
|     |               | 152: 10 that describes the real specification; he doesn't    |
|     |               | 152: 11 necessarily have to know how it works.               |
|     |               | 152: 12 Q. And that's the controls guy you're                |
|     |               | 152: 13 talking about?                                       |
|     |               | 152: 14 A. That's the controls guy; that would be            |
|     |               | 152: 15 the Harold Riley guy.                                |
|     |               | 152: 16 Q. And then there's the other guy who is             |
|     |               | 152: 17 important in this process who knows how it all works |
|     |               | 152: 18 in the sense that knows what the fluid dynamic       |
|     |               | 152: 19 properties are and whatnot, correct?                 |
|     |               | 152: 20 A. He's the guy who is supposed to know how          |
|     |               | 152: 21 It works in the fluid properties.                    |
| 172 | 157:7 -157:18 | Clark 12/06/2005   |
|     |               | 157: 7 Q. Would the 331-200 also have the potential          |
|     |               | 157:8 for the double solution problem, if it didn't          |
|     |               | 157: 9 experience it?  |
|     |               | 157: 10 A. It does not have diffuser statics, you            |
|     |               | 157: 11 said 331-200, correct?                               |
|     |               | 157: 12 Q. Correct.  |
|     |               | 157: 13 A. It would not have it because it does not          |
|     |               | 157: 14 have diffuser statics.                               |
|     |               | 157: 15 Q. When you say it does not have diffuser            |
|     |               | 157: 16 statics, do you mean it does not have pressure taps  |
|     |               | 157: 17 in the diffuser?                                     |
|     |               | 157: 18 A. That's correct.                                   |
| 173 | 159:4-159:15  | Clark 12/06/2005   |
|     |               | 159: 4 Q. Okay. When was the 131-9B surge control            |
|     |               | 159: 5 logic developed?                                      |
|     |               | 159: 6 A. I couldn't tell you exactly.                       |
|     |               | 159: 7 Q. Tell me  |
|     |               | 159: 8 A. It was a – I believe that thing was –              |
|     |               | 159: 9 it had to have been after mid-'80s.                   |
|     |               | 159: 10 Q. Can you tell me anything that changed in          |
|     |               |  |

|     |               | James Clark Deposition Designations Fina                      |
|-----|---------------|---|
|     |               | 159: 11 the technology or the field of surge control between  |
|     |               | 159: 12 the end of the 1970s and whenever the 331-350 surge   |
|     |               | 159: 13 control logic was conceived that made the use of      |
|     |               | 159: 14 Inlet guide vane position to solve the double         |
|     |               | 159: 15 solution problem unforeseeable back in the late '70s? |
| 174 | 160:2-160:9   | Clark 12/06/2005  |
|     |               | 160: 2 Q. As Honeywell's corporate representative,            |
|     |               | 160: 3 can you tell me anything that changed in the           |
|     |               | 160: 4 technology in the field of surge control between the   |
|     |               | 160: 5 end of the 1970s and whenever the 331-350 surge        |
|     |               | 160: 6 control logic was conceived that made the use of       |
|     |               | 160: 7 inlet guide vane position to solve the double          |
|     |               | 160: 8 solution problem unforeseeable back in the late        |
|     |               | 160: 9 1970s?   |
| 175 | 160:12-160:13 | Clark 12/06/2005  |
|     |               | 160: 12 THE WITNESS: 1 think ) answered that                  |
|     |               | 160: 13 question, we didn't know that it existed.             |
| 176 | 160:15-160:17 | Clark 12/06/2005  |
|     |               | 160: 15 Q. Can you tell me anything ~                         |
|     |               | 160: 16 A. So changing the technology wouldn't help,          |
|     |               | 160: 17 we didn't know it existed.                            |
| 177 | 161:10-161:12 | Clark 12/06/2005  |
|     |               | 161: 10 Q. Had you known that the double solution             |
|     |               | 161: 11 problem existed in the late '70s, could you have      |
|     |               | 161: 12 solved it?  |
| 178 | 161:15-161:16 | Clark 12/06/2005  |
|     |               | 161: 15 THE WITNESS: Well, we solved it on the                |
|     |               | 161: 16 350, doing some tests there, because we ran into it.  |
| 179 | 161:18-161:20 | Clark 12/06/2005  |
|     |               | 161: 18 Q. Could you have done the same tests that            |
|     |               | 161: 19 you did on the 350 to generate that data in the late  |
|     |               | 161: 20 1970s?  |
| 180 | 161:23-162:1  | Clark 12/06/2005  |
|     |               | 161: 23 THE WITNESS: Well, the test data that                 |
|     |               | 161: 24 was done – we didn't do this in that time frame, but  |
|     |               | 161: 25 the the testing if the problem came up had            |
|     |               | 162: 1 come up, it could have been solved.                    |
| 181 | 162:3 -162:5  | Clark 12/06/2005  |
|     |               | 162: 3 Q. In the late 1970s, had the double                   |
|     |               | 162: 4 solution problem come up, it could have been solved    |
|     |               | 162: 5 at Honeywell?  |
| 182 | 162:8 -162:9  | Clark 12/06/2005  |
|     |               |   |

|   |                | 162: 8 THE WITNESS: If it had come up — if it 162: 9 had come up it could have been solved.           |  |  |
|---|----------------|---|--|--|
| 102                                     | 162.44 162.44  | •   |  |  |
| 183                                     | 162:11 -162:14 | 162: 11 Q. And it could have been solved by using   |  |  |
|   |                | 162: 12 inlet guide vane position, correct, in the late   |  |  |
|   |                | 162: 13 1970s?  |  |  |
|   |                | 162: 14 A. The same way we did it on the 350.   |  |  |
| 184                                     | 164:12-164:15  | Clark 12/06/2005  |  |  |
|   |                | 164: 12 Q. I'm going show you Hamilton Remand   |  |  |
|   |                | 164: 13 Exhibit 5, which is your declaration filed in this  |  |  |
|   |                | 164: 14 case and signed by you on September 7th of the year   |  |  |
|   |                | 164: 15 2000.   |  |  |
| 185                                     | 164:16-164:18  | Clark 12/06/2005  |  |  |
|   |                | 164: 16 Is Exhibit 5 a signed copy of your sworn  |  |  |
|   |                | 164: 17 declaration in this case?   |  |  |
|   |                | 164: 18 A. It appears to be.  |  |  |
| 186 <b>179:1-179:4</b> Clark 12/06/2005 |                | Clark 12/06/2005  |  |  |
|   |                | 179: 1 Q. I think that's the fourth sentence.   |  |  |
|   |                | 179: 2 A. Okay. "To begin with, the use of the IGV  |  |  |
|   |                | 179: 3 position performs the same function in the APS-3200  |  |  |
|   |                | 179: 4 as it does in the patents."  |  |  |
| 187                                     | 183:18-184:3   | Clark 12/06/2005  |  |  |
|   |                | 183: 18 Q. And then the last sentence, please look  |  |  |
|   |                | 183: 19 at in that paragraph 10, you see that?  |  |  |
|   |                | 183; 20 A. Okay.  |  |  |
|   |                | 183: 21 Q. In the last sentence you say "So the fact  |  |  |
|   |                | 183: 22 that Sunstrand's APS-3200 may have the inverted   |  |  |
| •                                       |                | 183: 23 V/double solution characteristic is irrelevant to the   |  |  |
|   |                | 183: 24 question of whether the APS-3200 uses the technology  |  |  |
|   |                | 183: 25 described in the 893 and 194 patents." And that was   |  |  |
|   |                | 184: 1 and still is a true statement when you swore to it 184: 2 under oath, correct?                 |  |  |
|   |                | 184: 3 A. That's correct.   |  |  |
| 188                                     | 185:6-185:22   | Clark 12/06/2005  |  |  |
|   |                | 185: 6 Q. Right. And your point was that the issue  |  |  |
|   |                | 185: 7 of using the inlet guide vane positions to solve that  |  |  |
|   |                | 185: 8 hump problem or the double solution problem –  |  |  |
|   |                | 185: 9 A. No, that wasn't the point —   |  |  |
|   |                | 185: 10 Q. I'm sorry, sir, you have to let me   |  |  |
|   |                | 185: 11 finish, otherwise you can't — mind reading doesn't  |  |  |
|   |                | 185: 12 quite work.   |  |  |
|   |                | soo. 12 gate work,  |  |  |
|   |                | 185: 13 Your point was that the double solution 185: 14 problem, I guess, guote, is irrelevant to the |  |  |

#### James Clark Deposition Designations -- Final question of whether the APS-3200 uses the technology 185: 15 185:16 described in the patents, right? A. That sentence means that the fact that 185: 17 185: 18 there is a hump there is irrelevant to the patent. Q. And it's - and the inverted double V 185: 19 185: 20 solution is irrelevant to determining whether there 185:21 is infringement of the patent, right? A. I don't think that's what that's saying. 185: 22 189 187:19 - 188:5 Clark 12/06/2005 187: 19 Q. Are you saying that the fact that the APU 187:20 has this inverted V/double solution characteristic is 187:21 irrelevant to the question of whether the APS-3200 is 187: 22 equivalent to the claims of the 893 and 194 patents? 187: 23 A. I'm saying the inverted double V 187: 24 solution, as you call it, means that that curve is 187: 25 double valued, and I'm saying that characteristic is 188: 1 irrelevant. 188:2 Q. And it's irrelevant to whether the 188:3 APS-3200 is equivalent to what is claimed in the 893 188:4 and 194 patents? 188:5 A. That's correct. 190 189:11-189:14 Clark 12/06/2005 Q. Uh-huh. You've stated that the 331-350 189: 11 189: 12 APU, for example, has the same inverted V or double 189:13 solution problem as the APS-3200, correct? 189: 14 A. Right. 191 192:10-192:15 Clark 12/06/2005 192: 10 Q. Now, in the second sentence, Mr. Muller 192:11 says, "But that inverted V/double solution 192: 12 characteristic has more to do with where pressure is 192:13 being measured in the compressor than how the 192: 14 APS-3200 controls surge"; do you see that? 192: 15 A. I see it. 192 193:3-193:22 Clark 12/06/2005 193:3 Q. First, I just asked if you agreed with 193:4 that statement. A. Well, I'm explaining. He's just saying 193: 5 that the double valued curve characteristic is just 193.6 193:7 where you put the static hole in the diffuser. 193:8 Q. As opposed to having to do with how the 193:9 compressor or how the APS-3200 controls surge? 193: 10 A. He's saying that how it controls surge 193: 11 does not cause that double V solution, the double 193: 12 valued curve.

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#### James Clark Deposition Designations -- Final 193: 13 Q. And do you agree with the proposition 193: 14 that the inverted double V characteristic has more to 193: 15 do with where the pressure is being measured in the compressor than how the APS-3200 controls surge? 193: 16 193: 17 A. As I've explained I agree with it, but my 193: 18 interpretation of what he is saying is that 193:19 multi-valued function is caused solely by where you put the static flow. 193: 20 193:21 Q. Did you talk to Mr. Muller about that 193: 22 sentence? 193 194:2-194:3 Clark 12/06/2005 THE WITNESS: I believe I have stated 194:2 194:3 that I don't know Mr. Muller. 194:10 - 194:10 Clark 12/06/2005 194: 10 Q. Is this common? 195 194:11 - 194:16 Clark 12/06/2005 194:11 MS. STEVENSON: Object to the form, and 194:12 vaque. 194: 13 THE WITNESS: Some -- well -- can you 194:14 define what you mean by "common," they all -- every 194:15 load compressor that gets a certain pressure has that 194:16 phenomenon. 194:18-194:20 Clark 12/06/2005 194: 18 Q. Do you agree with Mr. Muller's statements 194: 19 that "Load compressors utilized in APUs commonly 194:20 produce supersonic conditions in the diffuser"? 194:23 - 194:25 Clark 12/06/2005 194:23 THE WITNESS: I'm having trouble with the 194:24 word "common," does that mean in operation, I don't 194:25 know. Clark 12/06/2005 195:2-195:10 195:2 Q. You don't know what Mr. Muller meant 195:3 there? 195:4 A. It's going to be there, whether you see 195:5 it in operation, I don't know. You don't always see 195:6 it in operation, but it's going to be there. 195:7 Q. When you say "it," you mean supersonic conditions? 195:8 195:9 A. The supersonic condition. It is physically possible to get the supersonic condition. 195:10

#### 199 Clark 12/06/2005 196:23-197:10

Q. We talked a little bit about the 196:23

196:24 shockwaves and flow and supersonic flow earlier

|     |               |          | James Clark Deposition Designations Final             |
|-----|---------------|----------|---|
|     |               | 196: 25  | today, right?   |
|     |               | 197: 1   | A. That's correct.                                    |
|     |               | 197:2    | Q. And Mr. Muller discusses that and I want           |
|     |               | 197:3    | to see if you your understanding is consistent        |
|     |               | 197:4    | with his, okay?                                       |
|     |               | 197:5    | A. Correct.   |
|     |               | 197:6    | Q. He says that a shockwave produces almost           |
|     |               | 197: 7   | instantaneous pressure changes, do you agree with     |
|     |               | 197:8    | that?   |
|     |               | 197: 9   | A. Yes, it happens over a very narrow                 |
|     |               | 197: 10  | region.   |
| 200 | 198:7 -198:11 | Clark 12 | /06/2005  |
|     |               | 198; 7   | Q. When you have a shockwave, does that               |
|     |               | 198:8    | cause a jump in pressure downstream of the diffuser?  |
|     |               | 198: 9   | A. When you have a — when you have a                  |
|     |               | 198: 10  | shockwave, you lose the stagnation or the total       |
|     |               | 198: 11  | pressure across the shockwave.                        |
| 201 | 198:20-199:7  | Clark 12 | /06/2005  |
|     |               | 198: 20  | Q. Turn the page, same paragraph halfway              |
|     |               | 198: 21  | down, page 13.  |
|     |               | 198: 22  | A. I'm on 13.   |
|     |               | 198: 23  | Q. All right. The halfway down the                    |
|     |               | 198: 24  | paragraph it says "The existence of the inverted      |
|     |               | 198: 25  | V/double solution characteristic in the APS-3200,     |
|     |               | 199: 1   | therefore, has nothing to do with whether or not the  |
|     |               | 199: 2   | APS-3200 uses the technology in the 893 and 194       |
|     |               | 199: 3   | patents." Do you agree with that?                     |
|     |               | 199: 4   | A. Well, I – I agree with the next sentence           |
|     |               | 199: 5   | in conjunction with that, where it says "The double V |
|     |               | 199: 6   | solution characteristic is strictly the result of the |
|     |               | 199: 7   | location of the static pressure tap."                 |
| 202 | 199:8-199:13  | Clark 12 | /06/2005  |
|     |               | 199: 8   | Q. Do you agree with the following sentence           |
|     |               | 199: 9   | that says "Any compressor taking the static           |
|     |               | 199: 10  | measurement of supersonic air flow in the diffuser    |
|     |               | 199: 11  | would have a similar, that is, double solution        |
|     |               | 199: 12  | characteristic"?                                      |
|     |               | 199: 13  | A. I agree with that.                                 |
| 203 | 202:19 -203:2 | Clark 12 | /06/2005  |
|     |               | 202: 19  | Q. You talked about the APU called the 131-3          |
|     |               | 202: 20  | earlier today, right?                                 |
|     |               | 202: 21  | A. Right.   |
|     |               | 202: 22  | Q. And that's the one that uses your                  |
|     |               | 202: 23  | Delta P/Delta P surge control system, correct?        |
|     |               |          |   |

|      |                | James Clark Deposition Designations Final                     |
|------|----------------|---|
|      |                | 202: 24 A. That's correct.                                    |
|      |                | 202: 25 Q. And does the 131-3 experience the                  |
|      |                | 203: 1 potential for the double solution characteristic?      |
|      |                | 203: 2 A. It does not have that characteristic.               |
| 204  | 204:18-204:24  | Clark 12/06/2005  |
|      |                | 204: 18 Q. When you measure static pressure at the            |
|      |                | 204: 19 far end of the diffuser, is that essentially the same |
|      |                | 204: 20 as measuring total pressure?                          |
|      |                | 204: 21 A. It's much closer to total pressure.                |
|      |                | 204: 22 Q. And the closer you go to the very end of           |
|      |                | 204: 23 the diffuser discharge                                |
|      |                | 204: 24 A. The closer it gets to total pressure.              |
| 205  | 205:16 -205:21 | Clark 12/06/2005  |
| 2.00 | 200.10-200.21  | 205: 16 Q. Yeah, in the 131-3, because you have two           |
|      |                | 205: 17 static pressure sensors in the diffuser, doesn't the  |
|      |                | 205: 18 potential for the double solution problem exist?      |
|      |                | 205: 19 A. I don't believe so. As I explained                 |
|      |                | 205: 20 later, the signal does not turn around and go back,   |
|      |                | 205: 21 it's not double valued as in the double V solution.   |
|      | 005.05.005.05  |   |
| 206  | 205:25-205:25  | Clark 12/06/2005  |
|      |                | 205: 25 Q. At least you haven't experienced the peak          |
| 207  | 206:1-206:3    | Clark 12/06/2005  |
|      |                | 206: 1 of the double V curve based on the date that           |
|      |                | 206: 2 Honeywell's collected on the 131-3?                    |
|      |                | 206: 3 A. That's correct.                                     |
| 208  | 217:4-217:21   | Clark 12/06/2005  |
|      |                | 217: 4 Q. When was the GPG application?                       |
|      |                | 217: 5 A. I don't remember.                                   |
|      |                | 217: 6 Q. So the first time that you remember                 |
|      |                | 217: 7 testing APUs, including measuring the static -         |
|      |                | 217: 8 differences in static pressure within the diffuser     |
|      |                | 217: 9 was the GPG application?                               |
|      |                | 217: 10 A. I don't swear by that GPG acronym, but             |
|      |                | 217: 11 whatever that was, it was, I believe, a ground cart.  |
|      |                | 217: 12 Q. In the 331-200 APU, when Honeywell tested          |
|      |                | 217: 13 that APU on its test stand, did it measure – in the   |
|      |                | 217: 14 development of that APU, did it measure static        |
|      |                | 217: 15 pressure in the diffuser?                             |
|      |                | 217: 16 A. In the engine?                                     |
|      |                | 217: 17 Q. Yes.   |
|      |                | 217: 18 A. With the engine, no.                               |
|      |                | 217: 19 Q. No, on the test rig?                               |
|      |                | 217: 20 A. Just the compressor by itself, I can't             |
|      |                | 217: 21 say for sure they did, my — it's standard practice.   |

| 209 | 218:5-218:14  | Clark 12/06/2005  |
|-----|---------------|---|
|     |               | 218: 5 Q. And is it also standard practice when               |
|     |               | 218: 6 you're testing a compressor on the test rig to look    |
|     |               | 218: 7 at differences in static pressure along the diffuser?  |
|     |               | 218: 8 A. I don't know what they do with the data.            |
|     |               | 218: 9 That's compressor people's data, that's their test     |
|     |               | 218: 10 rig, it's not a it's not run for controls             |
|     |               | 218: 11 purposes.   |
|     |               | 218: 12 Q. Well, that data from the compressor                |
|     |               | 218: 13 testing is data that makes its way to you, at least   |
|     |               | 218: 14 in the sense of the compressor maps, right?           |
| 210 | 218:15-218:15 | Clark 12/06/2005  |
|     |               | 218: 15 MS, STEVENSON: Objection; argumentative.              |
| 211 | 218:16-218:24 | Clark 12/06/2005  |
|     |               | 218: 16 THE WITNESS: Not all that data, no. The               |
|     |               | 218: 17 only data we get would be, if the compressor map      |
|     |               | 218: 18 doesn't have that data, the compressor map shows the  |
|     |               | 218: 19 pressure ratio and the flow.                          |
|     |               | 218: 20 BY MR. LIND:  |
|     |               | 218: 21 Q. What do you mean by "that data" when you           |
|     |               | 218: 22 said "that data"?                                     |
|     |               | 218: 23 A. That data would be those pressures in the          |
|     |               | 218: 24 diffuser.   |
| 212 | 219:10-219:21 | Clark 12/06/2005  |
|     |               | 219: 10 Q. Where are the test stands where the                |
|     |               | 219: 11 Honeywell runs the compressor tests?                  |
|     |               | 219: 12 A. Where are they physically?                         |
|     |               | 219: 13 Q. Yes.   |
|     |               | 219: 14 A. They're in the plant, they're in the               |
|     |               | 219: 15 Phoenix plant there.                                  |
|     |               | 219: 16 Q. Who is in charge of running the                    |
|     |               | 219: 17 compressor test stand tests?                          |
|     |               | 219: 18 A. There's a group that designs our                   |
|     |               | 219: 19 compressors; they would be in charge, I assume that's |
|     |               | 219: 20 who you mean, not the actual technicians, but the     |
|     |               | 219: 21 person in charge of the test plan and the test.       |
| 213 | 220:1-220:6   | Clark 12/06/2005  |
|     |               | 220: 1 Q. What's the group called?                            |
|     |               | 220: 2 A. It used to be called Aerodynamics, they             |
|     |               | 220: 3 may have changed their name.                           |
|     |               | 220: 4 Q. What was the group that tests compressors           |
|     |               | 220: 5 called when you joined Honeywell in the late 1970s?    |
|     |               | 220: 6 A. I believe they were called Aerodynamics.            |

| 214 | 220:10-220:14 | Clark 12/06/2005   |  |  |
|-----|---------------|--|--|--|
|     |               | 220: 10 Q. Am I right that you have been involved in   |  |  |
|     |               | 220: 11 developing surge control systems for going on 30   |  |  |
|     |               | 220: 12 years?   |  |  |
|     |               | 220: 13 A. Not 30 years, a little short than 30  |  |  |
|     |               | 220: 14 years.   |  |  |
| 215 | 222:6-222:12  | Clark 12/06/2005   |  |  |
|     |               | 222: 6 Q. How long after the customer gives you the  |  |  |
|     |               | 222: 7 flow requirements for the compressor, how long after  |  |  |
|     |               | 222: 8 that do you generally conceive of how the surge   |  |  |
|     |               | 222: 9 control system might work?  |  |  |
|     |               | 222: 10 MS, STEVENSON: Objection; vague.   |  |  |
|     |               | 222: 11 THE WITNESS: I couldn't give you an  |  |  |
|     |               | 222: 12 average answer on that.  |  |  |
| 216 | 222:25-223:4  | Clark 12/06/2005   |  |  |
|     |               | 222: 25 Q. So it might take up to two years between  |  |  |
|     |               | 223: 1 getting the customer's flow requirements for the  |  |  |
|     |               | 223: 2 compressor it wants to just even coming up with an  |  |  |
|     |               | 223: 3 idea of how you're going to give that customer that   |  |  |
|     |               | 223; 4 flow requirement without surging?   |  |  |
| 217 | 223:6-223:6   | Clark 12/06/2005   |  |  |
|     |               | 223: 6 THE WITNESS: Approximately.   |  |  |
| 218 | 227:15-227:20 | Clark 12/06/2005   |  |  |
|     |               | 227: 15 Q. Are you involved in drafting the PSCs for   |  |  |
|     |               | 227: 16 surge control systems?   |  |  |
|     |               | 227: 17 A. I think I talked earlier about Harold   |  |  |
|     |               | 227: 18 Riley and what part he is; he is the writer of the   |  |  |
| -   |               | 227: 19 PSC. He can come to me and ask me to describe  |  |  |
|     |               | 227: 20 portions of what should go in there.   |  |  |
| 219 | 241:12-241:25 | Clark 12/06/2005   |  |  |
|     |               | 241: 12 Q. And generally, about how long does the  |  |  |
|     |               | 241: 13 process take between the time that you start looking   |  |  |
|     |               | 241: 14 at what type of control logic for surge control you  |  |  |
|     |               | 241: 15 might use and the developmental testing?   |  |  |
|     |               | 241: 16 A. I don't know, it varies.  |  |  |
|     |               | 241:17 Q. What does it vary between, about?  |  |  |
|     |               | 241: 18 MS, STEVENSON: Objection; calls for  |  |  |
|     |               | 241: 19 speculation.   |  |  |
|     |               | 241: 20 THE WITNESS: Off the top of my head, I   |  |  |
|     |               | 241: 21 couldn't say.  |  |  |
|     |               | 241: 22 BY MR. LIND:   |  |  |
|     |               | 241: 23 Q. Could it be more than a year between the 241: 24 time you start looking at what type of control logic |  |  |
|     |               |  |  |  |

|     |               | 241: 25          | you might use and the completion of the developmental |  |
|-----|---------------|------------------|---|--|
| 220 | 242:1 -242:17 | Clark 12/06/2005 |   |  |
|     |               | 242: 1           | testing?  |  |
|     |               | 242: 2           | MS. STEVENSON: Calls for speculation.                 |  |
|     |               | 242: 3           | THE WITNESS: It varies, and I                         |  |
|     |               | 242: 4           | couldn't I couldn't say. Certainly a year is on       |  |
|     |               | 242:5            | the order of time frame.                              |  |
|     |               | 242:6            | BY MR. LIND:  |  |
|     |               | 242:7            | Q. And has that process and that timing been          |  |
|     |               | 242: 8           | generally the same throughout your career at          |  |
|     |               | 242: 9           | Honeywell?  |  |
|     |               | 242: 10          | A. The - no. No, I don't believe that's               |  |
|     |               | 242: 11          | been the same.  |  |
|     |               | 242: 12          | Q. How has it been different, if at all, and          |  |
|     |               | 242: 13          | when?   |  |
|     |               | 242: 14          | MS. STEVENSON: Object to the form.                    |  |
|     |               | 242: 15          | THE WITNESS: I believe with the use of                |  |
|     |               | 242: 16          | more modern tools, that computer analysis has         |  |
|     |               | 242: 17          | somewhat helped to speed us up some.                  |  |
| 221 | 242:25-243:7  | Clark 12         | /06/2005  |  |
|     |               | 242:25           | Q. But what you're saying is that back in             |  |
|     |               | 243: 1           | the late '70s, between, let's say, when you started   |  |
|     |               | 243: 2           | looking at what type of control logic you would use   |  |
|     |               | 243: 3           | and the completion of developmental testing might     |  |
|     |               | 243:4            | have actually been longer because you didn't have     |  |
|     |               | 243: 5           | computers and the newfangled stuff that we have       |  |
|     |               | 243:6            | today; is that right?                                 |  |
|     |               | 243: 7           | A. I think it took a longer time then.                |  |
| 222 | 245:23-245:25 | Clark 12         | /06/2005  |  |
|     |               | 245: 23          | Q. Is the document on page 899 the kind of            |  |
|     |               | 245: 24          | more detailed design document or schematic drawing    |  |
|     |               | 245: 25          | that you might that you would come up with as part    |  |
| 223 | 246:1-246:6   | Clark 12         | /06/2005  |  |
|     |               | 246: 1           | of designing a surge control system?                  |  |
|     |               | 246: 2           | A. This is a pneumatic schematic of the               |  |
|     |               | 246: 3           | surge valve.  |  |
|     |               | 246: 4           | Q. And who wrote the text on page 899?                |  |
|     |               | 246: 5           | A. I don't know who wrote the text. I did             |  |
|     |               | 246: 6           | not write the text.                                   |  |
| 224 | 246:17-247:2  | Clark 12         | /06/2005  |  |
|     |               | 246: 17          | Q. Did the surge control system on the                |  |
|     |               | 246: 18          | F-18's APU control surge by looking at Delta P/P?     |  |
|     |               | 246: 19          | A. That's correct.                                    |  |
|     |               | 246: 20          | Q. And that was a surge control that was              |  |

#### **James Clark Deposition Designations -- Final** 246:21 the flow parameter used by the surge control system, 246: 22 correct? 246: 23 A. That's correct. 246: 24 Q. And that flow parameter of Delta P/P was 246: 25 used by Honeywell back in at least March of 1978, 247: 1 correct? 247:2 A. Correct. 225 249:20-249:24 Clark 12/06/2005 249: 20 Q. You knew in June of 1978 that using 249: 21 adjustable inlet guide vanes in the load compressor 249: 22 would affect the surge valve logic because the surge 249:23 line moves with each IGV angle, correct? A. Yeah, the date on this is June 9th, 1978. 249: 24 226 250:5-250:10 Clark 12/06/2005 250:5 Q. Did you know as of June of 1978 that 250:6 using adjustable inlet guide vanes in a load 250:7 compressor would affect the surge valve logic because 250:8 the surge line moves with each different inlet guide 250:9 vane position? 250: 10 A. We knew that. Clark 12/06/2005 227 253:14-253:22 253:14 Q. And when you're looking at ideas on how 253: 15 to control surge, you can do that either 253: 16 electronically or pneumatically, correct? 253: 17 A. That's correct. 253: 18 Q. And you can have equal effectiveness with 253: 19 pneumatic or electronic control, correct? 253:20 MS. STEVENSON: Object to the form, 253:21 vague. 253: 22 THE WITNESS: That's not true. Clark 12/06/2005 228 254:1-254:22 254: 1 Q. When you say "pneumatic surge control," 254: 2 is that another way of saying mechanical surge 254: 3 control, as opposed to electronic? 254:4 A. A pneumatic would be like the schematic in this document. 254:5 254:6 Q. But are pneumatic surge control systems 254: 7 mechanical, as opposed to electronic? 254:8 A. They have spring - I don't know what 254:9 your question is. Mechanical, there's pneumatic 254; 10 mechanical, and part of the control is pneumatic 254: 11 there's a Delta P on the diaphragm. 254: 12 Q. Is a pneumatic surge control system a 254: 13 mechanically operated surge control system?

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|               | 258: 12                                      | compressor map; I do not know if those are what we   |
|               | 258: 13                                      | call the surge control line or if that's what's  |
|               | 258: 14                                      | called the operating line.   |
| 265:9-265:16  | Clark 12/                                    | 06/2005  |
|               | 265: 9                                       | Q. We're almost done for the day. The F-18   |
|               | 265: 10                                      | did not use - did not adjust the set point based on  |
|               | 265: 11                                      | inlet guide vane position, correct?  |
|               | 265: 12                                      | A. That's correct.   |
|               | 265: 13                                      | Q. Did you consider in developing the surge  |
|               | 265: 14                                      | control system for the F-18, using inlet guide vane  |
|               | 265: 15                                      | position to adjust the set point?  |
|               | 265: 16                                      | A. I don't believe that was considered.  |
| 71:11-271:25  | Clark 12/                                    | 06/2005  |
|               | 271:11                                       | Q. Why were you looking at where choke   |
|               | 271: 12                                      | occurred with respect in Exhibit 9 with respect to   |
|               | 271:13                                       | the 331-200?   |
|               | 271:14                                       | A. I believe I'm doing a simulation here,  |
|               | 271: 15                                      | and I'm trying to write an equation for that line,   |
|               | 271: 16                                      | and I think I put in something like an ellipse, a  |
|               | 271:17                                       | partial – part of an ellipse for that equation, so   |
|               | 271: 18                                      | the choke flow would be the highest part on the  |
|               | 271:19                                       | ellipse, I'm trying to curve at that line.   |
|               | 271:20                                       | Q. Other than plotting the IGV lines or  |
|               | 271: 21                                      | drawing equations for the IGV lines, was there any   |
|               | 271: 22                                      | other reason in 1978, '79 you were interested in   |
|               | 271:23                                       | determining when choke occurred?   |
|               | 271:24                                       | A. I don't believe so.   |
|               | 271:25                                       | Q. Not that you recall today?  |
| 272:1 -272:7  | Clark 12/                                    | 06/2005  |
|               | 272:1  | A. I would say there is no other reason,   |
|               | 272:2  | other than trying to develop an equation for that  |
|               | 272:3  | line.  |
|               | 272:4  | Q. Do you know of any other reasons that   |
|               | 272: 5                                       | others at Honeywell looked at choke in the late '70s   |
|               | 272:6  | and when choke occurred?   |
|               | 272; 7                                       | A. No, I don't.  |
| 34:11 -284:11 | Clark 12/                                    | 07/2005  |
|               | 284: 11                                      | (Exhibit 10 was marked for identification.)  |
| 285:2 -285:8  | Clark 12/                                    | 07/2005  |
|               | 285: 2                                       | Q. By July of 1979, the 331-200 surge  |
|               | 285: 3                                       | control system had an electronic system that used  |
|               | 285: 4                                       | inlet guide vane position as an input into the surge   |
|               | 285: 5                                       |  |
|               | 200.0  | control system, correct?   |
|               | 265:9-265:16<br>271:11-271:25<br>272:1-272:7 | 258: 13 258: 14  265: 9 - 265: 16  265: 11 265: 12 265: 13 265: 14 265: 15 265: 16  71:11-271:25 |

|     |                  | James Clark Deposition Designations I mai   |
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|     |                  | 285: 7 Q. So is that, yes, that's correct?  |
|     |                  | 285: 8 A. That's yes.   |
| 240 | 285:20-286:11    | Clark 12/07/2005  |
|     |                  | 285: 20 Q. And if you turn the page in Exhibit 10 to  |
|     |                  | 285: 21 page 72, is that a schematic of the surge control   |
|     |                  | 285: 22 system on the 331-200 as of July of 1979?   |
|     |                  | 285: 23 A. That's a schematic of the – as of this   |
|     |                  | 285: 24 PDR, yes.   |
|     |                  | · · · · · · · · · · · · · · · · · · ·   |
|     |                  | 285: 25 Q. And it also has in the bottom right-hand 286: 1 corner a box indicating the surge control logic, |
|     |                  |   |
|     |                  | 286: 2 correct?   |
|     |                  | 286: 3 A. That's correct. I believe that box  |
|     |                  | 286: 4 represents the electronic control logic.   |
|     |                  | 286: 5 Q. And that indicates, doesn't it, that  |
|     |                  | 286: 6 there's a flow parameter that is driven by inlet   |
|     |                  | 286: 7 guide vane position?   |
|     |                  | 286: 8 A. Yes, it has listed a P – the box – By   |
|     |                  | 286: 9 the box there's an equation that says, "P total minus  |
|     |                  | 286: 10 P static divided by P total is equal to a function of   |
|     |                  | 286: 11 IGV angle."   |
| 241 | 287:9-287:21     | Clark 12/07/2005  |
|     |                  | 287: 9 Q. Turn to page 77. Is this figure the   |
|     |                  | 287: 10 diagram of the surge valve control logic for the  |
|     |                  | 287: 11 331-200?  |
|     |                  | 287; 12 A. As it was in 1979.   |
|     |                  | 287: 13 Q. In the bottom left-hand corner, does that  |
|     |                  | 287: 14 indicate that there was a schedule of inlet guide   |
|     |                  | 287: 15 vane position and minimum flow requirements?  |
|     |                  | 287: 16 A. That is the schedule as a function of IGV  |
|     |                  | 287: 17 angle of the Delta P/P that the surge valves control  |
|     |                  | 287: 18 had.  |
|     |                  | 287: 19 Q. And Delta P/P is the flow related  |
|     |                  |   |
|     |                  |   |
|     |                  | 287: 21 A. Correct.   |
| 242 | 289:22-290:1     | Clark 12/07/2005  |
|     |                  | 289: 22 Q. Okay. If you look at page 41, there are  |
|     |                  | 289: 23 two flow sensors. One is a pitot tube through the   |
|     |                  | 289: 24 middle of the opening and the other is a static ring,   |
|     |                  | 289: 25 static pressure sensor; is that correct?  |
|     |                  | 290: 1 A. That's correct.   |
| 243 | 291:8-291:12     | Clark 12/07/2005  |
|     | EV 1,V -LV 1, 1L | 291: 8 Q. And does that indicate that in 1979,  |
|     |                  | 291: 9 Honeywell was looking at the fact that there are   |
|     |                  |   |
|     |                  | 291: 10 different surge points for different inlet guide vane   |

|     |               | 291: 11  | positions?  |
|-----|---------------|----------|---|
|     |               | 291: 12  | A. We knew that in 1979.                              |
| 244 | 291:18-293:18 | Clark 12 | /07/2005  |
|     |               | 291: 18  | Q. Turn the page to the page ending in 765.           |
|     |               | 291: 19  | The graph titled, "Requirements For Reset With IGV    |
|     |               | 291:20   | Position."  |
|     |               | 291: 21  | Do you see that?                                      |
|     |               | 291:22   | A. That's correct.                                    |
|     |               | 291:23   | Q. Do you recognize the graph on page 765 of          |
|     |               | 291: 24  | Exhibit 12?   |
|     |               | 291: 25  | A. It's a familiar graph.                             |
|     |               | 292: 1   | Q. Is that essentially the same graph that's          |
|     |               | 292: 2   | in the patents that Honeywell's asserting against     |
|     |               | 292: 3   | Sundstrand in this case?                              |
|     |               | 292:4    | MS. STEVENSON: Object to the form.                    |
|     |               | 292: 5   | THE WITNESS: I can't remember the                     |
|     |               | 292:6    | graphs.   |
|     |               | 292:7    | MS. STEVENSON: Lacks foundation.                      |
|     |               | 292: 8   | (Exhibit 13 was marked for identification.)           |
|     |               | 292: 9   | Q. BY MR. LIND: Let me show you Hamilton              |
|     |               | 292: 10  | Remand Exhibit 13. That's the '194 patent that        |
|     |               | 292:11   | Honeywell's asserting against Sundstrand in this      |
|     |               | 292: 12  | case, correct?  |
|     |               | 292: 13  | A. I believe there's two patents, correct?            |
|     |               | 292: 14  | Q. But that's one of them?                            |
|     |               | 292: 15  | A. This is one.                                       |
|     |               | 292: 16  | Q. Could you turn to figure 6, please. Is             |
|     |               | 292: 17  | this figure 6 in the '194 patent essentially the same |
|     |               | 292: 18  | graph as the figure on page 765 of Exhibit 12?        |
|     |               | 292: 19  | MS. STEVENSON: Object to the form.                    |
|     |               | 292: 20  | Vague.  |
|     |               | 292: 21  | THE WITNESS: It shows Both curves                     |
|     |               | 292: 22  | show changing in surge line with different IGV        |
|     |               | 292: 23  | angles.   |
|     |               | 292: 24  | Q. BY MR. LIND: Aren't they essentially the           |
|     |               | 292: 25  | same graphs?  |
|     |               | 293: 1   | MS. STEVENSON: Objection. Asked and                   |
|     |               | 293: 2   | answered. And object to form. Vague.                  |
|     |               | 293: 3   | THE WITNESS: There's some information on              |
|     |               | 293: 4   | one graph that's not on the other, I believe, but     |
|     |               | 293: 5   | Q. BY MR. LIND: So if on page 765 of                  |
|     |               | 293: 6   | Exhibit 12 if you deleted the series of curves        |
|     |               | 293: 7   | relating to the negative 8 degree inlet guide vane    |
|     |               | 293: 8   | position, wouldn't that figure and figure 6 of the    |
|     |               | 293: 9   | '194 patent essentially be the same?                  |

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|     |               | 293: 10          | MS. STEVENSON: Object the form. Vague.                 |
|     |               | 293: 11          | THE WITNESS: There's a line on here that               |
|     |               | 293: 12          | says, "Delta P/P equals constant." I don't see that    |
|     |               | 293: 13          | on figure 6.   |
|     |               | 293: 14          | Q. BY MR. LIND: If you deleted from page               |
|     |               | 293: 15          | 765 the Delta P/P equals constant dotted line and the  |
|     |               | 293: 16          | negative eight degree IGV series of lines, wouldn't    |
|     |               | 293: 17          | that figure be essentially the same as figure 6 of     |
|     |               | 293: 18          | the '194 patent?                                       |
| 245 | 293:20-294:22 | Clark 12         | /07/2005   |
|     |               | 293: 20          | THE WITNESS: Figure 6 also doesn't plot                |
|     |               | 293: 21          | these performance points. At least I don't see them.   |
|     |               | 293: 22          | Q. BY MR. LIND: Where it says, "Typical                |
|     |               | 293: 23          | operating points"?                                     |
|     |               | 293: 24          | A. Yes.  |
|     |               | 293: 25          | Q. Well, it does, right, but it's got a line           |
|     |               | 294: 1           | instead of a point; isn't that right?                  |
|     |               | 294: 2           | A. Does it label it? There is a line                   |
|     |               | 294: 3           | labeled 160.   |
|     |               | 294: 4           | Q. I think that's the one that goes through            |
|     |               | 294: 5           | the middle. Don't you mean the line labeled 156?       |
|     |               | 294: 6           | MS. STEVENSON: Objection.                              |
|     |               | 294: 7           | Argumentative.   |
|     |               | 294: 8           | THE WITNESS: Sorry. It's spelled out                   |
|     |               | 294: 9           | someplace.   |
|     |               | 294: 10          | Q. BY MR. LIND: Sure. I'll find it for                 |
|     |               | 294: 11          | you.   |
|     |               | 294: 12          | If you look at column 8 of the '194                    |
|     |               | 294: 13          | patent, at line 62 or 63.                              |
|     |               | 294: 14          | A. Okay.   |
|     |               | 294: 15          | Q. It calls lines 156 "One of the demand               |
|     |               | 294: 16          |  |
|     |               | 294: 17          | line pairs."   |
|     |               |                  | Do you see that?                                       |
|     |               | 294: 18          | A. I'm sorry. I'm still looking.                       |
|     |               | 294: 19          | MS. STEVENSON: Object to the form.                     |
|     |               | 294: 20          | THE WITNESS: I see 158 and 160 extends                 |
|     |               | 294: 21          | between and is essentially parallel to a different     |
|     |               | 294: 22          | one of the surge control demand line.                  |
| 246 | 294:23-295:3  | Clark 12         |  |
|     |               | 294: 23          | Q. BY MR. LIND: Let me put it this way, Mr.            |
|     |               | 294: 24          | Clark. In addition to having some additional data,     |
|     |               | 294: 25          | not shown in figure 6 of the '194 patent, are the      |
|     |               | 295: 1           | figures shown at page 765 of Exhibit 12 in figure 6    |
|     |               |                  |  |
|     |               | 295: 2<br>295: 3 | of the '194 patent showing essentially the same thing? |

| 247 | 295:5-295:7   | Clark 12/07/2005  |
|-----|---------------|---|
|     |               | 295: 5 THE WITNESS: They are the same thing in  |
|     |               | 295: 6 that they show sets of IGV angles in different surge   |
|     |               | 295: 7 lines.   |
| 248 | 306:20-306:20 | Clark 12/07/2005  |
|     |               | 306: 20 (Exhibit 15 was marked for identification.)   |
| 249 | 307:23-308:1  | Clark 12/07/2005  |
|     |               | 307: 23 Q. Does Exhibit 15, from March of 1980, show  |
|     |               | 307: 24 that you were looking at using inlet guide vane angle   |
|     |               | 307: 25 as an input into the surge control system?  |
|     |               | 308: 1 A. I can't see that from this diagram.   |
| 250 | 308:8-308:11  | Clark 12/07/2005  |
|     |               | 308: 8 Q. So does Exhibit 15, in March of 1980,   |
|     |               | 308: 9 show that Honeywell was using inlet guide vane   |
|     |               | 308: 10 position as an input into the surge control system?   |
|     |               | 308: 11 A. That's correct.  |
| 251 | 310:4-310:11  | Clark 12/07/2005  |
|     |               | 310: 4 Q. In March of 1980 in Honeywell's surge   |
|     |               | 310: 5 control system, Honeywell used inlet guide vane  |
|     |               | 310: 6 position to set the set points, correct?   |
|     |               | 310; 7 A. That's correct.   |
|     |               | 310: 8 Q. And the set points are used to determine  |
|     |               | 310: 9 whether the flow is high enough that it may cause  |
|     |               | 310: 10 surge, correct?   |
|     |               | 310: 11 A. That's correct.  |
| 252 | 310:12-310:24 | Clark 12/07/2005  |
|     |               | 310: 12 Q. And if the actual flow is higher than the  |
|     |               | 310: 13 set point, then the surge bleed valve opens; is that  |
|     |               | 310: 14 correct?  |
|     |               | 310: 15 A. I think we've got it backwards. I used   |
|     |               | 310: 16 the word high. That may have been inverted. If the  |
|     |               | 310: 17 flow goes low, you want to open up the surge valve. 310: 18 Q. If the actual flow is lower than the set |
|     |               | 310: 18 Q. If the actual flow is lower than the set 310: 19 point, then the surge bleed valve opens, correct?   |
|     |               | 310: 20 A. That's correct.  |
|     |               | 310: 21 Q. And the set points are used to determine   |
|     |               | 310: 22 whether the flow is low enough that it may cause  |
|     |               | 310: 23 surge, correct?   |
|     |               | 310: 24 A. Set points are used to determine that.   |
| 253 | 315:7-315:17  | Clark 12/07/2005  |
|     |               | 315: 7 Q. But from the late '70s, you've known  |
|     |               | 315: 8 about the fact you could measure static pressure,  |
|     |               | 315: 9 correct?   |
|     |               |   |

|     |                |          | James Clark Deposition Designations Final            |
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| -   |                | 315: 10  | MS. STEVENSON: Objection. Vague.                     |
|     |                | 315: 11  | THE WITNESS: Your question just said you             |
|     |                | 315: 12  | can measure static pressure.                         |
|     |                | 315: 13  | Q. BY MR. LIND: Right.                               |
|     |                | 315: 14  | A. You can measure — You're not saying,              |
|     |                | 315: 15  | "Do what?" You can measure static pressure.          |
|     |                | 315: 16  | Q. You've known since the 1970s that you can         |
|     |                | 315: 17  | measure static pressure in a compressor?             |
| 254 | 315:20 -315:24 | Clark 12 | /07/2005   |
|     |                | 315: 20  | THE WITNESS: You're not stating what                 |
|     |                | 315: 21  | for, so you can measure static pressure.             |
|     |                | 315: 22  | Q. BY MR. LIND: Have you known since the             |
|     |                | 315: 23  | 1970s that you can measure static pressure in a      |
|     |                | 315: 24  | compressor?  |
| 255 | 316:1-316:3    | Clark 12 | /07/2005   |
|     |                | 316: 1   | THE WITNESS: The question's been                     |
|     |                | 316: 2   | answered.  |
|     |                | 316: 3   | Q. BY MR. LIND: No, it hasn't, sir.                  |
| 256 | 317:9-317:14   | Clark 12 | /07/2005   |
|     |                | 317: 9   | Have you known since the late 1970s that             |
|     |                | 317: 10  | you can measure total pressure in a compressor.      |
|     |                | 317: 11  | A. Since I've been working at Honeywell I've         |
|     |                | 317: 12  | known that.  |
|     |                | 317: 13  | Q. And same with static pressure, correct?           |
|     |                | 317: 14  | A. Same with static pressure.                        |
| 257 | 319:2-319:4    | Clark 12 | /07/2005   |
|     |                | 319: 2   | Exhibit 17 is an April 17th, 1980, memo              |
|     |                | 319:3    | that you wrote to the file, correct?                 |
|     |                | 319:4    | A. That's correct,                                   |
| 258 | 320:7-321:7    | Clark 12 | /07/2005   |
|     |                | 320:7    | Q. If you just read the introduction and             |
|     |                | 320: 8   | tell me generally what this memo is about.           |
|     |                | 320: 9   | MS. STEVENSON: You should read as much               |
|     |                | 320: 10  | as you need to, Mr. Clark, to answer the question.   |
|     |                | 320:11   | MR. LIND: Sure.                                      |
|     |                | 320: 12  | THE WITNESS: This is addressing some                 |
|     |                | 320: 13  | problems we had. We put offices to the when you      |
|     |                | 320: 14  | measure pressure signals coming out of the           |
|     |                | 320: 15  | compressor, it's they fluctuate due to turbulence,   |
|     |                | 320: 16  | whatever. I don't know for sure, but there's it's    |
|     | •              | 320: 17  | not just a nice, solid value. It fluctuates through  |
|     |                | 320: 18  | to some things.                                      |
|     |                | 320: 19  | When you're trying to measure two                    |
|     |                | 320: 20  | pressures close together like a Delta P, you have to |

#### **James Clark Deposition Designations -- Final** 320:21 get rid of some of that fluctuation, so we put 320: 22 orifices in the line. When you put orifices in the 320:23 lines, it caused under - I don't know what. It 320: 24 caused some spikes in this - in the delta P 320:25 transducer and failed the transducer. 321:1 Q. BY MR. LIND: Does Exhibit 17 refer to 321:2 any changes in where Honeywell was measuring 321:3 pressure? 321:4 A. It says, "In addition the flow sensor 321:5 tests were also run on a new static ring 321:6 configuration," so I can't completely answer your 321:7 question there. I don't remember this memo totally. 321:9-321:16 259 Clark 12/07/2005 321:9 Does the graph on page 810, again, show 321:10 that in April of 1980, Honeywell was using inlet 321:11 guide vane position as an input in its surge control 321:12 system? 321:13 A. That is a schedule of the Delta P/P set 321:14 point for the surge system versus IGV angle. 321.15 Q. Is that a yes? 321:16 A. So that is showing that, what you said. 260 321:25-322:5 Clark 12/07/2005 321:25 Q. BY MR. LIND: Mr. Clark, I'm going to 322:1 hand you what's been marked as Hamilton Remand 322:2 Exhibit 18, which is a January 28th, 1981, memo from 322:3 Mr. Stokes regarding the GTC 36-200 surge control 322:4 system, and it shows it was copied to you. 322:5 A. I'm on the copy list. 261 322:13-322:20 Clark 12/07/2005 322: 13 Q. And is that an accurate statement that as 322: 14 of 1981, Honeywell knew that the compressor map 322: 15 changes when IGV positions change? 322: 16 A. I'd like to look at Figure 1. 322: 17 Q. Okay. 322: 18 A. Okay. Figure 1 does show that - Let's 322: 19 see. Figure 1 does show that the compressor map 322:20 changes when IGV positions change. 262 323:6-323:8 Clark 12/07/2005 323:6 Q. Did you have any role in preparing Exhibit 18, the January 1981 memo? 323.7 323:8 A. I can't remember. 263 324:4-324:21 Clark 12/07/2005 324:4 Q. On Figure 1 in the right-hand corner it, 324:5

again, has our friend P sub T minus P sub S that

|     |               | James Clark Deposition Designations Fina                     |  |  |
|-----|---------------|--|--|--|
|     |               | 324: 6 divided by P sub T.                                   |  |  |
|     |               | 324: 7 Do you see that?                                      |  |  |
|     |               | 324: 8 A. Yes.   |  |  |
|     |               | 324: 9 Q. Is that what you've called the Delta P/P           |  |  |
|     |               | 324: 10 flow parameter?                                      |  |  |
|     |               | 324: 11 A. That's the Delta P/P flow parameter.              |  |  |
|     |               | 324: 12 Q. Does Exhibit 18 show that with the 36-200         |  |  |
|     |               | 324: 13 APU, just like the 331 APUs, Honeywell used inlet    |  |  |
|     |               | 324: 14 guide vane position as an input in the surge control |  |  |
|     |               | 324: 15 system in – as of 1981?                              |  |  |
|     |               | 324: 16 A. No, it does not show that. We did not             |  |  |
|     |               | 324: 17 use on F-8 — On F-18, we did not use the guide vane  |  |  |
|     |               | 324: 18 position as an input to the surge control system.    |  |  |
|     |               | 324: 19 Q. Because that was the pneumatic one,               |  |  |
|     |               | 324: 20 right?   |  |  |
|     |               | 324: 21 A. That was fully pneumatic.                         |  |  |
| 264 | 324:25-325:10 | Clark 12/07/2005   |  |  |
|     |               | 324: 25 Q. If you weren't going to adjust the surge          |  |  |
|     |               | 325: 1 control system based on inlet guide vane position,    |  |  |
|     |               | 325: 2 why did you look, in 1981, at the F-18's load         |  |  |
|     |               | 325: 3 compressor maps?                                      |  |  |
|     |               | 325: 4 A. It does have IGV position. And you still           |  |  |
|     |               | 325: 5 want to have that surge control line that's labeled   |  |  |
|     |               | 325: 6 in Figure 1 of page 115 where the surge valve's going |  |  |
|     |               | 325: 7 to control. You still want to have that between -     |  |  |
|     |               | 325: 8 and it's got MAS operating points — you still want    |  |  |
|     |               | 325: 9 that to run between the surge line and the .          |  |  |
|     |               | 325: 10 performance point.                                   |  |  |
| 265 | 326:6-326:7   | Clark 12/07/2005   |  |  |
|     |               | 326: 6 MS. STEVENSON: Object to the form.                    |  |  |
|     |               | 326: 7 Asked and answered.                                   |  |  |
| 266 | 327:6-327:14  | Clark 12/07/2005   |  |  |
|     |               | 327: 6 Q. BY MR. LIND: I'm going to hand you the             |  |  |
|     |               | 327: 7 next exhibit which is Exhibit 19. Exhibit 19 is a     |  |  |
|     |               | 327: 8 document dated December 2nd, 1981, called "The        |  |  |
|     |               | 327: 9 Technical Description of the Electronic Control Unit  |  |  |
|     |               | 327: 10 for the GTCP 331-200 Auxiliary Power Unit."          |  |  |
|     |               | 327: 11 Do you have that before you?                         |  |  |
|     |               | 327: 12 A. I have that before me.                            |  |  |
|     |               | 327: 13 Q. And are you familiar with this document?          |  |  |
|     |               | 327: 14 A. I'm not I'm not real I'd say no.                  |  |  |
| 267 | 329:17-329:21 | Clark 12/07/2005   |  |  |
|     |               | 329: 17 Q. The inlet guide vane position will affect         |  |  |
|     |               | 329: 18 the operation of the surge control valve referenced  |  |  |

329: 18 the operation of the surge control valve referenced

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|     |               | 329: 19    | here?  |
|     |               | 329: 20    | A. It's the set point. I think we've been  |
|     |               | 329: 21    | through this before.   |
| 268 | 331:2-332:9   | Clark 12/  | 07/2005  |
|     |               | 331:2      | Q. Look at page 26029.   |
|     |               | 331:3      | A. Okay.   |
|     |               | 331:4      | Q. Is that the surge control valve command   |
|     |               | 331:5      | schedule used by Honeywell in 1981?  |
|     |               | 331:6      | A. Command schedule. It's the set – It's   |
|     |               | 331:7      | the Delta P/P set point in the surge control logic   |
|     |               | 331:8      | versus IGV position.   |
|     |               | 331:9      | Q. Will you read the title of Figure 11 on   |
|     |               | 331:10     | page 26,029?   |
|     |               | 331:11     | A. "Surge Control Command Schedule."   |
|     |               | 331: 12    | Q. Is Figure 11 the surge control command  |
|     |               | 331: 13    | schedule used by Honeywell in 1981?  |
|     |               | 331:14     | MS. STEVENSON: Objection. Vague.   |
|     |               | 331: 15    | THE WITNESS: The plot shows the Delta  |
|     |               | 331: 16    | P/P set point versus IGV position.   |
|     |               | 331:17     | Q. BY MR. LIND: Is that a yes or no?   |
|     |               | 331:18     | MS. STEVENSON: Object to the form.   |
|     |               | 331: 19    | THE WITNESS: I'm just telling you what   |
|     |               | 331: 20    | the figure is.   |
|     |               | 331: 21    | Q. BY MR. LIND: Go ahead.  |
|     |               | 331: 22    | A. The figure shows a plot of the Delta P/P  |
|     |               | 331:23     | set point that's used in the surge control logic   |
|     |               | 331:24     | versus IGV position.   |
|     |               | 331: 25    | Q. Is it mislabeled, Figure 11?  |
|     |               | 332: 1     | A. Some people call the Delta P/P the  |
| -   |               | 332: 2     | command. It's the set point. I would call it the   |
|     |               | 332: 3     | set point.   |
|     |               | 332: 4     | Q. Is the title of Figure 11, surge control  |
|     |               | 332: 5     | valve command schedule, true and accurate?   |
|     |               | 332: 6     | MS. STEVENSON: Object to the form.   |
|     |               | 332: 7     | THE WITNESS: I would not call it that.   |
|     |               | 332:8      | I would call it the surge control valve set point  |
|     |               | 332: 9     | schedule.  |
| 269 | 336:6-336:6   | Clark 12/0 | 07/2005  |
|     |               | 336: 6     | Q. BY MR. LIND: Okay. Let me show you  |
| 270 | 336:7-336:10  | Clark 12/0 | 100 may 100 ma |
| 210 | 330.7 -330.10 | 336: 7     |  |
|     |               | 336: 8     | Exhibit 22. Exhibit 22 is a December 16th, 1983, memo from you to John Dannon.   |
|     |               | 336: 9     | Do you see that?   |
|     |               |            | A. Yes, that's correct.  |
|     |               | 330. 10    | 7. 150, Bat S WHOW.  |

|     |               | James Clark Deposition Designations Fina                     |
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| 271 | 336:11-337:19 | Clark 12/07/2005   |
|     |               | 336: 11 Q. Who is John Dannon?                               |
|     |               | 36: 12 A. John Dannon was the project engineer for           |
|     |               | 336: 13 this engine that's called the GPG.                   |
|     |               | 336: 14 Q. Earlier in your testimony, you referenced         |
|     |               | 336: 15 a surge control system for the GPG, but you weren't  |
|     |               | 36: 16 positive that that was the acronym?                   |
|     |               | 336: 17 A. This is the one.                                  |
|     |               | 36: 18 Q. Does this refresh your recollection that           |
|     |               | 36: 19 the acronym that you were talking about, GPG, was, in |
|     |               | 336: 20 fact, GPG?   |
|     |               | 36: 21 A. This is the same. This is it.                      |
|     |               | 36: 22 Q. This talks about test results of the GTCP          |
|     |               | 36: 23 85-1000. That was an APU, correct?                    |
|     |               | 36: 24 A. That's an APU, that's correct.                     |
|     |               | 36: 25 Q. And what airplane did that APU go on?              |
|     |               | 37:1 A. I can't remember.                                    |
|     |               | 37:2 Q. Was the reference to the GPG surge                   |
|     |               | 37: 3 control a reference - Is GPG a reference to the APU    |
|     |               | 37: 4 or to a project name or what?                          |
|     |               | 37: 5 A. GPG – it references – that is some –                |
|     |               | 37; 6 GPG is some designation for the engine and I can't     |
|     |               | 37; 7 tell you what that acronym stands for.                 |
|     |               | 37: 8 Q. So is the GPG APU different from the                |
|     |               | 37: 9 85-1000 APU?   |
|     |               | 37; 10 A. I can't remember.                                  |
|     |               | 37: 11 Q. What airplane did the GPG APU go on?               |
|     |               | 37: 12 A. The GPG, I believe, was a ground cart.             |
| •   |               | 37: 13 It didn't go on an airplane. Ground carts are like    |
|     |               | 37: 14 APUs that they can wheel around to different          |
|     |               | 37: 15 aircraft.   |
|     |               | 37: 16 Q. Does that mean that the GPG auxiliary              |
|     |               | 37: 17 power unit could be used basically for any aircraft   |
|     |               | 37: 18 or a subset of aircrafts?                             |
|     |               | 37: 19 A. A subset of aircraft.                              |
| 272 | 339:2-339:16  | Clark 12/07/2005   |
|     |               | 39: 2 Q. BY MR. LIND: Well, if you read the first            |
|     |               | 39: 3 line to the introduction of the document, it says, "A  |
|     |               | 39: 4 surge control system that is being investigated for    |
|     |               | 39: 5 the GPG, will use static pressures located in the      |
|     |               | 39: 6 diffuser to detect incipient surge."                   |
|     |               | 39: 7 Do you see that?                                       |
|     |               | 39: 8 A. That's correct.                                     |
|     |               | 39: 9 Q. Was that true?                                      |
|     |               | 39: 10 A. That's true.                                       |
|     |               |  |

|     |               |          | James Clark Deposition Designations Final             |
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|     |               | 339: 11  | Q. So there was already, as of December of            |
|     |               | 339: 12  | 1983, a surge control system that was being           |
|     |               | 339: 13  | investigated for the GPG that would use static        |
|     |               | 339: 14  | pressures located in the diffuser to detect incipient |
|     |               | 339: 15  | surge, correct?                                       |
|     |               | 339: 16  | A. That's correct.                                    |
| 273 | 339:25-340:11 | Clark 12 | /07/2005  |
|     |               | 339: 25  | Q. When a surge control Before a surge                |
|     |               | 340: 1   | control system can be investigated, the surge control |
|     |               | 340: 2   | system has to be designed, correct?                   |
|     |               | 340: 3   | MS. STEVENSON: Objection. Vague.                      |
|     |               | 340:4    | THE WITNESS: I don't know if that's                   |
|     |               | 340: 5   | completely true. You could be testing part of it      |
|     |               | 340:6    | before the other parts are designed.                  |
|     |               | 340: 7   | Q. BY MR. LIND: In order for a surge                  |
|     |               | 340:8    | control system to be investigated, the surge control  |
|     |               | 340:9    | system has to be conceived, correct?                  |
|     |               | 340: 10  | MS, STEVENSON: Objection. Vague.                      |
|     |               | 340: 11  | THE WITNESS: At least parts of it.                    |
| 274 | 340:12-340:20 | Clark 12 | /07/2005  |
|     |               | 340: 12  | Q. BY MR. LIND: And this document talks               |
|     |               | 340: 13  | about surge control system is going to use static     |
|     |               | 340: 14  | pressures located in the diffuser to detect incipient |
|     |               | 340: 15  | surge, right?   |
|     |               | 340: 16  | A. That's correct.                                    |
|     |               | 340: 17  | Q. So by December 1983, Honeywell had                 |
|     |               | 340: 18  | conceived of a surge control system that would use    |
|     |               | 340: 19  | static pressures located on the diffuser to detect    |
|     |               | 340: 20  | incipient surge, correct?                             |
| 275 | 340:23-341:2  | Clark 12 | /07/2005  |
|     |               | 340: 23  | THE WITNESS: We put static pressures in               |
|     |               | 340: 24  | a — There were two static taps, as I recall.          |
|     |               | 340: 25  | That's what formed this Delta P/P in the diffuser.    |
|     |               | 341:1    | We had conceived that as of the date on this,         |
|     |               | 341: 2   | December 16th.  |
| 276 | 341:3-341:4   | Clark 12 | /07/2005  |
|     |               | 341:3    | Q. BY MR. LIND: 1983?                                 |
|     |               | 341:4    | A. 1983.  |
| 277 | 343:17-343:23 | Clark 12 | /07/2005  |
|     |               | 343: 17  | Q. BY MR. LIND: Did the GPG surge control             |
|     |               | 343: 18  | system that used static pressure measurements located |
|     |               | 343: 19  | in the diffuser have the potential to experience the  |
|     |               | 343: 20  | double solution issue?                                |
|     |               | 343: 21  | A. This Delta P - This Delta P/Delta P did            |

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|-----------------|---|
|                 | 343: 22 not exhibit the – what you call the double V                              |
|                 | 343: 23 solution.   |
| 3/1/-9-3/1/-15  | Clark 12/07/2005  |
| 344.5-344.13    | 344: 9 Q. Okay. So because the pressures in the                                   |
|                 | ·   |
|                 |   |
|                 | · · · · · · · · · · · · · · · · · · ·   |
|                 |   |
|                 |   |
|                 | 344: 14 A. No. The Delta P/P does not exhibit the 344: 15 — the inverted V curve. |
|                 |   |
| 344:23 - 345:14 | Clark 12/07/2005  |
|                 | 344: 23 Q. BY MR. LIND: The third paragraph of the                                |
|                 | 344: 24 introduction of Exhibit 22 says, "Engine demo A had a                     |
|                 | 344: 25 diffuser instrumented to investigate the feasibility                      |
|                 | 345: 1 of these approaches."  |
|                 | 345: 2 And when that says, "these approaches,"                                    |
|                 | 345: 3 it's talking about the GPG surge control system and                        |
|                 | 345: 4 the alternative approach in the second paragraph,                          |
|                 | 345: 5 correct.   |
|                 | 345: 6 A. I believe it's talking about the above                                  |
|                 | 345: 7 approaches.  |
|                 | 345: 8 Q. So is that yes?   |
|                 | 345: 9 A. Yes.  |
|                 | 345: 10 Q. It says, Testing on this engine showed                                 |
|                 | 345: 11 that the Delta P/Delta P approach was the best                            |
|                 | 345: 12 scheme.   |
|                 | 345: 13 Do you see that?  |
|                 | 345; 14 A. That's correct.  |
| 348:1-348:3     | Clark 12/07/2005  |
|                 | 348: 1 Q. BY MR. LIND: And the test involved the                                  |
|                 | 348: 2 surge control system that used static pressure                             |
|                 | 348: 3 measurements located in the diffuser correct?                              |
| 348-6-348-8     | Clark 12/07/2005  |
| 010.010.0       | 348: 6 THE WITNESS: This test is just for part                                    |
|                 | 348: 7 of the system, the part that measures the pressures                        |
|                 | 348: 8 in the diffuser.   |
|                 |   |
| 348:19-348:21   | Clark 12/07/2005  |
|                 | 348: 19 Q. BY MR. LIND: And the part of the surge                                 |
|                 | 348; 20 control system that you are testing would have to                         |
|                 | 348: 21 have been designed prior to the test plan, correct?                       |
| 348:24-349:7    | Clark 12/07/2005  |
|                 | 348: 24 THE WITNESS: I don't believe that's                                       |
|                 | 348: 25 correct.  |
|                 | 348:1-348:3<br>348:6-348:8<br>348:19-348:21                                       |

#### **James Clark Deposition Designations -- Final** 349:1 Q. BY MR. LIND: So how did you know what to 349:2 test if you didn't have a system design? 349:3 A. You - For example, we're looking at two 349:4 different methods of measuring this parameter here. All right. If you would have had the system design, 349:5 349:6 that seems to me like you would have already picked 349:7 one of those. Clark 12/07/2005 284 350:13-350:18 350:13 Q. BY MR. LIND: Is it fair for me to call 350:14 the GPG surge control system that you reference in 350:15 your memo Exhibit 22 "a surge control system"? MS. STEVENSON: Objection. Vague. 350: 16 THE WITNESS: No. The real - The real 350:17 350:18 issue of this is just part of that system. 285 350:19 - 350:24 Clark 12/07/2005 350: 19 Q. BY MR. LIND: In December of 1983, 350: 20 Honeywell was investigating two different surge 350:21 control systems, one of them being a system that used 350: 22 static pressure measurements located in the diffuser 350:23 to detect incipient surge. Isn't that right? 350: 24 A. That's what the first sentence says. 286 350:25-351:5 Clark 12/07/2005 350: 25 Q. Isn't that accurate? 351:1 A. That's what the first sentence says. 351:2 Q. Is it true or not? 351:3 A. I'm saying what's addressed in this memo 351:4 is we are measuring these pressures and finding out 351:5 their correlations to flow and pressures. 287 351:23-352:2 Clark 12/07/2005 351:23 Q. BY MR, LIND: Prior to December 16th of 351:24 1983, Honeywell tested a diffuser that had static 351:25 pressure taps to measure static pressure within the 352: 1 diffuser, correct? 352: 2 A. We tested that prior to this report. 288 358:24-359:11 Clark 12/07/2005 358: 24 Q. And what do you -- When you use the word 358: 25 "technology," generally what do you understand it to 359: 1 mean? 359: 2 A. Technology is some technical things used 359:3 to produce something. 359:4 Q. Based on that understanding, was there 359:5 any difference in the technology that existed in 359:6 December of 1983 that would have prevented the surge 359:7 control system referenced in the first paragraph of

|     |                |          | James Clark Deposition Designations Final             |
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|     |                | 359: 8   | Exhibit 22 to have been implemented two years before  |
|     |                | 359: 9   | that?   |
|     |                | 359: 10  | A. It doesn't require any Well it                     |
|     |                | 359: 11  | doesn't - It doesn't require any new technology.      |
| 289 | 360:1-360:14   | Clark 12 | /07/2005  |
|     |                | 360: 1   | Q. I want to look at the conclusion. The              |
|     |                | 360: 2   | conclusion reads, "The information gained during this |
|     |                | 360: 3   | test showed that the Delta P/Delta P type surge       |
|     |                | 360: 4   | control system is a promising concept."               |
|     |                | 360: 5   | By December of 1983, did Honeywell                    |
|     |                | 360: 6   | believe that the surge control system described in    |
|     |                | 360: 7   | the first paragraph of Exhibit 22 was a promising     |
|     |                | 360:8    | concept?  |
|     |                | 360: 9   | A. This isn't It may have used the word               |
|     |                | 360: 10  | "system" there, but this is still talking about the   |
|     |                | 360: 11  | Delta P it's talking about detecting surge using      |
|     |                | 360: 12  | Delta P/P. The word "system" is used, but it's        |
|     |                | 360: 13  | really talking about the promising concept is the     |
|     |                | 360: 14  | Delta P/P as a parameter that can detect surge.       |
| 290 | 362:13-362:19  | Clark 12 | /07/2005  |
|     |                | 362:13   | Q. Is there any part of the Delta P/Delta P           |
|     |                | 362: 14  | surge control system that had not been developed by   |
|     |                | 362: 15  | December of 1983?                                     |
|     |                | 362: 16  | A. Knowing that the Delta P/Delta P concept           |
|     |                | 362: 17  | or mark had not been developed prior to this. And     |
|     |                | 362: 18  | that's part of the system. That's why we ran this     |
|     |                | 362: 19  | test.   |
| 291 | 365:3-365:14   | Clark 12 | /07/2005  |
|     |                | 365: 3   | Q. And prior to December of 1983, going all           |
|     |                | 365: 4   | the way back into the late '70s, it was your          |
|     |                | 365: 5   | understanding that in order to control for surge, you |
|     |                | 365: 6   | would need to take into account inlet guide vane      |
|     |                | 365: 7   | angle as an input into the surge control system,      |
|     |                | 365: 8   | correct?  |
|     |                | 365: 9   | A. I have to explain that.                            |
|     |                | 365: 10  | We've already gone through the F-18                   |
|     |                | 365: 11  | doesn't do that. Under some circumstances, if you're  |
|     |                | 365: 12  | allowing to give up, depending on how fine you want   |
|     |                | 365: 13  | to control surge, you can build a surge control       |
|     | ·              | 365: 14  | system that doesn't do that because F-18 does.        |
| 292 | 365:17 -365:22 | Clark 12 | /07/2005  |
|     |                | 365: 17  | Prior to December 1983, going all the way             |
|     |                | 365: 18  | back into the late '70s, it was your understanding    |
|     |                | 365: 19  | that in order to efficiently control surge, you would |
|     |                |          |   |

# James Clark Deposition Designations -- Final 365: 20 need to take into account inlet guide vane angle and

|     |                | 365: 20    | need to take into account inlet guide vane angle and  |
|-----|----------------|------------|---|
|     |                | 365: 21    | input into your surge control system, correct?        |
|     |                | 365: 22    | A. I believe that statement is correct.               |
| 293 | 366:16-366:22  | Clark 12/  | 07/2005   |
|     |                | 366: 16    | Q. And you do Did you do the                          |
|     |                | 366: 17    | calculations on page 74 based on the test data that   |
|     |                | 366: 18    | was collected?  |
|     |                | 366: 19    | A. This says, "The GTC 85-100 compressor              |
|     |                | 366: 20    | map." I can't say whether that was the test data      |
|     |                | 366: 21    | collected during our test or if this map was given to |
|     |                | 366: 22    | me.   |
| 294 | 367:21 -367:25 | Clark 12/  | 07/2005   |
|     |                | 367: 21    | Q. Are each of the curved lines on page 74            |
|     |                | 367: 22    | represent different inlet guide vane angle?           |
|     |                | 367: 23    | A. This engine does not have inlet guide              |
|     |                | 367; 24    | vanes. You see them 70 percent, 80 percent, 90        |
|     |                | 367: 25    | percent, 100 percent. Those would be speeds.          |
| 295 | 369:6-369:16   | Clark 12/0 | 07/2005   |
|     |                | 369: 6     | Well, tell me what's shown on the graph               |
|     |                | 369: 7     | on page 90 of Exhibit 22.                             |
|     |                | 369: 8     | A. There is a Delta P/P. I'm not sure                 |
|     |                | 369: 9     | exactly what parameters make up that Delta P/P, but   |
|     |                | 369: 10    | the other parameter is corrected flow. The Y axis is  |
|     |                | 369: 11    | correct flow and the X axis would be Delta P/P.       |
|     |                | 369: 12    | Q. Those are the same axes that we use on             |
|     |                | 369: 13    | our compressor maps, correct?                         |
|     |                | 369: 14    | A. No. The This would be discharge                    |
|     |                | 369: 15    | corrected flow. On the compressor maps, you plot      |
|     |                | 369: 16    | inlet corrected flow.                                 |
| 296 | 369:20-370:18  | Clark 12/0 | 07/2005   |
|     |                | 369: 20    | Q. When you say, "At the top of this graph,           |
|     |                | 369: 21    | diffuser Delta P/P," what does that relate to or      |
|     |                | 369: 22    | refer to?   |
|     |                | 369: 23    | A. I don't know. It's not labeled and it              |
|     |                | 369: 24    | doesn't show on a diagram, so I don't know what that  |
|     |                | 369: 25    | ···   |
|     |                | 370: 1     | Q. Did you draw this graph?                           |
|     |                | 370:2      | A. I did draw the graph.                              |
|     |                | 370:3      | Q. Does this graph on page 90 show a double           |
|     |                | 370:4      | solution curve?                                       |
|     |                | 370:5      | A. No, it does not.                                   |
|     |                | 370:6      | Q. Does the graph on page 90 - What is the            |
|     |                | 370: 7     | significance of the peak on the right-hand side of    |
|     |                | 370: 8     | the graph on page 90?                                 |
|     |                |            |   |

|     |                |          | James Clark Deposition Designations Final             |
|-----|----------------|----------|---|
|     |                | 370: 9   | A. If you notice the scale, it goes up here           |
|     |                | 370: 10  | and then this part of the curve is that scale and     |
|     |                | 370: 11  | this part of the curve is that scale. So you notice   |
|     |                | 370: 12  | this scale is – is increasing in value as it goes     |
|     |                | 370: 13  | down.   |
|     |                | 370: 14  | Q. So you ran out of paper?                           |
|     |                | 370: 15  | A. I ran out of paper.                                |
|     |                | 370: 16  | Q. Pretty much why this curve looks like it           |
|     |                | 370: 17  | does?   |
|     |                | 370: 18  | A. That's correct.                                    |
| 297 | 375:22 -376:24 | Clark 12 | /07/2005  |
|     |                | 375: 22  | Q. First sentence reads. "The main                    |
|     |                | 375: 23  | assumption in the development of the 131 logic is     |
|     |                | 375: 24  | that surge will be caused by aerodynamic stall in the |
|     |                | 375: 25  | diffuser."  |
|     |                | 376: 1   | Did you see that?                                     |
|     |                | 376: 2   | A. Yes.   |
|     |                | 376: 3   | Q. Why did you assume that surge would be             |
|     |                | 376: 4   | caused by aerodynamic stall in the diffuser?          |
|     |                | 376: 5   | A. That information came from the compressor          |
|     |                | 376: 6   | designer.   |
|     |                | 376: 7   | Q. Was there something different about the            |
|     |                | 376: 8   | 131 that would cause from other APUs, that would      |
|     |                | 376: 9   | cause surge to be the result of an aerodynamic stall  |
|     |                | 376: 10  | in the diffuser?                                      |
|     |                | 376: 11  | A. As I recall, what the compressor designer          |
|     |                | 376: 12  | said that this was a centrifugal compressor with the  |
|     |                | 376: 13  | diffuser. And he said we have this arrangement —      |
|     |                | 376: 14  | what causes a surge is initiates the surge is         |
|     |                | 376: 15  | stall in the diffuser vanes.                          |
|     |                | 376: 16  | Q. When you have a centrifugal compressor             |
|     |                | 376: 17  | with a diffuser in that case, are those types of APUs |
|     |                | 376: 18  | the surge will be caused by an aerodynamic stall in   |
|     |                | 376: 19  | the diffuser?   |
|     |                | 376: 20  | A. That's correct.                                    |
|     |                | 376: 21  | Q. And that's just based on concepts of               |
|     |                | 376: 22  | fluid dynamics and flow?                              |
|     |                | 376: 23  | A. That's based on what the compressor                |
|     |                | 376: 24  | designer - his experience and knowledge.              |
| 298 | 380:7-380:10   | Clark 12 | /07/2005  |
|     |                | 380: 7   | Q. Was your Delta P/Delta P surge control             |
|     |                | 380: 8   | system that measured static pressure in the diffuser  |
|     |                | 380: 9   | ever implemented on the 331-200?                      |
|     |                | 380: 10  | A. No.  |
|     |                |          |   |

|     |               | tanio ciam popolitici poligitationo i mai   |  |
|-----|---------------|---|--|
| 299 | 380:23-381:15 | Clark 12/07/2005  |  |
|     |               | 380: 23 Q. BY MR. LIND: At the bottom, the last   |  |
|     |               | 380: 24 bullet of page 555 says, "A version of this system is   |  |
|     |               | 380: 25 currently in development on an engine program   |  |
|     |               | 381: 1 scheduled for production in 1988."   |  |
|     |               | 381; 2 What engine program are they talking   |  |
|     |               | 381: 3 about is Honeywell talking about?  |  |
|     |               | 381: 4 A. The only - The only engine program the  |  |
|     |               | 381: 5 he ever used this on would be the 131-3.   |  |
|     |               | 381: 6 Q. When you say, "used this," what do you  |  |
|     |               | 381: 7 mean by "this"?  |  |
|     |               | 381: 8 A. The Delta P/Delta P.  |  |
|     |               | 381; 9 Q. And so you understand page 555 to be  |  |
|     |               | 381: 10 talking about the Delta P/Delta P surge control   |  |
|     |               | 381: 11 system, correct?  |  |
|     |               | 381: 12 MS. STEVENSON: Objection. Misstates   |  |
|     |               | 381; 13 testimony.  |  |
|     |               | 381: 14 THE WITNESS: That last bullet. I  |  |
|     |               | 381: 15 wouldn't speculate on the other bullets.  |  |
| 300 | 382:18-382:18 | Clark 12/07/2005  |  |
|     |               | 382: 18 (Exhibit 25 was marked for identification.)   |  |
| 204 | 202-40 202-40 |   |  |
| 301 | 382:19-383:10 | Clark 12/07/2005  |  |
|     |               | 382: 19 Q. BY MR. LIND: I'm going to hand you   |  |
|     |               | 382: 20 Exhibit 25. Exhibit 25 is a May 1989 memo written by  |  |
|     |               | 382: 21 Ed Goff titled, "Selection of Static Pressure Pick Up   |  |
|     |               | 382: 22 For Surge Control."   |  |
|     |               | 382: 23 Do you have that before you?  |  |
|     |               | 382: 24 A. I have that before me. 382: 25 Q. What does "static pressure pick up" mean   |  |
|     |               | ,   |  |
|     |               |   |  |
|     |               | *   |  |
|     |               | v   |  |
|     |               | <ul><li>383: 4 and I see that they have this Delta P/P total. So</li><li>383: 5 that would be the static pressure in the Delta P.</li></ul> |  |
|     |               | 383: 6 Q. By the date of the memo that is Exhibit   |  |
|     |               | 383: 7 25, Honeywell had been measuring static pressure in  |  |
|     |               | 383: 8 the diffuser in a way that was resulting in the  |  |
|     |               | 383: 9 double solution problem, right?  |  |
|     |               | 383: 10 A. I can't see that from this memo.   |  |
|     |               |   |  |
| 302 | 383:11-383:13 | Clark 12/07/2005  |  |
|     |               | 383: 11 Q. Exhibit 25 relates to the 331-350 APU,   |  |
|     |               | 383: 12 correct?  |  |
|     |               | 383: 13 A. Correct.   |  |
| 303 | 383:20-384:14 | Clark 12/07/2005  |  |
|     |               |   |  |

|     |               | The second secon |
|-----|---------------|--|
|     |               | 383: 20 Q. The 331-350 APU experienced the double  |
|     |               | 383: 21 solution problem, correct?   |
|     |               | 383: 22 A. That's correct.   |
|     |               | 383: 23 Q. The 331-350 APU used inlet guide vane   |
|     |               | 383: 24 position as an input in determining when you're on   |
|     |               | 383: 25 the right-hand side of the double solution curve,  |
|     |               | 384: 1 correct?  |
|     |               | 384: 2 A. That's correct.  |
|     |               | 384: 3 Q. The 331-350 APU used inlet guide vane  |
|     |               | 384: 4 position as an input in determining whether to  |
|     |               | 384: 5 override the surge control system if you are on that  |
|     |               | 384: 6   |
|     |               | 384: 7 A. Told you which   |
|     |               | 384: 8 Q. — high flow area of the double solution  |
|     |               | 384: 9 curve, correct?   |
|     |               | 384: 10 A. I believe that's correct.   |
|     |               | 384: 11 Q. If you turn the page to the last page   |
|     |               | 384: 12 of Exhibit 25, there are two graphs plotted here,  |
|     |               | 384: 13 correct?   |
|     |               | 384: 14 A. That's correct.   |
| 304 | 384:23-384:25 | Clark 12/07/2005   |
|     |               | 384: 23 Q. As the When did the 331-350 first   |
|     |               | 384: 24 exhibit the double solution characteristic?  |
|     |               | 384: 25 A. I don't I don't know.   |
| 305 | 385:22-386:5  | Clark 12/07/2005   |
|     |               | 385: 22 Q. Turbomeca supplied the compressor for the   |
|     |               | 385: 23 331-350?   |
|     |               | 385: 24 A. That's correct.   |
|     |               | 385: 25 Q. Did Turbomeca design the load compressor  |
|     |               | 386: 1 for the 331-350?  |
|     |               | 386: 2 A. I don't know,  |
|     |               | 386: 3 Q. When did you first see double solution   |
|     |               | 386: 4 curves like the ones on the last page of Exhibit 25?  |
|     |               | 386: 5 A. I can't remember.  |
| 306 | 386:6-386:10  | Clark 12/07/2005   |
|     |               | 386: 6 Q. But you agree that the graphs on the last  |
|     |               | 386: 7 page of Exhibit 5 show the double solution  |
|     |               | 386: 8 characteristic, right?  |
|     |               | 386: 9 A. Both graphs show the double – the  |
|     |               | 386: 10 inverted V characteristic.   |
| 307 | 413:8-413:17  | Clark 12/07/2005   |
|     |               | 413; 8 Q. BY MR. LIND: Was it known back in the  |
|     |               | 413: 9 late '70s that if you took pressure measurements that   |
|     |               | 413: 10 intruded into compressor flow spaces, that that would  |

|     |               |          | James Clark Deposition Designations Final             |
|-----|---------------|----------|---|
|     |               | 413: 11  | be a bad thing, that you would lose energy?           |
|     |               | 413: 12  | A. I think we've talked about that before.            |
|     |               | 413: 13  | You wouldn't want to put a probe in a diffuser.       |
|     |               | 413: 14  | Q. And you've known that since the late               |
|     |               | 413: 15  | 1970s, correct?                                       |
|     |               | 413: 16  | A. People have known that since the late              |
|     |               | 413: 17  | 1970s.  |
| 308 | 423:13-423:20 | Clark 12 | /07/2005  |
|     |               | 423; 13  | Q. Do you understand the 302 patent to                |
|     |               | 423: 14  | relate to avoiding surge?                             |
|     |               | 423: 15  | MS. STEVENSON: Objection. Lacks                       |
|     |               | 423: 16  | foundation. And I object to this entire line of       |
|     |               | 423: 17  | questioning.  |
|     |               | 423: 18  | Mr. Clark is here as a fact witness: He               |
|     |               | 423: 19  | is not an expert witness, and he's not here to give   |
|     |               | 423: 20  | opinion testimony.                                    |
| 309 | 428:10-428:15 | Clark 12 | /07/2005  |
|     |               | 428: 10  | Q. BY MR. LIND: Sir, I'm handing you what's           |
|     |               | 428: 11  | been marked as Hamilton Remand Exhibit 29. This is    |
|     |               | 428: 12  | the United States patent number 4164033, dated August |
|     |               | 428: 13  | 7th, 1979, to a issued to Mr. Glennon and others.     |
|     |               | 428: 14  | Do you have that before you?                          |
|     |               | 428: 15  | A. I have that before me.                             |
| 310 | 429:18-429:24 | Clark 12 | /07/2005  |
|     |               | 429: 18  | Q. BY MR. LIND: The Glennon 033 patent                |
|     |               | 429: 19  | relates to compressor surge control, correct?         |
|     |               | 429: 20  | MS. STEVENSON: Objection. Lacks                       |
|     |               | 429: 21  | foundation.   |
|     |               | 429: 22  | THE WITNESS: I'm looking at this. I do                |
|     |               | 429: 23  | see a compressor map. I do not see one in the figure  |
|     |               | 429: 24  | there.  |
| 311 | 431:7-431:10  | Clark 12 | /07/2005  |
|     |               | 431: 7   | Q. Do you understand the Glennon 033 patent           |
|     |               | 431:8    | to relate to a surge control system?                  |
|     |               | 431: 9   | A. Yes, it's trying to prevent surge as it's          |
|     |               | 431: 10  | stated there.   |
| 312 | 434:16-435:8  | Clark 12 | 707/2005  |
|     |               | 434: 16  | Q. And the inlet — Does the inlet guide               |
|     |               | 434: 17  | vane position in the Glennon patent affect the        |
|     |               | 434: 18  | reference pressure ratio?                             |
|     |               | 434: 19  | MS. STEVENSON: Objection. Lacks                       |
|     |               | 434: 20  | foundation.   |
|     |               | 434: 21  | THE WITNESS: I'm reading that sentence                |
|     |               | 434: 22  | and it doesn't appear what that sentence is saying.   |

|     |               |                                      | James Clark Deposition Designations Final             |  |  |
|-----|---------------|--------------------------------------|---|--|--|
|     |               | 434: 23                              | It's saying - It's saying that there's lesser rate    |  |  |
|     |               | 434: 24                              | flow for rate, and that has occurred because of       |  |  |
|     |               | 434: 25                              | either decreasing speed or repositioning of the inlet |  |  |
|     |               | 435: 1                               | guide vanes.  |  |  |
|     |               | 435: 2                               | Q. BY MR. LIND: Does the Glennon patent               |  |  |
|     |               | 435: 3                               | disclose that the inlet guide vane position will      |  |  |
|     |               | 435: 4                               | affect the reference pressure ratio?                  |  |  |
|     |               | 435: 5                               | MS. STEVENSON: Objection. Calls for a                 |  |  |
|     |               | 435: 6                               | legal conclusion and lacks foundation.                |  |  |
|     |               | 435: 7                               | THE WITNESS: No, I don't believe so. I                |  |  |
|     |               | 435; 8                               | don't I don't see anything saying that.               |  |  |
| 313 | 436:13-436:15 | Clark 12                             | /07/2005  |  |  |
|     |               | 436: 13                              | Q. Does the Glennon 033 patent describe the           |  |  |
|     |               | 436: 14                              | use of inlet guide vane position as an input into the |  |  |
|     |               | 436: 15                              | surge control system?                                 |  |  |
| 314 | 436:18-436:18 | Clark 12                             | /07/2005  |  |  |
|     |               | 436: 18                              | THE WITNESS: Yes, I think it does.                    |  |  |
| 315 | 436:19 -437:7 | Clark 12                             | /07/2005  |  |  |
|     |               | 436: 19                              | Q. BY MR. LIND: And does the Glennon 033              |  |  |
|     |               | 436: 20                              | patent describe a surge control system where a        |  |  |
|     |               | 436: 21                              | pressure – a reference pressure ratio is compared to  |  |  |
|     |               | 436: 22                              | a measured pressure ratio in order to control surge?  |  |  |
|     |               | 436: 23                              | MS. STEVENSON: Objection. Lacks                       |  |  |
|     |               | 436: 24                              | foundation. Calls for speculation and calls for       |  |  |
|     |               | 436: 25                              | improper expert testimony.                            |  |  |
|     |               | 437:1                                | THE WITNESS: I'm seeing inlet guide on                |  |  |
|     |               | 437:2                                | Figure 2. I'm seeing an inlet guide vane and/or       |  |  |
|     |               | 437:3                                | speed information being fed into this summer and I'm  |  |  |
|     |               | 437:4                                | seeing a P reference circuit. At that point, I can't  |  |  |
|     |               | 437:5                                | speculate if that's feedback from a pressure ratio    |  |  |
|     |               | 437:6                                | coming from the device and this inlet guide vane box  |  |  |
|     |               | 437:7                                | number 94 is putting out a set point.                 |  |  |
| 316 | 437:17-438:1  | <b>437:17-438:1</b> Clark 12/07/2005 |   |  |  |
|     |               | 437: 17                              | Q. BY MR. LIND: Do you agree that the inlet           |  |  |
|     |               | 437: 18                              | gulde vane position in the Glennon patent affects the |  |  |
|     |               | 437: 19                              | signal that will be sent to the surge valve?          |  |  |
|     |               | 437:20                               | MS. STEVENSON: Lacks foundation. Calls                |  |  |
|     |               | 437: 21                              | for speculation and improper expert testimony.        |  |  |
|     |               | 437: 22                              | THE WITNESS: I don't quite know what box              |  |  |
|     |               | 437: 23                              | 74 is. It's labeled — To answer that question I       |  |  |
|     |               | 437: 24                              | would have to know more about what box 74 in Figure 2 |  |  |
|     |               | 437: 25                              | of the patent is. The label on it is, "Inlet Guide    |  |  |
|     |               | 438: 1                               | Vane and/or Speed Information."                       |  |  |
| 317 | 438:12-439:5  | Clark 12/                            | 07/2005   |  |  |

|     |               | 438: 12  | Q. BY MR. LIND: Do you agree that the inlet           |  |
|-----|---------------|----------|---|--|
|     |               | 438: 13  | guide vane position in the Glennon patents affects    |  |
|     |               | 438: 14  | the signal that is sent to the surge valve?           |  |
|     |               | 438: 15  | MS. STEVENSON: Objection. Lacks                       |  |
|     |               | 438: 16  | foundation. Calls for speculation. Calls for          |  |
|     |               | 438: 17  | improper expert testimony.                            |  |
|     |               | 438: 18  | THE WITNESS: The box - This is what it                |  |
|     |               | 438: 19  | says about box 74. It says, "This may"                |  |
|     |               | 438: 20  | Q. BY MR. LIND: Sir, can you just try and             |  |
|     |               | 438: 21  | answer the question?                                  |  |
|     |               | 438: 22  | MS. STEVENSON: Let's let the witness                  |  |
|     |               | 438: 23  | finish what he was saying.                            |  |
|     |               | 438: 24  | THE WITNESS: I want to finish, please.                |  |
|     |               | 438: 25  | It says, "This may be most easily                     |  |
|     |               | 439: 1   | accomplished by adding a signal representative to a   |  |
|     |               | 439: 2   | shift some of 36," and that's shown I believe in      |  |
|     |               | 439:3    | Figure 2, "by an inlet guide vane or speed            |  |
|     |               | 439:4    | information circuit." That still doesn't tell me      |  |
|     |               | 439: 5   | what that circuit does - what that is.                |  |
| 318 | 439:16-439:18 | Clark 12 | /07/2005  |  |
|     |               | 439: 16  | Q. BY MR. LIND: Does Glennon teach the                |  |
|     |               | 439: 17  | basic concept that the inlet guide vane position will |  |
|     |               | 439: 18  | affect where you are on the compressor map?           |  |
| 319 | 439:21-439:24 | Clark 12 | /07/2005  |  |
|     |               | 439: 21  | THE WITNESS: The Figure 1 of the patent,              |  |
|     |               | 439: 22  | Exhibit 29, has a compressor map, which is a generic  |  |
|     |               | 439: 23  | compressor map, and it shows that the compressor      |  |
|     |               | 439: 24  | characteristics are shifting with IGV lines.          |  |
| 320 | 442:2-442:17  | Clark 12 | /07/2005  |  |
|     |               | 442: 2   | Q. BY MR. LIND: And then does the Glennon             |  |
|     |               | 442:3    | patent have to reposition the - or, change the        |  |
|     |               | 442:4    | reference pressure ratio set point in response to the |  |
|     |               | 442: 5   | change in that vane position?                         |  |
|     |               | 442:6    | MS. STEVENSON: Objection. Calls for                   |  |
|     |               | 442:7    | speculation. Lacks foundation. Calls for expert       |  |
|     |               | 442:8    | testimony.  |  |
|     |               | 442:9    | THE WITNESS: I don't interpret that                   |  |
|     |               | 442: 10  | sentence to say that.                                 |  |
|     |               | 442: 11  | Q. BY MR. LIND: How is, in the Glennon                |  |
|     |               | 442: 12  | patent, your understanding, the reference set         |  |
|     |               | 442: 13  | point – the reference pressure ratio set point set?   |  |
|     |               | 442: 14  | MS. STEVENSON: Objection. Lacks                       |  |
|     |               | 442: 15  | foundation. Calls for speculation.                    |  |
|     |               | 442: 16  | THE WITNESS: I can't tell. It's not on                |  |
|     |               |          |   |  |

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|                  |  |

Q. And does that show you that temperature 451:1

#### James Clark Deposition Designations -- Final 451:2 affects where you are on the compressor map? 451:3 MS. STEVENSON: Objection. Vague. 451:4 THE WITNESS: As you stated the question, 451:5 no. You'd need more than temperature to know where 451:6 you are on the compressor map. 326 452:1-452:6 Clark 12/07/2005 452:1 Q. Does the Glennon 033 patent surge control system use temperature as an input? 452:2 452:3 MS. STEVENSON: Objection. Lacks 452:4 foundation. 452:5 THE WITNESS: I don't see that. I don't see that it uses temperature as an input. 452:6 327 459:18-459:22 Clark 12/07/2005 459:18 Q. BY MR. LIND: Exhibit 31 is an article 459: 19 called, "Surge Control For Centrifugal Compressors," 459: 20 written by M.H. White and dated December 25th, 1972. 459: 21 Do you have that before you? 459: 22 A. I have that before me. 328 461:8-461:13 Clark 12/07/2005 461:8 Q. In connection with submitting your 461:9 declaration earlier in this case, you read the White 461:10 article, correct? MS. STEVENSON: Objection. Vague. 461:11 461:12 THE WITNESS: I read a White article. I'm not sure this is the same article. 461:13 329 Clark 12/07/2005 462:8-462:15 462:8 Did you form opinions about the White 462: 9 article when you filed your declaration earlier in 462:10 this case? 462:11 MS. STEVENSON: Objection. Asked and 462: 12 answered and vague. 462:13 THE WITNESS: I'm saying I formed an opinion about a White article. I'm not sure it's 462: 14 this White article in front of me. 462: 15 330 463:1-463:13 Clark 12/07/2005 463:1 Q. You compared the White article to the 463: 2 claims of the patents in the '893 and '194 patents 463:3 when you submitted your declaration earlier in this 463: 4 case, right? 463:5 A. That's the first sentence of paragraph 17. 463:6 463:7 Q. Is that a yes? 463:8 A. That's a yes. 463:9 Q. And you distinguished the claims of the

465:1

465.2

465:3

#### **James Clark Deposition Designations -- Final** 463: 10 '893 and '194 patents from the White article because, quote, there is no mention of maintaining a constant 463:11 flow of air despite changes in demand for air, 463:12 463: 13 correct? 331 463:15-463:22 Clark 12/07/2005 463: 15 THE WITNESS: That's what the sentence 463: 16 says, correct. 463: 17 Q. BY MR. LIND: And you say, "That's what 463: 18 the sentence says," you're talking about the sentence 463: 19 in your declaration? 463: 20 A. Yes. For example, there is no mention of 463:21 maintaining a constant flow of air despite changes in 463: 22 demand for air. 332 Clark 12/07/2005 463:23-464:10 Q. When you filed your declaration under 463:23 463:24 oath earlier in this case, you did not make any 463:25 distinction between the '194 and '893 patents and the 464:1 White article based on the use of inlet guide vane 464:2 position, correct? 464: 3 MS. STEVENSON: Objection, Misstates the 464:4 document. 464:5 THE WITNESS: I don't - I don't see 464.6 inlet guide being mentioned. And I don't know what 464: 7 these claims are in patent '194 and '893 patent 464:8 claims, so I couldn't agree with that. The answer 464:9 would be, I don't know. I would have to have more 464:10 information. 333 464:11-464:16 Clark 12/07/2005 464:11 Q. BY MR. LIND: In your declaration that 464: 12 you filed under oath in this case -464: 13 A. Correct. 464: 14 Q. - you tried to distinguish the White 464: 15 article from claims of the '893 and '194 patents, 464: 16 correct? 334 464:19-464:22 Clark 12/07/2005 464: 19 THE WITNESS: That's what the first 464:20 sentence says to me. 464.21 Q. BY MR. LIND: Is that a yes? 464: 22 A. That's a yes. 335 464:25-465:15 Clark 12/07/2005 464:25 Q. BY MR. LIND: And when you tried to

distinguish the White article from the patents that

distinction based on inlet guide vane position; is

Honeywell asserts in this case, you didn't make any

|     |                |          | James Clark Deposition Designations Final             |
|-----|----------------|----------|---|
|     |                | 465: 4   | that correct?   |
|     |                | 465: 5   | MS. STEVENSON: Objection. Misstates the               |
|     |                | 465: 6   | document  |
|     |                | 465: 7   | THE WITNESS: Just from this, I can't say              |
|     |                | 465: 8   | if that's true. It says, "The White article does not  |
|     |                | 465: 9   | include limitations on the '194 patent," and it gives |
|     |                | 465: 10  | element 14C. I don't know what that is. We would      |
|     |                | 465: 11  | have to go back and look.                             |
|     |                | 465: 12  | Q. BY MR. LIND: Anywhere in paragraph 17 of           |
|     |                | 465: 13  | your sworn, under oath declaration, did you make any  |
|     |                | 465: 14  | reference to distinguishing the White article based   |
|     |                | 465: 15  | on inlet guide vane position?                         |
| 336 | 465:18 -465:22 | Clark 12 | /07/2005  |
|     |                | 465: 18  | THE WITNESS: I don't know if inlet guide              |
|     |                | 465: 19  | vane is mentioned in these claims.                    |
|     |                | 465: 20  | Q. BY MR. LIND: Did you discuss inlet guide           |
|     |                | 465:21   | vane position or the use of inlet guide vane position |
|     |                | 465: 22  | anywhere in paragraph 17?                             |
| 337 | 465:25-466:20  | Clark 12 | /07/2005  |
|     |                | 465: 25  | THE WITNESS: I'm claiming at paragraph                |
|     |                | 466: 1   | 17, part of that is the element one and 4C of the     |
|     |                | 466: 2   | patents. And I don't - I don't know if those          |
|     |                | 466: 3   | mentioned inlet guide vanes or not. So I can't        |
|     |                | 466:4    | answer your question.                                 |
|     |                | 466: 5   | Q. BY MR. LIND: Did you say anything about            |
|     |                | 466: 6   | Did you use the words "inlet guide vane" or           |
|     |                | 466: 7   | "inlet guide vane position" in making your            |
|     |                | 466: 8   | distinction between the White article and the patents |
|     |                | 466: 9   | Honeywell's asserting here?                           |
|     |                | 466: 10  | A. I would have to go look at those.                  |
|     |                | 466: 11  | Q. I just want you to look at paragraph 17.           |
|     |                | 466: 12  | A. I want to look up element 14C.                     |
|     |                | 466: 13  | Q. That's fine. What I'd like you to do               |
|     |                | 466: 14  | first is answer my question.                          |
|     |                | 466: 15  | When you claim 17, do you use the words               |
|     |                | 466: 16  | "inlet guide vane position" anywhere in trying to     |
|     |                | 466: 17  | distinguish the White article from the patents that   |
|     |                | 466: 18  | Honeywell's asserted?                                 |
|     |                | 466: 19  | A. As far as I'm concerned, I need to look            |
|     |                | 466: 20  | at these claims.                                      |
| 338 | 470:1-471:2    | Clark 12 | /07/2005  |
|     |                | 470: 1   | Q. Why don't you read that two inches on the          |
|     |                | 470:2    | bottom of the first column and the two inches on the  |
|     |                | 470: 3   | top of the second column three inches.                |

|          |                | Tank Deposition Doorging of the                              |
|----------|----------------|--|
|          |                | 470: 4 A. Okay. I read the first column there.               |
|          |                | 470: 5 Q. Go ahead and continue that whole section           |
|          |                | 470: 6 into the second column.                               |
|          |                | 470: 7 A. Okay.  |
|          |                | 470: 8 Q. Do you agree that White teaches                    |
|          |                | 470:9 incorporating the position of the inlet guide vanes    |
|          |                | 470: 10 into the surge control system?                       |
|          |                | 470: 11 A. He's saying that there is a surge line            |
|          |                | 470; 12 for each vane position just as there is for a        |
|          |                | 470: 13 temperature. And then I believe up here, I see the   |
|          |                | 470: 14 sentence, "Where it also provides an unnecessary     |
|          |                | 470: 15 required safety margin when the vanes are moved in a |
|          |                | 470: 16 prerotation direction. This could result in          |
|          |                | 470: 17 bypassing gas at times when it is nonrequired."      |
|          |                | 470: 18 Okay. So that is what we have talked                 |
|          |                | 470: 19 about in previous discussions on resetting the surge |
|          |                | 470: 20 control set point within the inlet guide vanes.      |
|          |                | 470: 21 Q. Does the White article teach using the            |
|          |                | 470: 22 inlet guide vane position to set the set points in   |
|          |                | 470: 23 the surge control system?                            |
|          |                | 470: 24 MS. STEVENSON: Objection. Lacks                      |
|          |                | 470: 25 foundation.  |
|          |                | 471: 1 THE WITNESS: I don't see that                         |
|          |                | 471: 2 specifically.   |
| 339      | 471:12-471:14  | Clark 12/07/2005   |
|          |                | 471: 12 Q. Do you agree that White teaches                   |
|          |                | 471: 13 incorporating the position of the inlet guide vanes  |
|          |                | 471: 14 into the surge control system?                       |
| 340      | 471:17 -471:20 | Clark 12/07/2005   |
| 040      | 411.11-471.20  | 471: 17 THE WITNESS: That appears to be what                 |
|          |                | 471: 18 he's talking about in the sentence.                  |
|          |                | 471: 19 Q. BY MR. LIND; Yes?                                 |
|          |                | 471: 20 A. Yes.  |
| 341      | 471:21-471:22  | Clark 12/07/2005   |
| 341      | 4/1.21-4/1.22  | 471: 21 Q. Does White therefore teach setting the            |
|          |                | 471: 22 set point based on inlet guide vane position?        |
|          |                |  |
| 342      | 471:25-472:1   | Clark 12/07/2005   |
|          |                | 471: 25 THE WITNESS: It sounded like the                     |
| <b>I</b> |                | 472: 1 previous question to me, so I'd have the same answer. |
| 343      | 472:2-472:3    | Clark 12/07/2005   |
|          |                | 472: 2 Q. BY MR. LIND: Yes?                                  |
|          |                | 472: 3 A. I said "the same answer."                          |
| 344      | 472:4-472:11   | Clark 12/07/2005   |
|          |                | ,  |

#### James Clark Deposition Designations -- Final 472:4 Q. Okay. It talks about in White the ratio 472:5 setting. Do you see that in the sentence we just 472:6 read? 472:7 A. I did see that. 472:8 Q. And the ratio setting in the White surge 472:9 control system is the flow-related parameter, 472:10 correct? 472:11 A. I believe that's correct. 345 472:12-473:7 Clark 12/07/2005 472:12 Q. Does White then teach the use of inlet 472:13 guide vane position to adjust the flow-related 472:14 parameter? 472:15 MS. STEVENSON: Objection. Lacks 472:16 foundation. Calls for speculation. 472:17 THE WITNESS: I'm actually checking to 472:18 see what that - the pressure ratio parameter is. So 472:19 I'm trying to see what ratio he's referred to. 472:20 Q. BY MR. LIND: Tell me when you're done. 472:21 A. Still working on what the ratio is. I 472:22 see these figures here. Trying to -- there's a ratio 472:23 setting. Okay. It says, under ratio setting with 472:24 the controller I've established - I'm reading from 472:25 the White under ratio setting with the controller I've established as in Figure 3. Okay. 473.1 473:2 There's Figure 3 and that is a 473:3 relationship of H and water, which I do not know what 473:4 it is to some type of a Delta P. And he's talking 473:5 about the -- the slope of that line. Not knowing 473:6 what H and water is, I don't know what his parameter 473:7 is here. I don't know what the ratio parameter is. 346 473:8-473:9 Clark 12/07/2005 473:8 Q. Is the -- is the parameter in White a 473:9 function of the inlet guide vane position? 347 473:12-473:14 Clark 12/07/2005 473:12 THE WITNESS: Whatever that ratio is, the 473:13 sentence says that it's changed within that guide 473: 14 vane position. 348 473:15-473:19 Clark 12/07/2005 473: 15 Q. BY MR. LIND: So is the flow-related 473: 16 parameter in White a function of the inlet guide vane 473: 17 position? 473: 18 A. I cannot tell if the ratio is the 473: 19 flow-related parameter. 349 476:4-476:9 Clark 12/07/2005

#### James Clark Deposition Designations -- Final 476:4 Q. Is the compression ratio a flow-related 476:5 parameter in White, then? A. I don't consider that a flow-related 476:6 476:7 parameter. I define a flow-related parameter as you tell me what that parameter is and I'll tell you the 476:8 476:9 flow regardless of other parameters. 350 476:10-476:11 Clark 12/07/2005 476: 10 Q. Is the compression ratio an indicator of 476:11 flow? 351 476:13-476:18 Clark 12/07/2005 476: 13 THE WITNESS: If the word indicated means 476: 14 the other things that could affect flow are constant, 476: 15 like, there are several lines on this map, there are speed lines and there could be temperature lines. 476: 16 476: 17 Given those things are constant, then the pressure 476: 18 issue, you can determine flow. 352 478:4-478:7 Clark 12/07/2005 478:4 Q. BY MR. LIND: So based on that, the inlet 478:5 guide vane position is a factor in controlling the 478:6 operation of the surge bleed valve, correct? 478:7 A. That's correct. 353 483:9-483:15 Clark 12/07/2005 483:9 Q. Do you understand White to teach that the 483:10 equipment in Figure 7 may, of course, be either 483:11 pneumatic or electronic? 483: 12 A. There's quite a bit of equipment in 483: 13 Figure 7. There's that surge controller and there's also the instrumentation. I'm not sure which 483: 14 483: 15 equipment it refers to. 354 491:3-492:12 Clark 12/07/2005 491:3 Q. Okay. Look at the first page of Exhibit 27, AS225. 491:4 491.5 Do you see that? 491:6 A. Yes. 491:7 Q. It talks about the GTCP 36-300 APU? 491:8 A. Correct. 491:9 Q. What airplane did the 36-300 go on? 491:10 A. I can't tell you the -- I can tell you 491:11 the company. It was an air bus. I cannot tell you 491:12 exactly what airplane it went on. 491: 13 Q. But it was a commercial airplane? 491: 14 A. It was a commercial APU. It went on an

491: 15 air bus airplane. Exactly which one, I do not know.

491: 16 Q. Air bus 320, does that ring a bell?

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|     |               | 491: 17          | Don't know?   |         |
|     |               | 491: 18          | A. Don't know.  |         |
|     |               | 491: 19          | Q. Okay. You were copied on the document              |         |
|     |               | 491:20           | that starts at page 225, AS225?                       |         |
|     |               | 491:21           | A. Yes.   |         |
|     |               | 491:22           | Q. Describe the surge control system that             |         |
|     |               | 491:23           | was used in the 36-300 APU.                           |         |
|     |               | 491:24           | A. The control system that was used in the            |         |
|     |               | 491:25           | 36-300 was very similar to the one we used in the     |         |
|     |               | 492: 1           | 331-250 and 200. It had a I didn't work on it,        |         |
|     |               | 492: 2           | so it was Ed Goff. But as I recall, it was like the   |         |
|     |               | 492:3            | 331-200 and 250.                                      |         |
|     |               | 492:4            | Q. Did the surge control system in the                |         |
|     |               | 492: 5           | 36-300 use inlet guide vane position to solve the     |         |
|     |               | 492:6            | double solution issue?                                |         |
|     |               | 492:7            | A. I don't know. Actually, it did not have            |         |
|     |               | 492:8            | diffuser statics, so it would not have had that       |         |
|     |               | 492:9            | problem.  |         |
|     |               | 492: 10          | Q. The 36-300 did not measure pressure —              |         |
|     |               | 492: 11          | static pressure in the diffuser?                      |         |
|     |               | 492: 12          | A. I believe that's correct.                          |         |
| 355 | 494:17-494:22 | Clark 12/07/2005 |   |         |
|     |               | 494: 17          | Q. BY MR. LIND: Focusing back on the                  |         |
|     |               | 494: 18          | document that is AS253 through AS255. Can you tell    |         |
|     |               | 494: 19          | from looking at that document that it relates to the  |         |
|     |               | 494: 20          | 131-3?  |         |
|     |               | 494:21           | A. Yes, I recognize the surge system on page          |         |
|     |               | 494: 22          | 255 as the 131-3.                                     |         |
| 356 | 495:21-496:2  | Clark 12         | /07/2005  |         |
| •   |               | 495: 21          | Q. If we turn the page to AS255, is that a            |         |
|     |               | 495: 22          | diagram of the surge control system described in your |         |
|     |               | 495: 23          | 817 patent?   |         |
|     |               | 495: 24          | A. The sensing system there where you see             |         |
|     |               | 495: 25          | the Delta P high and Delta P low and then you see a   |         |
|     |               | 496: 1           | division of Delta P high and Delta P low is the       |         |
|     |               | 496: 2           | patent that you referenced.                           |         |
| 357 | 501:25-502:16 | Clark 12         | /07/2005  | -       |
|     |               | 501: 25          | Q. When you wrote your December 1983 memo,            |         |
|     |               | 502: 1           | had you selected yet what that specific numeric set   |         |
|     |               | 502: 2           | point would be?                                       |         |
|     |               | 502: 3           | A. That that that subpoint has changed                |         |
|     |               | 502:4            | with different engine - In this case, we looked at    |         |
|     |               | 502: 5           | it and it was .3. When we ran tests, in this case,    |         |
|     |               | 502:6            | it looks like it's gone to .6.                        |         |
|     |               |                  | •   |         |

#### James Clark Deposition Designations -- Final 502:7 Q. When you described in your December 1983 502;8 memo your Delta P/Delta P surge control that utilized 502:9 the pressure -- the static pressure measurements and the diffuser, you didn't have a firm fixed set point 502: 10 502: 11 number, right? A. I see it on here and expect surge to 502: 12 502:13 occur at .3. So as far as a sub - All we know is 502:14 there is - this is not designed in the system. It's 502:15 only looking at the full sentence and see if it 502: 16 defects full surge. 358 502:23-503:1 Clark 12/07/2005 502:23 THE WITNESS: Exhibit 22. 502:24 Q. BY MR. LIND: You're pointing to Exhibit 502:25 503: 1 A. I'm pointing to Exhibit 22.

Total time for all Scripts in this report: 02:34:53